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LOS ANGELES

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PETER LOWRY

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

CLAUDIA HERRERA and PETER  
LOWRY,

Plaintiff,

vs.

ELI LILLY AND COMPANY, a corporation;  
and DOES 1 through 50, inclusive,

Defendants.

Case No.:  
Judge:  
Department:

**CV 13-02702** -GW  
(PSW)

**COMPLAINT**

1. NEGLIGENCE
2. STRICT PRODUCT LIABILITY –  
DESIGN DEFECT
3. STRICT PRODUCT LIABILITY –  
FAILURE TO WARN
4. STRICT PRODUCT LIABILITY
5. NEGLIGENT  
MISREPRESENTATION
6. FRAUD
7. BREACH OF IMPLIED WARRANTY
8. VIOLATION OF *BUSINESS AND  
PROFESSIONS CODE* §§ 17200, *et seq.*
9. LOSS OF CONSORTIUM

1 COME NOW Plaintiffs, Claudia Herrera and Peter Lowry, by and through undersigned  
2 counsel, and for their causes of action file this Complaint for damages against the above-named  
3 Defendant alleging the following:

4 **INTRODUCTION**

5 1. This is a civil action for products liability alleging personal injuries and damages,  
6 including serious and life-threatening withdrawal symptoms, suffered by Plaintiff Claudia Herrera as a  
7 direct and proximate result of her ingestion and cessation of the prescription drug, Cymbalta  
8 (duloxetine), which is manufactured, marketed, and sold by Defendant Eli Lilly and Company  
9 (hereinafter, "Defendant" or "Lilly").

10 **PARTIES**

11 2. Plaintiffs Claudia Herrera and Peter Lowry (hereinafter, "Plaintiffs") are, and at all  
12 times relevant to this Complaint were, citizens of the State of California and residents of Los Angeles  
13 County.

14 3. Defendant Eli Lilly and Company is, and at all times relevant to this Complaint was, an  
15 Indiana corporation with its headquarters in Indianapolis, Indiana. Lilly is a pharmaceutical company  
16 involved in the research, development, testing, manufacture, production, promotion, distribution,  
17 marketing and sale of numerous pharmaceutical products, including Cymbalta, a prescription  
18 antidepressant drug.

19 4. Plaintiffs do not know the true names and identities of those defendants designated as  
20 DOES 1 through 50, inclusive, but alleges that each of said fictitiously named defendants was  
21 negligently and unlawfully responsible for the events herein described, and for the injuries and damages  
22 sustained by Plaintiffs, CLAUDIA HERRERA and PETER LOWRY, and Plaintiffs will ask leave of  
23 court to amend this complaint when the identity of each such fictitiously named defendant has been  
24 ascertained

25 5. At all relevant times, each of the Defendants and their directors and officers acted within  
26 the scope of their authority. During the relevant times, Defendants possessed a unity of interest between  
27 themselves. As such, each Defendant is individually, as well as jointly and severally, liable to Plaintiffs  
28 for Plaintiffs' damages.

1 **JURISDICTION AND VENUE**

2 6. This Court has personal jurisdiction over Lilly insofar as Lilly is authorized and licensed  
3 to conduct business in California, maintains and carries on systematic and continuous contacts in this  
4 judicial district, regularly transacts business within this judicial district, and regularly avails itself of  
5 the benefits of this judicial district.

6 7. Furthermore, Lilly has caused tortious injury by acts and omissions in this judicial  
7 district and caused tortious injury in this district by acts and omissions outside this district while  
8 regularly doing and soliciting business, engaging in a persistent course of conduct, and deriving  
9 substantial revenue from goods used or consumed and services rendered in this judicial district.

10 8. This Court has subject matter jurisdiction in the form of diversity jurisdiction,  
11 pursuant to 28 U.S.C.A. § 1332, in that there is a complete diversity of citizenship between  
12 Plaintiffs and Defendant and the amount in controversy exceeds \$75,000.00.

13 9. Venue is proper pursuant to 28 U.S.C. § 1391.

14 **FACTUAL ALLEGATIONS**

15 10. Lilly is one of the largest pharmaceutical companies in the world with annual revenues  
16 exceeding \$20 billion. A substantial portion of Lilly's sales and profits have been derived from its drug  
17 Cymbalta, whose 2009 annual sales exceeded \$3 billion, making it the second most profitable drug in  
18 Lilly's current product line.

19 11. Lilly has enjoyed considerable financial success from manufacturing and selling  
20 prescription drugs for the treatment of clinical depression, including the popular antidepressant Prozac  
21 (generically known as fluoxetine). Lilly launched Prozac in 1988 touting it as the first "Selective  
22 Serotonin Reuptake Inhibitor" ("SSRI"). SSRIs are a class of antidepressant drugs that were promoted  
23 as increasing the brain chemical serotonin in the synaptic clefts between the neurons in the brain. It has  
24 been theorized that reduced levels of serotonin cause depression; however, recent studies have  
25 undermined this theory. Prozac became extremely popular in the 1990s and was the top-selling  
26 antidepressant of its kind. Prozac's patent expired in August 2001.

27 12. In 2001, Lilly needed to fill the void left behind by Prozac's patent expiration, and so it  
28 sought approval by the Food and Drug Administration's ("FDA") for its next antidepressant, Cymbalta.

1 Unlike Prozac, Cymbalta is a “Serotonin-Norepinephrine Reuptake Inhibitor” (“SNRI”), which Lilly  
2 promoted as increasing the brain chemicals serotonin and norepinephrine in the synaptic clefts between  
3 the neurons in the brain. Lilly and other SNRI manufacturers admit that the precise mechanism of  
4 action is not clear, however, they have promoted the drugs by stating that higher levels of these  
5 neurotransmitters somehow improve and elevate mood.

6 13. In 2003, the FDA initially rejected Lilly’s application to approve Cymbalta due to certain  
7 violations of good manufacturing practices and the risk of liver toxicity apparent in the drug’s safety  
8 profile.

9 14. Eventually, in 2004, manufacturing issues were resolved and the FDA approved  
10 Cymbalta with a liver toxicity warning included in the prescribing information. The drug was approved  
11 for Major Depressive Disorder (“MDD”). In 2007, the FDA approved Cymbalta for treatment of  
12 Generalized Anxiety Disorder (“GAD”) and in 2008 for treatment of fibromyalgia.

13 15. Since the FDA’s initial approval of Cymbalta in 2004, Lilly has aggressively marketed  
14 the drug to the public and the medical community, spending hundreds of millions of dollars each year on  
15 advertising and promotion. Lilly has promoted Cymbalta directly to consumers, including Plaintiff,  
16 through all major media channels, including internet, print and television. In addition, Lilly has  
17 promoted Cymbalta to the medical community by utilizing its well-organized army of sales  
18 representatives to personally visit physicians and health care professionals to distribute free drug  
19 samples and promotional literature. Lilly further promoted Cymbalta through advertisements in medical  
20 journals and presenting talks and exhibits at medical conferences.

21 16. Lilly’s promotional campaigns have continuously overstated the efficacy of Cymbalta  
22 and understated, downplayed, and/or failed altogether to state the true withdrawal side effects associated  
23 with Cymbalta.

24 17. Presently and at all times material herein, the Cymbalta label provided the following  
25 precaution regarding discontinuation: “Discontinuation symptoms have been systematically evaluated in  
26 patients taking duloxetine. Following abrupt or tapered discontinuation in placebo-controlled clinical  
27 trials, the following symptoms occurred at a rate greater than or equal to 1% and at a significantly higher  
28 rate in duloxetine-treated patients compared to those discontinuing from placebo: dizziness, nausea,

1 headache, fatigue, paresthesia, vomiting, irritability, nightmares, insomnia, diarrhea, anxiety,  
2 hyperhidrosis and vertigo....”

3 18. In addition to using the euphemistic term “discontinuation” to describe withdrawal side  
4 effects, Lilly also made it appear that such discontinuation symptoms were rare and only affected  
5 approximately 1% of Cymbalta users.

6 19. To the contrary, according to a January 2005 article published in the Journal of Affective  
7 Disorders, Lilly’s Cymbalta clinical trials showed that a significant percentage (44.3%) of Cymbalta  
8 patients suffered from “discontinuation” side effects. David G. Peahia et al., Symptoms Following  
9 Abrupt Discontinuation of Duloxetine Treatment in Patients with Major Depressive Disorder, 89  
10 JOURNAL OF AFFECTIVE DISORDERS 207 (2005). In this published, peer-reviewed paper, the  
11 withdrawal side-effect rates for Cymbalta were nearly double that experienced by placebo users, and  
12 these findings were statistically significant. Accordingly, the rate of withdrawal or “discontinuation” for  
13 Cymbalta (as established by Lilly’s clinical trials) was 44.3%, yet in its packaging label, Lilly  
14 misleadingly presented this rate as approximately 1%.

15 20. Moreover, Lilly’s clinical trials showed that, overall, 9.6% of Cymbalta users suffered  
16 *severe* withdrawal side effects, yet nowhere in the label does Lilly inform practitioners and patients of  
17 that risk.

18 21. Cymbalta’s withdrawal side effects include, among other things, headaches, dizziness,  
19 nausea, fatigue, diarrhea, paresthesia, vomiting, irritability, nightmares, insomnia, anxiety,  
20 hyperhidrosis, sensory disturbances, electric shock sensations, seizures and vertigo. When patients try  
21 to stop taking Cymbalta, the side effects can be severe enough to force them to start taking Cymbalta  
22 again, not to treat their underlying condition, but simply to stop the withdrawal symptoms. Patients thus  
23 become prisoners to Cymbalta, and Lilly financially benefits by having a legion of physically dependent,  
24 long-term users of Cymbalta.

25 22. Notwithstanding Lilly’s knowledge of the high rate of withdrawal symptoms in patients  
26 stopping Cymbalta, Lilly failed to adequately, properly, and fully warn patients and physicians about the  
27 risk.  
28

1           23.     Instead, in its product labeling, marketing and advertising, and in information made  
2 available to consumers and physicians, Lilly reported a far lower risk, downplayed any difference in the  
3 withdrawal risk for Cymbalta as compared to other similar antidepressants, and affirmatively misled the  
4 consuming patient population and mischaracterized the drug's risk profile.

5           24.     In addition to failing to adequately warn about the actual rate and severity of withdrawal  
6 side effect risks, Lilly also overplayed the efficacy of Cymbalta. Seeking to capture a greater segment  
7 of the antidepressant market, in 2005, Lilly initiated the direct-to-consumer marketing campaign:  
8 "Depression hurts. Cymbalta can help." Cymbalta advertisements bearing this slogan appeared  
9 ubiquitously on television, in print and on the internet. Lilly's advertising campaign made it appear that  
10 Cymbalta not only treated depression but that it also treated physical pain associated with depression.  
11 Scientists reviewing the Cymbalta data have concluded that Lilly's claims are misleading. For example,  
12 in a 2008 article published in Psychotherapy and Psychosomatics, the author concluded that "the  
13 marketing of duloxetine as an antidepressant with analgesic properties for people with depression does  
14 not appear to be adequately supported."

15           25.     Lilly has also augmented its misleading advertising campaigns by engaging in selective  
16 and biased publication of its clinical trials of Cymbalta. By way of example, Lilly has generally  
17 published only favorable studies of its Cymbalta clinical trials and refused to publish any of the negative  
18 and unfavorable studies. Such selective publication of clinical trial data gives the impression that the  
19 drug is safer and more effective than it actually is. In a recent study published in the New England  
20 Journal of Medicine, researchers obtained clinical trials for antidepressants (including Cymbalta) that  
21 had been submitted to the FDA and compared them with studies that had been published. The authors  
22 found that there was a "bias towards the publication of positive results" and that, "according to the  
23 published literature, it appeared that 94% of the trials conducted were positive. By contrast, the FDA  
24 analysis shows that 51% were positive." The authors found that, as a result of such selective publication,  
25 the published literature conveyed a misleading impression of Cymbalta's efficacy resulting in an  
26 apparent effect-size that was 33% larger than the effect size derived from the full clinical trial data. See  
27 Erick H. Turner et al., Selective Publication of Antidepressant Trials and Its Influence on Apparent  
28 Efficacy, 358 NEW ENG. J. MED. 252 (2008).

1           26. Lilly's misleading direct-to-consumer promotional campaigns, its misleading  
2 presentation of Cymbalta's efficacy and its failure to adequately warn regarding Cymbalta's withdrawal  
3 and dependency side effects have paid off financially for Lilly. Cymbalta has become a "blockbuster"  
4 drug with over \$3 billion dollars in annual sales. In the past few years, Cymbalta has been the second  
5 most profitable drug in Lilly's product line. Coincidentally, the only drug ahead of Cymbalta is Zyprexa,  
6 an antipsychotic drug that Lilly promoted illegally. Indeed, in 2009, Lilly agreed to plead guilty and pay  
7 \$1.415 billion to the federal government for illegally promoting Zyprexa. This resolution included a  
8 criminal fine of \$515 million, which, at the time, was the largest settlement ever in a health care case,  
9 and the largest criminal fine for an individual corporation ever imposed in a United States criminal  
10 prosecution of any kind.

11           27. Lilly had the knowledge, the means and the duty to provide adequate warnings regarding  
12 Cymbalta's common and severe withdrawal and dependency side effects as well as a duty to honestly  
13 portray the safety and efficacy of Cymbalta. Lilly could have relayed these warnings through the same  
14 means it utilized to advertise its products, which included but are not limited to its labeling, "Dear  
15 Doctor letters," advertisements and sales representatives.

16           28. In October 2012, the Institute for Safe Medication Practices (ISMP), a non-profit  
17 healthcare consumer safety watchdog, issued findings from its independent investigation of Cymbalta  
18 adverse events found in the FDA Adverse Event Reporting System (FAERS). *See QuarterWatch,*  
19 *Monitoring FDA MedWatch Reports, Why Reports of Serious Adverse Drug Events Continue to Grow,*  
20 Oct. 3, 2012, ISMP.

21           29. The report announced that the investigation uncovered "a signal for serious drug  
22 withdrawal symptoms associated with duloxetine (CYMBALTA)," and detailed for the public what  
23 Lilly has long known: "[W]ithdrawal symptoms were reported in 44-50% of patients abruptly  
24 discontinuing duloxetine at the end of clinical studies for depression, and more than half of this total did  
25 not resolve within a week or two." *Id.* at 11

26           30. The ISMP report continued: "[W]e identified a serious breakdown at both the FDA and  
27 the manufacturer, Eli Lilly and Company, in providing adequate warnings and instructions about how to  
28 manage this common adverse effect." *Id.*

1           31.     Explaining the lack of adequate warnings, the ISMP stated:  
2                     Instead of clear warnings and useful instructions, the duloxetine patient  
3                     Medication Guide says only:

4  
5                     “Never stop an antidepressant medicine without first talking to a  
6                     healthcare provider. Stopping an antidepressant medicine  
7                     suddenly can cause other symptoms.”

8  
9                     This FDA-approved patient guide is materially deficient. It gives no hint of the  
10                     persistence or severity of the symptoms known to occur.

11  
12                     ....

13  
14                     We could not identify any FDA-approved or company information for patients  
15                     about how to discontinue duloxetine. *Id.* at 12-13.

16           32.     In conclusion, the report minced no words in its indictment of Lilly’s product  
17                     information: “A major lapse has occurred in the FDA-approved information for patients about the risks  
18                     of stopping duloxetine.” *Id.* at 15.

19           33.     Falsely reassured by the misleading and deceptive manner in which Lilly reported  
20                     Cymbalta’s withdrawal risk, physicians, including Plaintiff’s physician, have prescribed, and continue to  
21                     prescribe, Cymbalta to patients without adequate, accurate and proper warnings relating to  
22                     discontinuation of the drug.

23           34.     In or about 2006, Plaintiff was prescribed Cymbalta by her physician, for treatment of  
24                     anxiety.

25           35.     On or about March 3, 2012, Plaintiff was experiencing unusual weight gain and blurred  
26                     vision. As a result, Plaintiff’s prescribing doctor advised her to gradually lessen her ingestion of  
27                     Cymbalta over a period of thirty days until she ceased all ingestion.

1           36. Upon attempting to discontinue Cymbalta, Plaintiff experienced severe and dangerous  
2 withdrawal symptoms including sharp, painful zaps of electricity shooting through her head. She also  
3 experienced extreme anxiety and fear, stomach pains, and suicidal ideation. Additionally, Plaintiff had  
4 uncontrollable muscle spasms, felt as if there were objects crawling inside of her skin, hot flashes, and  
5 body shivers.

6           37. Presently, Plaintiff continues to suffer symptoms of withdrawal, including but not limited  
7 to brain zaps and muscle spasms.

8           38. At all times relevant, Lilly knew or should have known that Cymbalta was in a  
9 defective condition and was and is inherently dangerous and unsafe when used in the manner  
10 instructed and provided for by Lilly.

11           39. At all times relevant, Lilly knew or should have known of the significantly increased  
12 risk of withdrawal symptoms, including their severity and duration, posed by Cymbalta and yet  
13 failed to adequately warn about said risks.

14           40. At all times relevant, Lilly engaged in a willful, wanton, and reckless conduct,  
15 including its defective design of Cymbalta, its failure to warn about Cymbalta's risks, and its  
16 pattern of affirmative misrepresentations and omissions relating to the safety and efficacy of  
17 Cymbalta. It overstated the drug's efficacy, downplayed withdrawal side effects, and misstated the  
18 actual risk and severity of side effects, all of which induced physicians to prescribe Cymbalta and  
19 consumers to use it, including Plaintiff and her physicians.

20           41. Plaintiff's use of the drug and consequent injuries and damages were a direct and  
21 proximate result of Lilly's acts and omissions relating to Cymbalta.

22           42. If Lilly had adequately, accurately and properly warned about the withdrawal risk  
23 associated with Cymbalta, including the high risk of experiencing them and their frequency and severity,  
24 Plaintiff's physician would not have prescribed the drug to Plaintiff; Plaintiff would have refused the  
25 drug; and/or Plaintiff's physician would have been able to more adequately, accurately and properly  
26 weigh and convey the risks and benefits of the drug in a way as to avoid Plaintiff's injuries and  
27 damages.  
28



1 more efficacious than it really is;

2 f. Negligently designed Cymbalta in a way that it knew would cause withdrawal and  
3 physical dependency;

4 g. Negligently marketed Cymbalta despite the fact that the risk of the drug was so high and  
5 the benefits of the drug were so questionable that no reasonable pharmaceutical company,  
6 exercising due care, would have placed it on the market;

7 h. Recklessly, falsely, and deceptively represented or knowingly omitted, suppressed, or  
8 concealed, material facts regarding the safety and efficacy of Cymbalta to the Plaintiff,  
9 the public, the FDA and the medical community;

10 i. Failed to comply with its post-manufacturing duty to warn that Cymbalta was being  
11 promoted, distributed and prescribed without warning of the true risk of side effects and  
12 without accurate information regarding its efficacy; and

13 j. Was otherwise careless, negligent, grossly negligent, reckless, and acted with willful and  
14 wanton disregard for Plaintiff's rights and safety.

15 47. Despite the fact that Lilly knew, or should have known, that Cymbalta caused  
16 unreasonable, dangerous side effects, Lilly continued to market Cymbalta to consumers, including  
17 Plaintiff, when there were safer and more effective alternative methods and treatments. Lilly knew, or  
18 should have known, that Cymbalta users would suffer foreseeable injuries as a result of its failure to  
19 exercise ordinary care, as described above. Lilly knew or should have known that the Cymbalta  
20 designed, formulated, manufactured, and/or supplied by it was defective in design or formulation in that,  
21 when it left the hands of the manufacturer and/or suppliers, the foreseeable risks exceeded the benefits  
22 associated with the design or formulation.

23 48. Had Lilly provided an adequate warning regarding the frequency and severity of the  
24 withdrawal and dependency risks, Plaintiff's injuries would have been avoided.

25 49. As a direct and proximate result of one or more of these wrongful acts and omissions of  
26 Lilly, Plaintiff suffered severe injuries as set forth herein. Plaintiff has incurred and will continue to  
27 incur physical and psychological pain and suffering, emotional distress, sorrow, anguish, stress, shock,  
28 and mental suffering. Plaintiff has required and will continue to require healthcare and services and has

1 incurred, and will continue to incur medical and related expenses. Plaintiff has also suffered and will  
2 continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, aggravation  
3 of preexisting conditions and activation of latent conditions, and other losses and damages.

4 50. WHEREFORE, Plaintiffs demand judgment against Lilly for compensatory, statutory and  
5 punitive damages, together with interest, costs of suit, and all such other relief as the Court deems  
6 appropriate pursuant to the common law and statutory law.

7 **SECOND CAUSE OF ACTION**

8 **STRICT PRODUCT LIABILITY – DESIGN DEFECT**

9 51. Plaintiffs incorporate by reference, as if fully set forth herein, all other paragraphs of this  
10 Complaint.

11 52. At all times relevant, Lilly was engaged in the business of selling Cymbalta in the State  
12 of California.

13 53. The Cymbalta manufactured, marketed, promoted and sold by Lilly was expected to, and  
14 did, reach Plaintiff without substantial change in the condition in which it was sold.

15 54. Lilly introduced a product into the stream of commerce that is dangerous and unsafe in  
16 that the harm of Cymbalta outweighs and benefit derived therefrom. The unreasonably dangerous  
17 nature of Cymbalta caused serious harm to Plaintiff.

18 55. Lilly manufactured, marketed, promoted and sold a product that was merchantable and/or  
19 reasonably suited to the use intended, and its condition when sold was the proximate cause of the  
20 injuries sustained by Plaintiff.

21 56. Lilly placed Cymbalta into the stream of commerce with wanton and reckless disregard  
22 for public safety.

23 57. Despite evidence that Cymbalta is dangerous and likely to place users at serious risk to  
24 their health, Lilly failed to disclose and warn of the health hazards and risks associated with Cymbalta  
25 and, in fact, acted to deceived the medical community and public at large, including all potential users of  
26 Cymbalta, by promoting it as safe and effective.

27 58. Lilly knew or should have known that physicians and other healthcare providers began  
28 commonly prescribing Cymbalta as a safe and effective product despite its lack of efficacy and potential

1 for serious side effects.

2 59. There are other antidepressant medications and similar drugs on the market with safer  
3 alternative designs, in that they provide equal or greater efficacy and far less risk.

4 60. As a direct and proximate result of Lilly's widespread promotional activity, physicians  
5 commonly prescribe Cymbalta and safe and effective.

6 61. As a direct and proximate result of one or more of these wrongful acts and omissions of  
7 Lilly, Plaintiff suffered severe injuries as set forth herein. Plaintiff has incurred and will continue to  
8 incur physical and psychological pain and suffering, emotional distress, sorrow, anguish, stress, shock,  
9 and mental suffering. Plaintiff has required and will continue to require healthcare and services and has  
10 incurred, and will continue to incur medical and related expenses. Plaintiff has also suffered and will  
11 continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, aggravation  
12 of preexisting conditions and activation of latent conditions, and other losses and damages.

13 62. WHEREFORE, Plaintiffs demand judgment against Lilly for compensatory, statutory and  
14 punitive damages, together with interest, costs of suit, and all such other relief as the Court deems  
15 appropriate pursuant to the common law and statutory law.

### 16 **THIRD CAUSE OF ACTION**

#### 17 **STRICT PRODUCT LIABILITY – FAILURE TO WARN**

18 63. Plaintiffs incorporate by reference, as if fully set forth herein, all other paragraphs of this  
19 Complaint.

20 64. Lilly researched, tested, developed, designed, licensed, manufactured, packaged,  
21 inspected, labeled, distributed, sold, marketed, promoted and/or introduced Cymbalta into the stream of  
22 commerce and in the course of same, directly advertised and/or marketed Cymbalta to consumers or  
23 persons responsible for consumers, and therefore, had a duty to warn Plaintiff and Plaintiff's physicians  
24 of the risks associated with Cymbalta, which Lilly knew or should have known are inherent in the use of  
25 Cymbalta.

26 65. Lilly had a duty to warn of adverse drug reactions, which it knew or should have known,  
27 can be caused by the use of Cymbalta and/or are associated with the use of Cymbalta, including its  
28 propensity to induce withdrawal symptoms and side effects.

1           66. Cymbalta was under the exclusive control of Lilly and was not accompanied by  
2 appropriate warnings regarding all possible adverse side effects and complications associated with the  
3 use and discontinuation of Cymbalta. The information given to consumers and physicians did not  
4 accurately reflect the risk, incidence, symptoms, scope or severity of such side effects to the consumer  
5 as compared to other similar products available in the market, which possessed lower risk of such side  
6 effects. The promotional activities of Lilly further diluted and/or minimized any warnings that were  
7 provided with the product.

8           67. Lilly downplayed the serious and dangerous side effects of Cymbalta in order to foster  
9 and heighten sales of the product.

10           68. Cymbalta was defective and unreasonably dangerous when it left the possession of Lilly  
11 in that it contained warnings insufficient to alert Plaintiff to the dangerous risks and reactions associated  
12 with it, including but not limited to severe, debilitating withdrawal symptoms. Even though Lilly knew  
13 or should have known the risks associated with Cymbalta, it failed to provide adequate warnings.

14           69. Plaintiff used Cymbalta as intended or in a reasonably foreseeable manner.

15           70. Plaintiff could not have discovered any defect in the drug through the exercise of  
16 reasonable care.

17           71. Lilly, as manufacturer of Cymbalta and other pharmaceutical prescription drugs, is held  
18 to the level of knowledge of an expert in the field, and further, Lilly had knowledge of the dangerous  
19 risks and side effects of Cymbalta.

20           72. Plaintiff did not have the same knowledge as Lilly and no adequate warning was  
21 communicated to her physicians.

22           73. Lilly had a continuing duty to warn consumers, including Plaintiff and her physicians,  
23 and the medical community of the dangers associated with Cymbalta and by negligently and wantonly  
24 failing to adequately warn of the dangers associated with the use of Cymbalta, Lilly breached its duty.

25           74. Although Lilly knew or should have known of the defective nature of Cymbalta, it  
26 continued to design, manufacture, market and sell the drug without providing adequate warnings or  
27 instructions concerning the use of the drug in order to maximize sales and profits at the expense of the  
28 public health and safety, in knowing, conscious, and deliberate disregard of the foreseeable harms posed

1 by the drug.

2 75. In addition, Lilly's conduct in the packaging, warning, marketing, advertising, promoting,  
3 distribution, and sale of the drug was committed with knowing, conscious, willful, wanton, and  
4 deliberate disregard for the value of human life, and the rights and safety of consumers, including  
5 Plaintiff.

6 76. As a direct and proximate result of one or more of these wrongful acts and omissions of  
7 Lilly, Plaintiff suffered severe injuries as set forth herein. Plaintiff has incurred and will continue to  
8 incur physical and psychological pain and suffering, emotional distress, sorrow, anguish, stress, shock,  
9 and mental suffering. Plaintiff has required and will continue to require healthcare and services and has  
10 incurred, and will continue to incur medical and related expenses. Plaintiff has also suffered and will  
11 continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, aggravation  
12 of preexisting conditions and activation of latent conditions, and other losses and damages.

13 77. WHEREFORE, Plaintiffs demand judgment against Lilly for compensatory, statutory and  
14 punitive damages, together with interest, costs of suit, and all such other relief as the Court deems  
15 appropriate pursuant to the common law and statutory law.

16 **FOURTH CAUSE OF ACTION**

17 **STRICT PRODUCT LIABILITY**

18 78. Plaintiffs incorporate by reference, as if fully set forth herein, all other paragraphs of this  
19 Complaint.

20 79. Lilly designed, manufactured, marketed, promoted, sold, supplied, and/or distributed  
21 Cymbalta in a defective and unreasonably dangerous condition to consumers, including Plaintiff.

22 80. Lilly designed, manufactured, marketed, promoted, sold, supplied, and/or distributed  
23 Cymbalta, which was expected to and did reach consumers, including Plaintiff, without substantial  
24 change in the condition in which it was manufactured and sold by Lilly.

25 81. Plaintiff used Cymbalta as prescribed and in a manner normally intended, recommended,  
26 promoted, and marketed by Lilly.

27 82. Cymbalta failed to perform safely when used by ordinary consumers, including Plaintiff,  
28 when used as intended and in a reasonably foreseeable manner.

1           83. Cymbalta was defective in its design and was unreasonably dangerous in that its  
2 foreseeable risks exceeded the benefits associated with its design and formulation.

3           84. Cymbalta was defective in design or formulation in that it posed a greater likelihood of  
4 injury compared to other similar medications and was more dangerous than an ordinary consumer could  
5 reasonably foresee or anticipate.

6           85. Cymbalta was defective in its design and was unreasonably dangerous in that it neither  
7 bore nor was packaged with, nor was otherwise accompanied by, warnings adequate to alert consumers,  
8 including Plaintiff and her physicians, of the risks described herein, including the significant increased  
9 risk of withdrawal symptoms.

10           86. Although Lilly knew or should have known of the defective nature of Cymbalta, it  
11 continued to design, manufacture, market, and sell Cymbalta in order to maximize sales and profits at  
12 the expense of the public health and safety. By so acting, Lilly acted with a conscious and deliberate  
13 disregard of the foreseeable harm caused by Cymbalta.

14           87. Plaintiff could not, through the exercise of reasonable care, have discovered Cymbalta's  
15 defects or perceived the dangers posed by the drug.

16           88. Lilly's conduct as described herein was committed with knowing, conscious, wanton,  
17 willful, and deliberate disregard for the value of human life and the rights and safety of consumers such  
18 as Plaintiff, thereby entitling Plaintiff to punitive damages so as to punish Lilly and deter it from similar  
19 conduct in the future.

20           89. As a direct and proximate result of one or more of these wrongful acts and omissions of  
21 Lilly, Plaintiff suffered severe injuries as set forth herein. Plaintiff has incurred and will continue to  
22 incur physical and psychological pain and suffering, emotional distress, sorrow, anguish, stress, shock,  
23 and mental suffering. Plaintiff has required and will continue to require healthcare and services and has  
24 incurred, and will continue to incur medical and related expenses. Plaintiff has also suffered and will  
25 continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, aggravation  
26 of preexisting conditions and activation of latent conditions, and other losses and damages.

27           90. WHEREFORE, Plaintiffs demand judgment against Lilly for compensatory, statutory and  
28 punitive damages, together with interest, costs of suit, and all such other relief as the Court deems

1 appropriate pursuant to the common law and statutory law.

2 **FIFTH CAUSE OF ACTION**

3 **NEGLIGENT MISREPRESENTATION**

4 91. Plaintiffs incorporate by reference, as if fully set forth herein, all other paragraphs  
5 of this Complaint.

6 92. Lilly owed a duty to Plaintiff and her physicians to convey and communicate truthful  
7 and accurate information about Cymbalta.

8 93. Lilly represented to Plaintiff, her physicians, and other members of the public and the  
9 medical community that Cymbalta was safe for use and that any withdrawal side effects were no  
10 different, and no worse and no more frequent, than other similar products in the market. These  
11 representations were, in fact, false.

12 94. Lilly also represented to Plaintiff, her physicians, and other members of the public  
13 and the medical community that Cymbalta can treat physical pain associated with depression. These  
14 representations were, in fact, false.

15 95. Lilly was negligent in failing to exercise due care in making the aforesaid  
16 representations.

17 96. Lilly had a pecuniary interest in making said representations, which were made in  
18 order to expand sales and increase revenue Cymbalta.

19 97. At the time said representations were made by Lilly, at the time Plaintiff and her  
20 physicians took the actions herein alleged, Plaintiff and her physicians were ignorant of the falsity  
21 of Lilly's representations and reasonably believed them to be true. In justifiable reliance upon said  
22 representations, Plaintiff and her physicians were induced to, and did, use Cymbalta and attempt to  
23 discontinue from Cymbalta. If Plaintiff and her physicians had known the actual facts, Plaintiff's  
24 injuries would have been avoided because Plaintiff's physician would not have prescribed the drug,  
25 Plaintiff would not have taken the drug, and/or the risk would have been conveyed to Plaintiff in a  
26 way so as to alter the prescription and avoid Plaintiff's injuries.

27 98. The reliance of Plaintiff and her physicians upon Lilly's representations was justified  
28 because the representations were made by individuals and entities who appeared to be in a position

1 to know the true facts relating to risks associated with Cymbalta.

2 99. As a direct and proximate result of one or more of these wrongful acts and omissions of  
3 Lilly, Plaintiff suffered pecuniary losses including but not limited to past and future medical and related  
4 expenses.

5 100. WHEREFORE, Plaintiffs demand judgment against Lilly for compensatory, statutory and  
6 punitive damages, together with interest, costs of suit, and all such other relief as the Court deems  
7 appropriate pursuant to the common law and statutory law.

8 **SIXTH CAUSE OF ACTION**

9 **FRAUD**

10 101. Plaintiffs incorporate by reference, as if fully set forth herein, all other paragraphs of this  
11 Complaint.

12 102. Lilly represented to Plaintiff, her physicians, and other members of the public and the  
13 medical community that Cymbalta was safe for use and that any withdrawal side effects were no  
14 different, and no worse and no more frequent, than other similar products in the market. These  
15 representations were, in fact, false and material.

16 103. Lilly also represented to Plaintiff, her physicians, and other members of the public  
17 and the medical community that Cymbalta can treat physical pain associated with depression. These  
18 representations were, in fact, false and material.

19 104. Lilly made the aforesaid representations knowingly and/or with reckless disregard for  
20 their truth or falsity.

21 105. Lilly made the aforesaid representations with the intent that Plaintiff and her  
22 physicians act upon said representations.

23 106. At the time said representations were made by Lilly, at the time Plaintiff and her  
24 physicians took the actions herein alleged, Plaintiff and her physicians were ignorant of the falsity  
25 of Lilly's representations and reasonably believed them to be true. In justifiable reliance upon said  
26 representations, Plaintiff and her physicians were induced to, and did, use Cymbalta and attempt to  
27 discontinue from Cymbalta. If Plaintiff and her physicians had known the actual facts, Plaintiff's  
28 injuries would have avoided because either Plaintiff's physician would not have prescribed the

1 drug, Plaintiff would not have taken the drug, and/or the risk would have been conveyed to Plaintiff  
2 in a way so as to alter the prescription and avoid Plaintiff's injuries.

3 107. The reliance of Plaintiff and her physicians upon Lilly's representations was justified  
4 because the representations were made by individuals and entities who appeared to be in a position  
5 to know the true facts relating to risks associated with Cymbalta.

6 108. As a direct and proximate result of one or more of these wrongful acts and omissions of  
7 Lilly, Plaintiff suffered severe injuries as set forth herein. Plaintiff has incurred and will continue to  
8 incur physical and psychological pain and suffering, emotional distress, sorrow, anguish, stress, shock,  
9 and mental suffering. Plaintiff has required and will continue to require healthcare and services and has  
10 incurred, and will continue to incur medical and related expenses. Plaintiff has also suffered and will  
11 continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, aggravation  
12 of preexisting conditions and activation of latent conditions, and other losses and damages.

13 109. WHEREFORE, Plaintiffs demand judgment against Lilly for compensatory, statutory and  
14 punitive damages, together with interest, costs of suit, and all such other relief as the Court deems  
15 appropriate pursuant to the common law and statutory law.

16 **SEVENTH CAUSE OF ACTION**

17 **BREACH OF IMPLIED WARRANTY**

18 110. Plaintiffs incorporate by reference, as if fully set forth herein, all other paragraphs of  
19 this Complaint.

20 111. As described herein, Plaintiff suffered injuries as a direct and proximate result of her  
21 use and discontinuation of Cymbalta.

22 112. At the time of Plaintiff's use of Cymbalta and resulting injuries, the Cymbalta she  
23 was taking was in essentially the same condition as when it left the control and possession of Lilly.

24 113. At all times relevant, the Cymbalta received and used by Plaintiff was not fit for the  
25 ordinary purposes for which it is intended to be used in that, *inter alia*, it posed a higher risk of  
26 withdrawal symptoms – of greater duration and severity – than other similar products available in  
27 the market.

28 114. Plaintiff's injuries were due to the fact that Cymbalta was in a defective condition, as

described herein, rendering it unreasonably dangerous to her.

115. As a direct and proximate result of one or more of these wrongful acts and omissions of Lilly, Plaintiff suffered severe injuries as set forth herein. Plaintiff has incurred and will continue to incur physical and psychological pain and suffering, emotional distress, sorrow, anguish, stress, shock, and mental suffering. Plaintiff has required and will continue to require healthcare and services and has incurred, and will continue to incur medical and related expenses. Plaintiff has also suffered and will continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, aggravation of preexisting conditions and activation of latent conditions, and other losses and damages.

116. WHEREFORE, Plaintiffs demand judgment against Lilly for compensatory, statutory and punitive damages, together with interest, costs of suit, and all such other relief as the Court deems appropriate pursuant to the common law and statutory law.

#### **EIGHTH CAUSE OF ACTION**

#### **UNLAWFUL, UNFAIR AND FRAUDULENT BUSINESS PRACTICES IN VIOLATION OF CALIFORNIA BUSINESS AND PROFESSIONS CODE SECTION 17200, ET SEQ.**

117. Plaintiffs incorporate all paragraphs of this Complaint as if set forth herein.

118. California's Unfair Competition Law (UCL) creates a cause of action for those harmed by unfair competition, which includes "any unlawful, unfair or fraudulent business act or practice and unfair, deceptive, untrue or misleading advertising."

119. Defendant has made numerous misrepresentations to Plaintiffs, their healthcare providers, and the general public.

120. Defendant has made numerous misleading omissions, including their failure to disclose risk information as described herein, thereby giving rise to unnecessary pain and suffering.

121. Defendant's business practices relating to their products are unlawful because they constitute, *inter alia*, false advertising, intentional misrepresentation and fraudulent concealment.

122. As a direct and proximate result of Defendant's unlawful business practices and false advertising, Plaintiffs have suffered significant damages, including but not limited to physical injury and actual loss of money or property, and will continue to suffer such damages in the future.

1           123. WHEREFORE, Plaintiffs seek damages, restitution, disgorgement, injunctive relief,  
2 attorneys' fees and costs, and all other relief allowed under California Business and Professions Code §  
3 17200, *et seq.*

4                                   **NINTH CAUSE OF ACTION**

5                                   **LOSS OF CONSORTIUM**

6           124. Plaintiffs incorporate all paragraphs of this Complaint as if set forth herein.

7           125. At all times herein mentioned, Plaintiffs were, and are, legally married as husband and  
8 wife.

9           126. As a direct and proximate result of the aforementioned conduct of the Defendant, and as a  
10 result of the injuries and damages to Plaintiff Claudia Herrera, her husband, Plaintiff Peter Lowry, has  
11 been deprived of the love, companionship, comfort, affection, society, solace or moral support,  
12 protection, loss of enjoyment of sexual relations, and loss of physical assistance in the operation and  
13 maintenance of the home, and has thereby sustained, and will continue to sustain damages.

14           127. WHEREFORE, Plaintiffs demand judgment against the Defendant and seek  
15 compensatory damages, and exemplary and punitive damages together with interest, the costs of  
16 suit and attorneys' fees and such other and further relief as this Court deems just and proper.

17                                   **PRAYER FOR DAMAGES**

18           WHEREFORE, as so far as the law and this Court allows, Plaintiffs demand judgment  
19 against the Defendant as follows:

20                   **AS TO THE FIRST CAUSE OF ACTION FOR NEGLIGENCE:**

- 21           1. General damages according to proof at the time of trial;
- 22           2. Medical and other special damages, past, present and future, according to proof at the  
23 time of trial;
- 24           3. Loss of earnings and loss of earnings capacity, according to proof at the time of trial;
- 25           4. For medical monitoring according to proof;
- 26           5. For pre-judgment and post-judgment interest as followed by the laws of the state of  
27 California;
- 28           6. Costs of suit incurred herein; and

7. For such other and further relief as the court may deem just and proper.

**AS TO THE SECOND CAUSE OF ACTION FOR STRICT PRODUCT LIABILITY –  
DESIGN DEFECT:**

1. General damages according to proof at the time of trial;
2. Medical and other special damages, past, present and future, according to proof at the time of trial;
3. Loss of earnings and loss of earnings capacity, according to proof at the time of trial;
4. For medical monitoring according to proof;
5. For pre-judgment and post-judgment interest as followed by the laws of the state of California;
6. Costs of suit incurred herein; and
7. For such other and further relief as the court may deem just and proper.

**AS TO THE THIRD CAUSE OF ACTION FOR STRICT PRODUCT LIABILITY –  
FAILURE TO WARN:**

1. General damages according to proof at the time of trial;
2. Medical and other special damages, past, present and future, according to proof at the time of trial;
3. Loss of earnings and loss of earnings capacity, according to proof at the time of trial;
4. For medical monitoring according to proof;
5. For pre-judgment and post-judgment interest as followed by the laws of the state of California;
6. Punitive and exemplary damages;
7. Costs of suit incurred herein; and
8. For such other and further relief as the court may deem just and proper.

**AS TO THE FOURTH CAUSE OF ACTION FOR STRICT PRODUCT LIABILITY:**

1. General damages according to proof at the time of trial;
2. Medical and other special damages, past, present and future, according to proof at the

1 time of trial;

2 3. Loss of earnings and loss of earnings capacity, according to proof at the time of trial;

3 4. For medical monitoring according to proof;

4 5. For pre-judgment and post-judgment interest as followed by the laws of the state of  
5 California;

6 6. Punitive and exemplary damages;

7 7. Costs of suit incurred herein; and

8 8. For such other and further relief as the court may deem just and proper.

9 **AS TO THE FIFTH CAUSE OF ACTION FOR NEGLIGENT**

10 **MISREPRESENTATION:**

11 1. General damages according to proof at the time of trial;

12 2. Medical and other special damages, past, present and future, according to proof at the  
13 time of trial;

14 3. Loss of earnings and loss of earnings capacity, according to proof at the time of trial;

15 4. For medical monitoring according to proof;

16 5. For pre-judgment and post-judgment interest as followed by the laws of the state of  
17 California;

18 6. Punitive and exemplary damages;

19 7. Costs of suit incurred herein; and

20 8. For such other and further relief as the court may deem just and proper.

21 **AS TO THE SIXTH CAUSE OF ACTION FOR FRAUD:**

22 1. General damages according to proof at the time of trial;

23 2. Medical and other special damages, past, present and future, according to proof at the  
24 time of trial;

25 3. Loss of earnings and loss of earnings capacity, according to proof at the time of trial;

26 4. For medical monitoring according to proof;

27 5. For pre-judgment and post-judgment interest as followed by the laws of the state of  
28 California;

6. Punitive and exemplary damages;
7. Costs of suit incurred herein; and
8. For such other and further relief as the court may deem just and proper.

**AS TO THE SEVENTH CAUSE OF ACTION FOR BREACH OF IMPLIED**

**WARRANTY:**

1. General damages according to proof at the time of trial;
2. Medical and other special damages, past, present and future, according to proof at the time of trial;
3. Loss of earnings and loss of earnings capacity, according to proof at the time of trial;
4. For medical monitoring according to proof;
5. For pre-judgment and post-judgment interest as followed by the laws of the state of California;
6. Costs of suit incurred herein; and
7. For such other and further relief as the court may deem just and proper.

**AS TO THE EIGHTH CAUSE OF ACTION FOR VIOLATION OF BUSINESS AND PROFESSIONS CODE §§ 17200, et seq.:**

1. For injunctive relief, forever enjoining Defendant from the acts of unfair competition and untrue and misleading business practices, and ordering Defendant to pay restitution to Plaintiff all funds acquired by means of any act or practice declared by this Court to be in violation of Business and Professions Code §§ 17200, et seq., unlawful or fraudulent, or to constitute unfair competition or untrue or misleading advertising;
2. For disgorgement of Defendant's profits;
3. For exemplary and punitive damages in an amount to be proven at trial;
4. For attorney fees, according to proof;
5. For such other and further relief as the Court deems just and proper.

**AS TO THE NINTH CAUSE OF ACTION FOR LOSS OF CONSORTIUM:**

1. General damages according to proof at the time of trial;

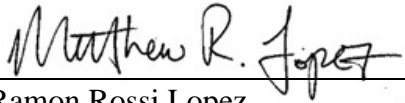
2. Medical and other special damages, past, present and future, according to proof at the time of trial;
3. Loss of earnings and loss of earnings capacity, according to proof at the time of trial;
4. For medical monitoring according to proof;
5. For pre-judgment and post-judgment interest as followed by the laws of the state of California;
6. Costs of suit incurred herein; and
7. For such other and further relief as the court may deem just and proper.

**DEMAND FOR JURY TRIAL**

Plaintiffs CLAUDIA HERRERA and PETER LOWRY demand a jury trial.

DATED: April 17, 2013

LOPEZ McHUGH LLP

By:   
Ramon Rossi Lopez  
Matthew Ramon Lopez  
Attorneys for Plaintiff, SIDNEY CARTER

**Of Counsel:**

Harris L. Pogust (for *pro hac vice* consideration)  
T. Matthew Leckman (for *pro hac vice* consideration)  
POGUST BRASLOW & MILLROOD, LLC  
Eight Tower Bridge, Suite 1520  
161 Washington Street  
Conshohocken, PA 19428  
[hpogust@pbmattorneys.com](mailto:hpogust@pbmattorneys.com)  
[mleckman@pbmattorneys.com](mailto:mleckman@pbmattorneys.com)

**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

**NOTICE OF ASSIGNMENT TO UNITED STATES MAGISTRATE JUDGE FOR DISCOVERY**

This case has been assigned to District Judge George H. Wu and the assigned discovery Magistrate Judge is Patrick J. Walsh.

The case number on all documents filed with the Court should read as follows:

**CV13- 2702 GW (PJWx)**

Pursuant to General Order 05-07 of the United States District Court for the Central District of California, the Magistrate Judge has been designated to hear discovery related motions.

All discovery related motions should be noticed on the calendar of the Magistrate Judge

=====

**NOTICE TO COUNSEL**

*A copy of this notice must be served with the summons and complaint on all defendants (if a removal action is filed, a copy of this notice must be served on all plaintiffs).*

Subsequent documents must be filed at the following location:

☒ **Western Division**  
312 N. Spring St., Rm. G-8  
Los Angeles, CA 90012

☐ **Southern Division**  
411 West Fourth St., Rm. 1-053  
Santa Ana, CA 92701-4516

☐ **Eastern Division**  
3470 Twelfth St., Rm. 134  
Riverside, CA 92501

Failure to file at the proper location will result in your documents being returned to you.

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the  
Central District of California

CLAUDIA HERRERA and PETER LOWRY,

*Plaintiff(s)*

v.

ELI LILLY AND COMPANY, a corporation; and  
DOES 1 through 50, inclusive,

*Defendant(s)*

Civil Action No. **CV 13-02702** -GW  
(PSW)

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Ramon R. Lopez  
Matthew R. Lopez  
Lopez McHugh LLP  
100 Bayview Circle, Suite 5600  
Newport Beach, CA 92660

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

Date: APR 17 2013

CLERK OF COURT  
UNITED STATES DISTRICT COURT  
MARILYN DOWNS  
Signature of Clerk or Deputy Clerk  
1227

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. \_\_\_\_\_

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* \_\_\_\_\_  
was received by me on *(date)* \_\_\_\_\_.

☐ I personally served the summons on the individual at *(place)* \_\_\_\_\_  
\_\_\_\_\_ on *(date)* \_\_\_\_\_; or

☐ I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
\_\_\_\_\_, a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
\_\_\_\_\_ on *(date)* \_\_\_\_\_; or

☐ I returned the summons unexecuted because \_\_\_\_\_; or

☐ Other *(specify)*: \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ \_\_\_\_\_ 0.00.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

\_\_\_\_\_  
*Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Central District of California

CLAUDIA HERRERA and PETER LOWRY,

\_\_\_\_\_  
*Plaintiff(s)*

v.

ELI LILLY AND COMPANY, a corporation; and  
DOES 1 through 50, inclusive,

\_\_\_\_\_  
*Defendant(s)*

Civil Action No.

**CV 13-02702-6W**  
(PSW)

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

A lawsuit has been filed against you.


Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Ramon R. Lopez  
Matthew R. Lopez  
Lopez McHugh LLP  
100 Bayview Circle, Suite 5600  
Newport Beach, CA 92660

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: APR 17 2013

  
\_\_\_\_\_  
*Signature of Clerk or Deputy Clerk*

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. \_\_\_\_\_

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* \_\_\_\_\_  
was received by me on *(date)* \_\_\_\_\_.

☐ I personally served the summons on the individual at *(place)* \_\_\_\_\_  
\_\_\_\_\_ on *(date)* \_\_\_\_\_; or

☐ I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
\_\_\_\_\_, a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
\_\_\_\_\_ on *(date)* \_\_\_\_\_; or

☐ I returned the summons unexecuted because \_\_\_\_\_; or

☐ Other *(specify)*: \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ \_\_\_\_\_ 0.00.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

\_\_\_\_\_  
*Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

**UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CALIFORNIA  
CIVIL COVER SHEET**

**I. (a) PLAINTIFFS** ( Check box if you are representing yourself ☐ )

CLAUDIA HERRERA and PETER LOWRY

**DEFENDANTS** ( Check box if you are representing yourself ☐ )

ELI LULLY AND COMPANY, a corporation; and DOES 1 through 50, inclusive

(b) Attorneys (Firm Name, Address and Telephone Number. If you are representing yourself, provide same.)

Ramon Rossi Lopez, Matthew Ramon Lopez, Lopez McHugh LLP  
100 Bayview Circle, Suite 5600, Newport Beach, CA 92660  
(949) 737-1501

(b) Attorneys (Firm Name, Address and Telephone Number. If you are representing yourself, provide same.)

**II. BASIS OF JURISDICTION** (Place an X in one box only.)

- ☐ 1. U.S. Government Plaintiff      ☐ 3. Federal Question (U.S. Government Not a Party)
- ☐ 2. U.S. Government Defendant      ☒ 4. Diversity (Indicate Citizenship of Parties in Item III)

**III. CITIZENSHIP OF PRINCIPAL PARTIES**-For Diversity Cases Only  
(Place an X in one box for plaintiff and one for defendant)

- |   |   |                                |   |                                |                                       |
|---|---|--------------------------------|---|--------------------------------|---------------------------------------|
| Citizen of This State                   | PTF <input checked="" type="checkbox"/> 1 | DEF <input type="checkbox"/> 1 | Incorporated or Principal Place of Business in this State     | PTF <input type="checkbox"/> 4 | DEF <input type="checkbox"/> 4        |
| Citizen of Another State                | <input type="checkbox"/> 2                | <input type="checkbox"/> 2     | Incorporated and Principal Place of Business in Another State | <input type="checkbox"/> 5     | <input checked="" type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3                | <input type="checkbox"/> 3     | Foreign Nation  | <input type="checkbox"/> 6     | <input type="checkbox"/> 6            |

**IV. ORIGIN** (Place an X in one box only.)

- ☒ 1. Original Proceeding      ☐ 2. Removed from State Court      ☐ 3. Remanded from Appellate Court      ☐ 4. Reinstated or Reopened      ☐ 5. Transferred from Another District (Specify)      ☐ 6. Multi-District Litigation

**V. REQUESTED IN COMPLAINT: JURY DEMAND:** ☒ Yes ☐ No (Check "Yes" only if demanded in complaint.)**CLASS ACTION under F.R.Cv.P. 23:** ☐ Yes ☒ No**MONEY DEMANDED IN COMPLAINT:** \$ \_\_\_\_\_**VI. CAUSE OF ACTION** (Cite the U.S. Civil Statute under which you are filing and write a brief statement of cause. Do not cite jurisdictional statutes unless diversity.)28 U.S.C. 1332(a)  
Personal Injury Product Liability Litigation**VII. NATURE OF SUIT** (Place an X in one box only.)

| OTHER STATUTES   | CONTRACT   | REAL PROPERTY CONT.  | IMMIGRATION  | PRISONER PETITIONS   | PROPERTY RIGHTS  |
|--|--|--|--|--|--|
| <input type="checkbox"/> 375 False Claims Act  | <input type="checkbox"/> 110 Insurance   | <input type="checkbox"/> 240 Torts to Land   | <input type="checkbox"/> 462 Naturalization Application            | <input type="checkbox"/> 463 Alien Detainee                              | <input type="checkbox"/> 820 Copyrights                          |
| <input type="checkbox"/> 400 State Reapportionment                                     | <input type="checkbox"/> 120 Marine  | <input type="checkbox"/> 245 Tort Product Liability  | <input type="checkbox"/> 465 Other Immigration Actions             | <input type="checkbox"/> 510 Motions to Vacate Sentence                  | <input type="checkbox"/> 830 Patent                              |
| <input type="checkbox"/> 410 Antitrust   | <input type="checkbox"/> 130 Miller Act  | <input type="checkbox"/> 290 All Other Real Property   |  | <input type="checkbox"/> 530 General                                     | <input type="checkbox"/> 840 Trademark                           |
| <input type="checkbox"/> 430 Banks and Banking   | <input type="checkbox"/> 140 Negotiable Instrument                             | <b>TORTS</b>   | <b>TORTS</b>   | <input type="checkbox"/> 535 Death Penalty                               | <b>SOCIAL SECURITY</b>   |
| <input type="checkbox"/> 450 Commerce/ICC Rates/Etc.                                   | <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment | <b>PERSONAL INJURY</b>   | <input type="checkbox"/> 370 Other Fraud                           | <b>Other:</b>  | <input type="checkbox"/> 861 HIA (1395ff)                        |
| <input type="checkbox"/> 460 Deportation   | <input type="checkbox"/> 151 Medicare Act                                      | <input type="checkbox"/> 310 Airplane  | <input type="checkbox"/> 371 Truth in Lending                      | <input type="checkbox"/> 540 Mandamus/Other                              | <input type="checkbox"/> 862 Black Lung (923)                    |
| <input type="checkbox"/> 470 Racketeer Influenced & Corrupt Org.                       | <input type="checkbox"/> 152 Recovery of Defaulted Student Loan (Excl. Vet.)   | <input type="checkbox"/> 315 Airplane Product Liability  | <input type="checkbox"/> 380 Other Personal Property Damage        | <input type="checkbox"/> 550 Civil Rights                                | <input type="checkbox"/> 863 DIWC/DIWW (405 (g))                 |
| <input type="checkbox"/> 480 Consumer Credit   | <input type="checkbox"/> 153 Recovery of Overpayment of Vet. Benefits          | <input type="checkbox"/> 320 Assault, Libel & Slander  | <input type="checkbox"/> 385 Property Damage Product Liability     | <input type="checkbox"/> 555 Prison Condition                            | <input type="checkbox"/> 864 SSID Title XVI                      |
| <input type="checkbox"/> 490 Cable/Sat TV  | <input type="checkbox"/> 160 Stockholders' Suits                               | <input type="checkbox"/> 330 Fed. Employers' Liability   | <b>BANKRUPTCY</b>  | <input type="checkbox"/> 560 Civil Detainee Conditions of Confinement    | <input type="checkbox"/> 865 RSI (405 (g))                       |
| <input type="checkbox"/> 850 Securities/Commodities/Exchange                           | <input type="checkbox"/> 190 Other Contract                                    | <input type="checkbox"/> 340 Marine  | <input type="checkbox"/> 422 Appeal 28 USC 158                     | <b>FORFEITURE/PENALTY</b>  | <b>FEDERAL TAX SUITS</b>   |
| <input type="checkbox"/> 890 Other Statutory Actions                                   | <input type="checkbox"/> 195 Contract Product Liability                        | <input type="checkbox"/> 345 Marine Product Liability  | <input type="checkbox"/> 423 Withdrawal 28 USC 157                 | <input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 | <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) |
| <input type="checkbox"/> 891 Agricultural Acts   | <input type="checkbox"/> 196 Franchise   | <input type="checkbox"/> 350 Motor Vehicle   | <b>CIVIL RIGHTS</b>  | <input type="checkbox"/> 690 Other                                       | <input type="checkbox"/> 871 IRS-Third Party 26 USC 7609         |
| <input type="checkbox"/> 893 Environmental Matters                                     | <b>REAL PROPERTY</b>   | <input type="checkbox"/> 355 Motor Vehicle Product Liability   | <input type="checkbox"/> 440 Other Civil Rights                    | <b>LABOR</b>   |  |
| <input type="checkbox"/> 895 Freedom of Info. Act                                      | <input type="checkbox"/> 210 Land  | <input type="checkbox"/> 360 Other Personal Injury   | <input type="checkbox"/> 441 Voting                                | <input type="checkbox"/> 710 Fair Labor Standards Act                    |  |
| <input type="checkbox"/> 896 Arbitration   | <input type="checkbox"/> 220 Foreclosure                                       | <input type="checkbox"/> 362 Personal Injury-Med Malpractice   | <input type="checkbox"/> 442 Employment                            | <input type="checkbox"/> 720 Labor/Mgmt. Relations                       |  |
| <input type="checkbox"/> 899 Admin. Procedures Act/Review of Appeal of Agency Decision | <input type="checkbox"/> 230 Rent Lease & Ejectment                            | <input type="checkbox"/> 365 Personal Injury-Product Liability                                       | <input type="checkbox"/> 443 Housing/Accommodations                | <input type="checkbox"/> 740 Railway Labor Act                           |  |
| <input type="checkbox"/> 950 Constitutionality of State Statutes                       |  | <input checked="" type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability | <input type="checkbox"/> 445 American with Disabilities-Employment | <input type="checkbox"/> 751 Family and Medical Leave Act                |  |
|  |  | <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability                              | <input type="checkbox"/> 446 American with Disabilities-Other      | <input type="checkbox"/> 790 Other Labor Litigation                      |  |
|  |  | <input type="checkbox"/> 448 Education   |  | <input type="checkbox"/> 791 Employee Ret. Inc. Security Act             |  |

FOR OFFICE USE ONLY: Case Number: **CV 13-02702**

AFTER COMPLETING PAGE 1 OF FORM CV-71, COMPLETE THE INFORMATION REQUESTED ON PAGE 2.

**UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CALIFORNIA**  
**CIVIL COVER SHEET**

**VIII(a). IDENTICAL CASES:** Has this action been previously filed in this court and dismissed, remanded or closed? ☒ NO ☐ YES

If yes, list case number(s): \_\_\_\_\_

**VIII(b). RELATED CASES:** Have any cases been previously filed in this court that are related to the present case? ☒ NO ☐ YES

If yes, list case number(s): \_\_\_\_\_

**Civil cases are deemed related if a previously filed case and the present case:**

- (Check all boxes that apply) ☐ A. Arise from the same or closely related transactions, happenings, or events; or  
☐ B. Call for determination of the same or substantially related or similar questions of law and fact; or  
☐ C. For other reasons would entail substantial duplication of labor if heard by different judges; or  
☐ D. Involve the same patent, trademark or copyright, and one of the factors identified above in a, b or c also is present.

**IX. VENUE:** (When completing the following information, use an additional sheet if necessary.)

(a) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which **EACH** named plaintiff resides.

☐ Check here if the government, its agencies or employees is a named plaintiff. If this box is checked, go to item (b).

|                                  |   |
|----------------------------------|---|
| <b>County in this District:*</b> | California County outside of this District; State, if other than California; or Foreign Country |
| Los Angeles County, CA           |   |

(b) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which **EACH** named defendant resides.

☐ Check here if the government, its agencies or employees is a named defendant. If this box is checked, go to item (c).

|                                  |   |
|----------------------------------|---|
| <b>County in this District:*</b> | California County outside of this District; State, if other than California; or Foreign Country |
|                                  | Marion County, IN   |

(c) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which **EACH** claim arose.  
**NOTE: In land condemnation cases, use the location of the tract of land involved.**

|                                  |   |
|----------------------------------|---|
| <b>County in this District:*</b> | California County outside of this District; State, if other than California; or Foreign Country |
| Los Angeles, CA                  |   |

\*Los Angeles, Orange, San Bernardino, Riverside, Ventura, Santa Barbara, or San Luis Obispo Counties

**Note:** In land condemnation cases, use the location of the tract of land involved

**X. SIGNATURE OF ATTORNEY (OR SELF-REPRESENTED LITIGANT):** Matthew R. Lopez DATE: April 17, 2013

**Notice to Counsel/Parties:** The CV-71 (JS-44) Civil Cover Sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law. This form, approved by the Judicial Conference of the United States in September 1974, is required pursuant to Local Rule 3-1 is not filed but is used by the Clerk of the Court for the purpose of statistics, venue and initiating the civil docket sheet. (For more detailed instructions, see separate instructions sheet).

Key to Statistical codes relating to Social Security Cases:

| Nature of Suit Code | Abbreviation | Substantive Statement of Cause of Action   |
|---------------------|--------------|--|
| 861                 | HIA          | All claims for health insurance benefits (Medicare) under Title 18, Part A, of the Social Security Act, as amended. Also, include claims by hospitals, skilled nursing facilities, etc., for certification as providers of services under the program. (42 U.S.C. 1935FF(b)) |
| 862                 | BL           | All claims for "Black Lung" benefits under Title 4, Part B, of the Federal Coal Mine Health and Safety Act of 1969. (30 U.S.C. 923)  |
| 863                 | DIWC         | All claims filed by insured workers for disability insurance benefits under Title 2 of the Social Security Act, as amended; plus all claims filed for child's insurance benefits based on disability. (42 U.S.C. 405 (g))  |
| 863                 | DIWW         | All claims filed for widows or widowers insurance benefits based on disability under Title 2 of the Social Security Act, as amended. (42 U.S.C. 405 (g))   |
| 864                 | SSID         | All claims for supplemental security income payments based upon disability filed under Title 16 of the Social Security Act, as amended.  |
| 865                 | RSI          | All claims for retirement (old age) and survivors benefits under Title 2 of the Social Security Act, as amended. (42 U.S.C. 405 (g))   |