### UNITED STATES DISTRICT COURT DISTRICT OF MINNESOTA

Susan Borroughs,

Civil Action No:

Plaintiff,

v.

COMPLAINT AND DEMAND FOR JURY TRIAL

3M COMPANY, a Delaware corporation, and ARIZANT HEALTHCARE, INC., a Delaware corporation,

Defendants.

1. Plaintiff, Susan Borroughs, brings this Complaint against Defendants 3M Company (3M) and Arizant Healthcare, Inc. (Arizant) (hereinafter referred to collectively as "Defendants"), for injuries caused by Defendants' design, development, testing, assembling, manufacturing, packaging, promoting, marketing, distribution, supplying and/or selling the defective device sold under the trade name of Bair Hugger Forced Air Warming device (hereinafter "Bair Hugger" or "Defective Device").

#### **PARTIES**

- 2. Plaintiff is a citizen and resident of New Mexico.
- 3. Defendant 3M is a corporation organized and existing under the laws of the State of Delaware, with its principal place of business located in

Maplewood, Minnesota. 3M is engaged in the business of researching, developing, designing, licensing, manufacturing, distributing, supplying, selling, marketing and introducing into interstate commerce, either directly or indirectly through third parties or related entities, its products, including the Bair Hugger.

4. Defendant Arizant is a corporation organized and existing under the laws of the State of Delaware, with its headquarters located in Eden Prairie, MN. Arizant conducts business throughout the United States, including the State of Minnesota, and is a wholly owned subsidiary of Defendant 3M.

#### **JURISDICTION AND VENUE**

- 5. The Court has subject matter jurisdiction pursuant to 28 U.S.C. § 1332 insofar as the parties are citizens of different states and the amount in controversy in this matter exceeds Seventy-Five Thousand Dollars (\$75,000), exclusive of interest and costs.
- 6. Venue is proper in this jurisdiction pursuant to 28 U.S.C. § 1391 because a substantial number of the events, actions, or omissions giving rise to the Plaintiff's claims occurred in this district. Moreover, Defendants regularly solicited and engaged in business in this district. Defendants did (and do) business within the state of Minnesota and have had substantial, continuous, and systematic contacts with the state of Minnesota.

#### **FACTUAL ALLEGATIONS**

- 7. Defendants, directly, or through their agents, apparent agents, servants, or employees, designed, manufactured, marketed, advertised, distributed and sold the Bair Hugger.
- 8. More than 50,000 Bair Hugger units are currently in use across the country.
- 9. The Bair Hugger consists of a portable heater/blower connected by a flexible hose to a disposable blanket that is positioned over (or in some cases under) surgical patients. The system warms patients during surgery by blowing hot air on a patient's exposed skin.
- 10. The hot air produced by Bair Hugger accumulates under the surgical drape covering the patient and escapes from under the surgical drape below the level of the surgical table or at the head end of the surgical table. This escaped air creates air flow currents that flow against the downward air flow of the operating room. As this warmed air rises, it can deposit bacteria from the floor of the surgical room into the surgical site.
- 11. Upon information and belief, at some point between 2002 and 2009

  Defendants reduced the efficiency of the air filtration of Bair Hugger blowers.

  This action reduced the safety of such blowers.
  - 12. As a result of these actions by Defendants, the internal airflow paths

of Bair Hugger blowers can become contaminated with pathogens.

- 13. The contaminating pathogens incubate and proliferate within the internal airflow paths of Bair Hugger blowers.
- 14. These pathogens are then expelled from the interior of the Bair Hugger blower by the outward airflow, travel through the hose into the disposable blanket and escape into the operating room.
- 15. Upon information and belief, Defendants have been aware of the pathogenic contamination of the airflow paths of Bair Hugger blowers since at least 2009.
- 16. Defendants have actively and aggressively marketed the Bair Hugger as safe in both general and orthopedic surgeries despite their knowledge to the contrary.
- 17. In June of 1997, in a letter to the Food and Drug Administration ("FDA"), Defendants admitted that "air blown intraoperatively across the surgical wound may result in airborne contamination." Defendants addressed this flaw in their products by making further misrepresentations to the FDA when they stated that the risk of contamination by air flow is obviated because all "Bair Hugger Blankets designed for use in the operating room feature a tape barrier which prevent [sic] air from migrating toward the surgical site." This statement was and is patently false. A number of Bair Hugger blankets

marketed as safe for use in surgeries do not utilize a taped edge at all. Instead, those blankets blow contaminated air directly toward the surgical field. Also, the statement that the taped barrier would contain the contaminated air is false because it ignores the fact that the heated air from the Bair Hugger rises against the general downward airflow of the operating theatre. The presence of a tape edge does nothing to prevent the Bair Hugger from facilitating the movement of pathogens from the floor of the operating room to the surgical site. When Defendants made these representations, they had actual knowledge of their falsity.

- 18. In a communication to the FDA in September 2000, Defendants represented that the Bair Hugger's filtration system meets HEPA ("High Efficiency Particulate Air") Standards.
- 19. Upon information and belief, Defendants' statement in September 2000 was false at the time Defendants made it and remains false today. To meet HEPA standards, an air filter must be capable of removing 99.97% of all particles 0.3 microns or larger. The filter of the Bair Hugger, which is marketed as HEPA compliant, is capable of removing at most 65% of all such particles.
- 20. On Defendants' website, <a href="www.fawfacts.com/laminar\_airflow/">www.fawfacts.com/laminar\_airflow/</a> (last visited September 28, 2015), Defendants make the following misrepresentations:
  - a. Contamination mobilized by the convection currents generated by the Bair Hugger cannot reach the surgical site

- because "[a]ir velocity within the operating room is many times stronger than that of a forced-air warming blanket";
- b. "The air emerging from the blanket is directed downward by the surgical drape and emerges under the operating room table and is drawn away through the laminar system's return air inlets;"
- c. "It's been suggested that warm air rising above the Bair Hugger blanket could interfere with the downward laminar flow toward the surgical site. It should be noted that the Bair Hugger warming unit delivers less than one percent of the airflow of a laminar flow system and the momentum of the downward air is far greater than the upward momentum imparted to the air above the blanket."
- 21. Upon information and belief, these statements on Arizant's website, itemized in the preceding paragraph, are false and intentionally misleading. Through these statements, Defendants disguise the fact that the issue is not the strength of the airflow in a laminar system but the heat of the air generated by the Bair Hugger. The cold air circulated with the operating room, having a higher density than the air heated by the Bair Hugger, falls to the floor which forces the contaminated air at the floor of the operating room, now warmed by the waste heat from the Bair Hugger, to rise into the sterile field and the surgical site. The heated air rises, and is not "drawn away" as Defendants falsely claim in their advertisement.
- 22. In an advertisement that appeared in multiple medical publications as early as 2010, available online at:

http://www.fawfacts.com/\_asset/zn062p/AJIC.pdf (last visited September 28, 2015), Defendants made the following false and deliberately misleading claims:

"While simple logic makes it clear that forced air warming has no impact on laminar conditions, science also supports this. A forced air warming blanket delivers less than one percent of the airflow of a laminar flow system and therefore is unable to affect laminar flow ventilation systems."

As published scientific research has demonstrated, this statement is untrue. The exhaust generated by the Bair Hugger creates convective airflow patterns which disrupt the laminar flow of the operating theater.

- 23. In a communication that appeared in Healthcare Purchasing News in July of 2012, Defendants' public relations and communications specialist Greta Deutsch stated "some conductive-warming manufacturers have alleged that forced-air warming increases bacterial contamination of operating rooms or interrupts laminar airflow. These accusations have no factual basis." Again, this statement ignores numerous published studies documenting the adverse effects the Bair Hugger has on laminar airflow.
- 24. The publication of numerous peer-reviewed studies identifying and documenting the critical safety shortcomings of the Bair Hugger should have prompted Defendants to redesign or discontinue their product. Instead, those criticisms only caused Defendants to increase their efforts to promote the Bair Hugger. These publications include, but are not limited to, the following:

- a. Albrecht M, et al. Forced-air warming blowers: An evaluation of filtration adequacy and airborne contamination emissions in the operating room. *Am J Infect Control* 2010; 39:321-8;
- b. Leaper D, et al. Forced-air warming: a source of airborne contamination in the operating room? *Orthopedic Rev.* 2009;1(2):e28;
- c. McGovern, P.D., et al. Forced-air warming and ultra-clean ventilation do not mix. *J Bone and Joint Surg-Br.* 2011;93-B(11):1537-1544;
- d. Legg, A. et al. Do forced air patient-warming devices disrupt unidirectional downward airflow? *J Bone and Joint Surg-Br*. 2012;94-B:254-6;
- e. Belani, K., et al. Patient warming excess heat: The effects on orthopedic operating room ventilation performance. *Anesthesia & Analgesia* 2012 (prepublication on-line) 2013;117(2):406-411; and
- f. Dasari, K.B., et al. Effect of forced air warming on the performance of operating theatre laminar flow ventilation. *Anaesthesia* 2012;67:244-249.
- 25. These misrepresentations mislead healthcare providers about the safety of the Bair Hugger for use in surgical procedures. Defendants were aware of the falsity of their misrepresentations at the time those misrepresentations were authored.
- 26. Rather than alter the design of their product or warn physicians of the dangers associated with the Bair Hugger, as numerous studies confirm, Defendants have chosen to "double down" on their efforts to promote their

defective product.

- 27. Plaintiff's physicians relied upon the above representations and advertisements to Plaintiff's detriment. However, through misrepresentations to the public, the medical community, and the FDA, Defendants actively and knowingly concealed the propensity of these devices to cause infection in orthopedic implant surgeries.
- 28. As a result of the failure of Defendants' Bair Hugger to maintain the sterility of the surgical area and Defendants' wrongful conduct in designing, manufacturing, and marketing this defective product, Plaintiff and Plaintiff's physician were unaware, and could not have reasonably known or have learned through reasonable diligence, that Plaintiff had been exposed to the risks identified in this complaint, and that those risks were the direct and proximate result of Defendants' acts, omissions and misrepresentations.

#### PLAINTIFF'S SPECIFIC EXPERIENCE

- 29. As a result of the defective design of the Bair Hugger, Plaintiff has suffered and may continue to suffer severe and permanent personal injuries.
- 30. On December 5, 2012, the Bair Hugger was used on Plaintiff during the course of Plaintiff's knee replacement surgery.
- 31. Plaintiff began experiencing persistent pain related to a deep joint infection in the left knee and was diagnosed with an MRSA infection.

- 32. Several weeks later, on January 4, 2013, Plaintiff was forced to undergo surgery for wound irrigation and debridement and the surgical implantation of an antibiotic spacer.
- 33. Plaintiff underwent another surgery in April 2013 to remove the spacer and revise her knee.
- 34. Over the next several months, Plaintiff underwent three additional surgeries to revise her knee.
- 35. Because the Bair Hugger was used on the initial knee replacement surgery for Plaintiff, contaminants were introduced to Plaintiff's open surgical wound, resulting in a severe infection.
- 36. Due to the infection, Plaintiff was forced to undergo multiple additional surgical procedures to remove the implant and clean the infected area, and Plaintiff continues to suffer substantial damages, including but not limited to impaired mobility, as she cannot walk without assistance and suffers from chronic knee pain.
- 37. Plaintiff now suffers and will continue to suffer from permanent damages as a result of the Bair Hugger-induced infection.
- 38. Defendants concealed and continue to conceal their knowledge of the Bair Hugger's unreasonably dangerous risks from Plaintiff, other consumers, and the medical community.

- 39. Defendants failed to conduct adequate and sufficient post-marketing surveillance after they began marketing, advertising, distributing and selling the Bair Hugger.
- 41. As a result of Defendants' actions and inactions, Plaintiff was injured due to the use of the Bair Hugger, which has caused and will continue to cause Plaintiff's various injuries and damages. Accordingly, Plaintiff seeks compensatory damages.

#### **CAUSES OF ACTION**

#### **COUNT I - NEGLIGENCE**

- 42. Plaintiff hereby incorporates by reference all preceding paragraphs as if fully set forth herein.
- 43. Defendants owed Plaintiff a duty to exercise reasonable care when designing, manufacturing, marketing, advertising, distributing, and selling the Bair Hugger.
- 44. Defendants failed to exercise due care under the circumstances and therefore breached this duty by:
  - a. Failing to properly and thoroughly test the Bair Hugger before releasing the device to market;
  - b. Failing to properly and thoroughly analyze the data resulting from the pre-market tests of the Bair Hugger;
  - c. Failing to conduct sufficient post-market testing and surveillance of the Bair Hugger;

- d. Designing, manufacturing, marketing, advertising, distributing, and selling the Bair Hugger to consumers, including Plaintiff, without an adequate warning of the significant and dangerous risks of the Bair Hugger and without proper instructions to avoid the harm which could foreseeably occur as a result of using the device;
- e. Failing to exercise due care when advertising and promoting the Bair Hugger; and
- f. Negligently continuing to manufacture, market, advertise, and distribute the Bair Hugger after Defendants knew or should have known of its adverse effects.
- 45. As a direct and proximate result of Defendants' actions, omissions and misrepresentations, Plaintiff suffered an infection, requiring additional treatment. Consequently, Plaintiff has suffered damages and incurred and will continue to incur medical expenses as a result of using the Bair Hugger.
- 46. Plaintiff has also suffered and will continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, increased risk of premature death, aggravation of preexisting condition and activation of latent conditions, and other losses and damages. Plaintiff's direct medical losses and costs include care for hospitalization, physician care, monitoring, treatment, medications and supplies. Plaintiff has incurred and will continue to incur mental and physical pain and suffering and loss of wages and wage earning capacity.
  - 47. Defendants' conduct as described above was committed with

knowing, conscious, wanton, willful and deliberate disregard for the value of human life and the rights and safety of consumers such as Plaintiff. Defendants' conduct warrants, if allowed by the Court upon motion, an award of punitive damages against Defendants in an amount appropriate to punish Defendants and deter them from similar conduct in the future.

# COUNT II - VIOLATION OF MINNESOTA'S DECEPTIVE TRADE PRACTICES LAWS

- 48. Plaintiff hereby incorporates by reference all preceding paragraphs as if fully set forth herein.
- 49. Defendants have violated and continue to violate Minnesota's Deceptive Trade Practices statutes, Minn. Stat. § 325D.44.
- 50. Defendants are corporations who intentionally sell merchandise, including the Bair Hugger, to consumers, including consumers in Minnesota.
- 51. In advertising the Bair Hugger through various means in Minnesota, including but not limited to television, radio, internet, the products label, pamphlets and letters, Defendants made material assertions, representations, or statements of fact which are untrue, deceptive, or misleading.
- 52. Defendants violated the Minnesota Deceptive Trade Practice Statute through, *inter alia*, the following:
  - a. Representing, through statements and advertisements, that the Bair Hugger has approval, characteristics, uses, or benefits that

it does not have;

- b. Representing through statements and advertisements, that the Bair Hugger and its filtration system is of a particular standard, quality, or grade when it differs materially from that representation;
- c. Representing, through statements and advertisement, that the Bair Hugger has uses, benefits, or characteristics that have been otherwise proven incorrect; and
- d. Falsely stating, knowingly or with reason to know, that services or repairs are not needed.
- 53. As a direct and proximate result of Defendants' actions, omissions, and misrepresentations, Plaintiff suffered an infection, requiring additional, extensive treatment. Consequently, Plaintiff has suffered damages and incurred and will continue to incur medical expenses as a result of using the Bair Hugger.
- 54. Plaintiff has also suffered and will continue to suffer diminished capacity for the enjoyment of life, a diminished qualify of life, increased risk of premature death, aggravation of preexisting conditions and activation of latent conditions, and other losses and damages. Plaintiff's direct medical losses and costs include care for hospitalization, physician care, monitoring, treatment, medications and supplies. Plaintiff has incurred and will continue to incur mental and physical pain and suffering and loss of wages and wage-earning capacity.
  - 55. Defendants' conduct as described above was committed with

knowing, conscious, wanton, willful and deliberate disregard for the value of human life and the rights and safety of consumers such as Plaintiff. Defendants' conduct warrants, if allowed by the Court upon motion, an award of punitive damages against Defendants in an amount appropriate to punish Defendants and deter them from similar conduct in the future.

#### **COUNT III - STRICT LIABILITY FAILURE TO WARN**

- 56. Plaintiff hereby incorporates by reference all preceding paragraphs as if fully set forth herein.
- 57. Defendants, or entities under their control, manufactured, sold, distributed, marketed or supplied the Bair Hugger in a defective and unreasonably dangerous condition to consumers, including Plaintiff.
- 58. Specifically, Defendants failed to warn of the injuries suffered by Plaintiff as a result of using the Bair Hugger, and they introduced into the stream of commerce a defectively designed or manufactured product.
- 59. Defendants designed, manufactured, sold, distributed, supplied, marketed or promoted the Bair Hugger, which was expected to reach and did in fact reach consumers, including Plaintiff, without substantial change in the condition in which it was manufactured and sold by Defendants.
- 60. Plaintiff and Plaintiff's physicians used the Bair Hugger in a manner normally intended, recommended, promoted and marketed by Defendants.

- 61. The Bair Hugger failed to perform safely when used by ordinary consumers, including Plaintiff, including when it was used as intended and in a reasonably foreseeable manner.
- 62. The propensity of the Bair Hugger's internal air flow passageways, including its non-HEPA compliant filter, to become contaminated with pathogens makes the Bair Hugger unreasonably dangerous when used in the way it is ordinarily used and is dangerous to an extent beyond that which would be contemplated by the ordinary consumer who purchased it, with the ordinary knowledge common to the community as to its characteristics.
- 63. Because Defendants researched, designed, tested, manufactured, inspected, labeled, distributed, marketed, promoted, sold and otherwise released into the stream of commerce the Bair Hugger and in doing so, directly advertised or marketed the product to the FDA, health care professionals, and consumers, or persons responsible for consumers, they had a duty to warn of the risks associated with the use of the Bair Hugger.
- 64. Defendants failed to adequately warn health care professionals and the public, including Plaintiff and Plaintiff's physician, of the true risks of the Bair Hugger, including that the Bair Hugger would circulate contaminated air in the operating room and that the vented heat from Bair Hugger would mobilize floor air contaminated with pathogens into the surgical site, causing deep joint

infections, and requiring further treatment, including surgery or amputation.

- 65. Defendants failed to provide timely and reasonable warnings regarding the safety and efficacy of the Bair Hugger. Had they done so, proper warnings would have been heeded and no health care professional, including Plaintiff's physicians, would have used Bair Hugger, and no patient, including Plaintiff, would have allowed use of the Bair Hugger.
- 66. The failure to provide timely and reasonable warnings, instructions, and information regarding the Bair Hugger to Plaintiff or Plaintiff's physician rendered the Bair Hugger unreasonably dangerous.
- 67. As a direct and proximate result of Defendants' actions, omissions and misrepresentations, Plaintiff suffered an infection, requiring additional surgical procedures to clean the infected area and/or remove the orthopedic implant. Consequently, Plaintiff has suffered damages and incurred and will continue to incur medical expenses as a result of using the Bair Hugger. Plaintiff has also suffered and will continue to suffer diminished capacity of the enjoyment of life, a diminished quality of life, increased risk of premature death, aggravation of preexisting conditions and activation of latent conditions, and other losses and damages. Plaintiff's direct medical losses and costs include care for hospitalizations, physician care, monitoring, treatment, medications and supplies. Plaintiff has incurred and will continue to incur mental and physical

pain and suffering and loss of wages and wage earning capacity.

68. Defendants' conduct described above was committed with knowing, conscious, wanton, willful, and deliberate disregard for the value of human life and the rights and safety of consumers such as Plaintiff. Defendants' conduct warrants, if allowed by the Court upon motion, an award of punitive damages against Defendants in an amount appropriate to punish the Defendants and deter them from similar conduct in the future.

## COUNT IV - STRICT LIABILITY DEFECTIVE DESIGN AND MANUFACTURE

- 69. Plaintiff hereby incorporates by reference all preceding paragraphs as if fully set forth herein.
- 70. Defendants, or entities under their control, manufactured, sold, distributed, marketed or supplied the Bair Hugger in a defective and unreasonably dangerous condition to consumers, including Plaintiff.
- 71. Specifically, Defendants failed to warn of the injuries suffered by Plaintiff as a result of using the Bair Hugger, and they introduced into the stream of commerce a defectively designed or manufactured product.
- 72. Defendants designed, manufactured, sold, distributed, supplied, marketed or promoted the Bair Hugger, which was expected to reach and did in fact reach consumers, including Plaintiff, without substantial change in the

condition in which it was manufactured and sold by the Defendants.

- 73. Plaintiff and Plaintiff's physicians used the Bair Hugger in a manner normally intended, recommended, promoted and marketed by Defendants.
- 74. The Bair Hugger failed to perform safely when used by ordinary consumers, including Plaintiff, including when it was used as intended and in a reasonably foreseeable manner.
- 75. The propensity of the Bair Hugger's internal air flow passageways, including its non-HEPA compliant filter, to become contaminated with pathogens makes the Bair Hugger unreasonably dangerous when used in the way it is ordinarily used and is dangerous to an extent beyond that which would be contemplated by the ordinary consumer who purchased it, with the ordinary knowledge common to the community as to its characteristics.
- 76. The design of the Bair Hugger or its component parts, makes the Bair Hugger unreasonably dangerous, taking into consideration the utility of the device and the risk involved in its use.
- 77. At all times relevant to this action, an economically and technologically feasible safer alternative design existed, which in reasonable medical probability:
  - a. would have prevented or significantly reduced the risk of Plaintiff's infection and subsequent injuries (including additional surgical procedures to clean the infected area and/or remove the implant); and

- b. would not have impaired the utility of the device
- 78. Specifically, the Bair Hugger is defective in its design in that it is not reasonably fit, suitable or safe for its intended purpose or its foreseeable risks exceed the benefits associated with its design.
- 79. The defective condition of the Bair Hugger rendered it unreasonably dangerous or not reasonably safe and the Bair Hugger was in this defective condition at the time it left the hands of Defendants. The Bair Hugger was expected to and did reach Plaintiff and Plaintiff's physicians without substantial change in the condition in which it was designed, manufactured, labeled, sold, distributed, marketed, promoted, supplied, and otherwise released into the stream of commerce.
- 80. Defendants knew or should have known of the danger associated with the use of the Bair Hugger, as well as the defective nature of the Bair Hugger, but have continued to design, manufacture, sell, distribute, market, promote, or supply the Bair Hugger so as to maximize sales and profits at the expense of the public health and safety, in conscious disregard of the foreseeable harm caused by Bair Hugger.
- 81. As a direct and proximate result of Defendants' actions, omissions and misrepresentations, Plaintiff suffered an infection, requiring additional surgical procedures to clean the infected area and/or remove the orthopedic

implant. Consequently, Plaintiff has suffered damages and incurred and will continue to incur medical expenses as a result of using the Bair Hugger. Plaintiff has also suffered and will continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, increased risk of premature death, aggravation of preexisting conditions and activation of latent conditions, and other losses and damages. Plaintiff's direct medical losses and costs include care for hospitalization, physician care, monitoring, treatment, medications and supplies. Plaintiff has incurred and will continue to incur mental and physical pain and suffering and loss wages and wage earning capacity.

82. Defendants' conduct as described above was committed with knowing, conscious, wanton, willful, and deliberate disregard for the value of human life and the rights and safety of consumers such as Plaintiff. Defendants' conduct warrants, if allowed by the Court upon motion, an award of punitive damages against Defendants in an amount appropriate to punish Defendants and deter them from similar conduct in the future.

#### **COUNT V - BREACH OF IMPLIED WARRANTY**

- 83. Plaintiff hereby incorporates by reference all preceding paragraphs as if fully set forth herein.
- 84. The Defendants designed, manufactured, distributed, advertised, promoted and sold the Bair Hugger for use in sterile, surgical environments.

- 85. At all relevant times, Defendants knew of the use for which the Bair Hugger was intended and impliedly warranted the product to be of merchantable quality and safe and fit for such use.
- 86. Defendants were aware that consumers, including Plaintiff, would use the Bair Hugger for treatment in conjunction with orthopedic surgical procedures.
- 87. Plaintiff, Plaintiff's physician, and the medical community reasonably relied upon the judgment and sensibility of Defendants to sell the Bair Hugger only if it was indeed of merchantable quality and safe and fit for its intended use.
- 88. Defendants breached their implied warranty to consumers, including Plaintiff; the Bair Hugger was not of merchantable quality or safe and fit for its intended use.
- 89. Consumers, including Plaintiff, Plaintiff's physician, and the medical community reasonably relied upon Defendants implied warranty for the Bair Hugger.
- 90. Plaintiff and Plaintiff's physician, by the use of reasonable care, would not have discovered the breached warranty and realized its danger.
- 91. As a direct and proximate result of Defendants' actions, omissions and misrepresentations, Plaintiff suffered an infection, requiring additional

surgical procedures to clean the infected area and/or remove the orthopedic implant. Consequently, Plaintiff suffered damages and incurred and will continue to incur medical expenses as a result of using the Bair Hugger. Plaintiff has also suffered and will continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, increased risk of premature death, aggravation of preexisting conditions and activation of latent conditions, and other losses and damages. Plaintiff's direct medical losses and costs include care for hospitalization, physician care, monitoring, treatment, medications and supplies. Plaintiff has incurred and will continue to incur mental and physical pain and suffering and loss of wages and wage earning capacity.

92. Defendant's conduct as described above was committed with knowing, conscious, wanton, willful and deliberate disregard for the value of human life and the rights and safety of consumers such as Plaintiff. Defendants' conduct warrants, if allowed by the Court upon motion, an award of punitive damages against Defendants in an amount appropriate to punish the Defendants and deter them from similar conduct in the future.

#### **COUNT VI - NEGLIGENT MISREPRESENTATION**

- 93. Plaintiff restates the allegations set forth above as if fully rewritten herein.
- 94. Defendants made negligent misrepresentations with respect to the

Bair Hugger including, but not limited to, the following particulars:

- a. Defendants represented through the labeling, advertising, marketing materials, seminar presentations, publications, notice letters, and regulatory submissions that Bair Hugger has been tested and found to be safe and effective for the warming of patients during orthopedic implant surgery; and
- b. Defendants represented the Bair Hugger was safer than other patient warming systems.
- 95. Defendants did not exercise reasonable care or competence in obtaining or communicating the information to the public regarding the characteristics and qualities of the Bair Hugger.
- 96. Plaintiff and Plaintiff's physicians did, in fact, reasonably rely upon the representations.
- 97. As a direct and proximate result of Defendants' actions, omissions and misrepresentations, Plaintiff suffered an infection, requiring additional surgical procedures to clean the infected area and/or remove the orthopedic implant. Consequently, Plaintiff has suffered damages and incurred and will continue to incur medical expenses as a result of using the Bair Hugger. Plaintiff has also suffered and will continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, increased risk of premature death, aggravation of preexisting conditions and activation of latent conditions, and other losses and damages. Plaintiff's direct medical losses and costs include care for hospitalization, physician care, monitoring, treatment, medications and

supplies. Plaintiff has incurred and will continue to incur mental and physical pain and suffering and loss of wages and wage earning capacity.

98. Upon information and belief, Defendants' conduct as described above was committed with knowing, conscious, wanton, willful and deliberate disregard for the value of human life and the rights and safety of consumers such as Plaintiff. Defendants' conduct warrants, if allowed by the Court upon motion, an award of punitive damages against Defendants in an amount appropriate to punish the Defendants and deter them from similar conduct in the future.

#### **COUNT VII - FRAUDULENT MISREPRESENTATION**

- 99. Plaintiff hereby incorporates by reference all preceding paragraphs as if fully set forth herein.
- 100. The Defendants made fraudulent misrepresentations with respect to the Bair Hugger including, but not limited to, the following particulars:
  - a. The Defendants represented through the labeling, advertising, marketing materials, seminar presentations, publications, notice letters, and regulatory submissions that the Bair Hugger has been tested and found to be safe and effective for the warming of patients during orthopedic implant surgery; and
  - b. The Defendants represented Bair Hugger was safer than other patient warming systems.
- 101. Defendants knew that their representations were false, yet they willfully, wantonly, and recklessly disregarded their obligation to provide

truthful representations regarding the safety and risks of Bair Hugger to consumers, including Plaintiff, and the medical community.

- 102. The representations were made by Defendants with the intent that doctors and patients, including Plaintiff, rely upon them.
- 103. Defendants' representations were made with the intent of defrauding and deceiving Plaintiff, other consumers, and the medical community to induce and encourage the sale of Bair Hugger.
- 104. Plaintiff and Plaintiff's physicians did in fact rely upon the representations. In the absence of Defendants' representations, the Bair Hugger would not be used in implantation surgeries such as the one at issue in this case.
- 105. Defendants' fraudulent representations evidence their callous, reckless, and willful indifference to the health, safety, and welfare of consumers, including Plaintiff.
- 106. As a direct and proximate result of the Defendants' actions, omissions and misrepresentations, Plaintiff suffered an infection, requiring additional surgical procedures to clean the infected area and/or remove the orthopedic implant. Consequently, Plaintiff has suffered damaged and incurred and will continue to incur medical expenses as a result of using the Bair Hugger. Plaintiff has also suffered and will continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, increased risk of premature death,

aggravation of preexisting conditions and activation of latent conditions, and other losses and damages. Plaintiff's direct medical losses and costs include care for hospitalization, physician care, monitoring, treatment, medications and supplies. Plaintiff has incurred and will continue to incur mental and physical pain and suffering and loss of wages and wage earning capacity.

107. Upon information and belief, Defendants' conduct as described above was committed with knowing, conscious, wanton, willful and deliberate disregard for the value of human life and the rights and safety of consumers such as Plaintiff. Defendants' conduct warrants, if allowed by the Court upon motion, an award of punitive damages against Defendants in an amount appropriate to punish the Defendants and deter them from similar conduct in the future.

#### COUNT VIII - FRAUDULENT CONCEALMENT

- 108. Plaintiff hereby incorporates by reference all preceding paragraphs as if fully set forth herein.
- 109. Defendants fraudulently concealed information with respect to the Bair Hugger including, but not limited to, the following particulars:
  - a. Defendants represented through the labeling, advertising, marketing materials, seminar presentations, publications, notice letters, and regulatory submissions that the Bair Hugger was safe and fraudulently withheld and concealed information about the substantial risk of using Bair Hugger; and
  - b. Defendants represented that Bair Hugger was safe and safer

than other alternative systems and fraudulently concealed information that demonstrated that Bair Hugger was not safer than alternatives available on the market.

- 110. Defendants had sole access to material facts concerning the dangers and unreasonable risks of the Bair Hugger.
- 111. The concealment of information by Defendants about the risks of the Bair Hugger was intentional, and the representations made by Defendants were known by Defendants to be false.
- 112. The concealment of information and the misrepresentations about Bair Hugger were made by the Defendants with the intent that doctors and patients, including Plaintiff and Plaintiff's doctors, rely upon them.
- 113. Plaintiff and Plaintiff's physicians relied upon the representations and were unaware of the substantial risks of the Bair Hugger which Defendants concealed from the public, including Plaintiff and Plaintiff's physicians.
- 114. As a direct and proximate result of Defendants' actions, omissions and misrepresentations, Plaintiff suffered an infection, requiring additional surgical procedures to clean the infected area and/or remove the orthopedic implant. Consequently, Plaintiff has suffered damaged and incurred and will continue to incur medical expenses as a result of using the Bair Hugger. Plaintiff has also suffered and will continue to suffer diminished capacity for the enjoyment of life, a diminished quality of life, increased risk of premature death,

aggravation of preexisting conditions and activation of latent conditions, and other losses and damages. Plaintiff's direct medical losses and costs include care for hospitalization, physician care, monitoring, treatment, medications and supplies. Plaintiff has incurred and will continue to incur mental and physical pain and suffering and loss of wages and wage earning capacity.

115. Defendants' conduct as described above was committed with knowing, conscious, wanton, willful and deliberate disregard for the value of human life and the rights and safety of consumers such as Plaintiff. Defendants' conduct warrants, if allowed by the Court upon motion, an award of punitive damages against Defendants in an amount appropriate to punish the Defendants and deter them from similar conduct in the future.

#### PRAYER FOR RELIEF

**WHEREFORE**, Plaintiff prays for judgment against Defendants, jointly and/or severally, as follows:

- 1. For an award of compensatory damages in excess of Seventy-Five Thousand Dollars (\$75,000.00);
- 2. If allowed by the Court upon motion, an award of punitive damages in the amount to be proven at the time of trial, and sufficient to punish the Defendants or to deter the Defendants and others from repeating the injurious conduct alleged herein;

- 3. For pre-judgment and post-judgment interest on the above general and special damages;
  - 4. For costs of this suit and attorneys' fees;
  - 5. For all other relief that Plaintiff may be entitled to at equity or at law; and
  - 6. For such further and other relief that this Court deems just and equitable.

**DEMAND FOR JURY TRIAL** Plaintiff demands a trial by jury on all counts and issues so triable.

Dated: <u>January 4, 2016</u> Respectfully submitted,

#### ZIMMERMAN REED, LLP

#### s/Charles S. Zimmerman

Charles S. Zimmerman - MN #120054

J. Gordon Rudd, Jr. - MN #222082

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#### ATTORNEYS FOR PLAINTIFF

### CASE 0:16-cv-00005 v Pocument 1 $^{1}$ S Filed 101/04/16 Page 1 of 2

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

purpose of initiating the civil de	ocket sheet. (SEE INSTRUC	TIONS ON NEXT PAGE O	F THIS FO		TC				
I. (a) PLAINTIFFS Susan Borroughs				DEFENDANTS 3M Company and Arizant Healthcare, Inc.					
Susui Borroughs				5141 Company and Prizante reculationer, inc.					
(b) County of Residence of First Listed Plaintiff Bernalillo, NM  (EXCEPT IN U.S. PLAINTIFF CASES)				County of Residence of First Listed Defendant					
				(IN U.S. PLAINTIFF CASES ONLY)					
				NOTE:	IN T	N LAND C	CONDEMNATION O	CASES, USE THE LOCATION OF	
					-			2,125.	
(c) Attorneys (Firm Name)		Attorneys (If Know	wn)						
(c) Attorneys (Firm Name, Address, and Telephone Number) Charles S. Zimmerman, Zimmerman Reed, LLP, 1100 IDS				1 Into Inc. y 5 (1) Into y	,				
Center, 80 South	8 <sup>th</sup> Street, Minneapolis	s, MN 55402							
II. BASIS OF JURISD	ICTION (Place an "X"	in One Box Only)	III. CI	TIZENSHIP OF	PRI	NCIPA	L PARTIES	(Place an "X" in One Box for Plaintiff)	
☐ 1 U.S. Government	☐ 3 Federal Question	☐ 3 Federal Question		For Diversity Cases Onl	ly) PTF	DEF		and One Box for Defendant) PTF DEF	
Plaintiff (U.S. Government Not a Po		Not a Party)	Citize	n of This State	<u> </u>	<u> </u>	Incorporated or Pr	Principal Place ☐ 4 🖂 4	
							of Business In Thi	is State	
2 U.S. Government Defendant			Citize	n of Another State	$\boxtimes 2$	□ 2	Incorporated and I		
Defendant	(mateure Citizensii	ip of 1 arites in Hem III)	a	0.11					
				n or Subject of a eign Country	☐ 3	□ 3	Foreign Nation	□ 6 □ 6	
IV. NATURE OF SUIT	(Place an "X" in One Box (	Only)		· ·					
CONTRACT		RTS		RFEITURE/PENALTY			NKRUPTCY	OTHER STATUTES	
☐ 110 Insurance ☐ 120 Marine	PERSONAL INJURY  ☐ 310 Airplane	PERSONAL INJUR  365 Personal Injury	. [	5 Drug Related Seizure of Property 21 USC 88		423 With		☐ 375 False Claims Act ☐ 400 State Reapportionment	
☐ 130 Miller Act ☐ 140 Negotiable Instrument	☐ 315 Airplane Product Liability	Product Liability  367 Health Care/	690	) Other		28 U	JSC 157	☐ 410 Antitrust ☐ 430 Banks and Banking	
☐ 150 Recovery of Overpayment	☐ 320 Assault, Libel &	Pharmaceutical					ERTY RIGHTS	450 Commerce	
& Enforcement of Judgment  151 Medicare Act	Slander  ☐ 330 Federal Employers'	Personal Injury Product Liability				☐ 820 Copy ☐ 830 Pate:		<ul><li>☐ 460 Deportation</li><li>☐ 470 Racketeer Influenced and</li></ul>	
☐ 152 Recovery of Defaulted Student Loans	Liability  ☐ 340 Marine	☐ 368 Asbestos Persona Injury Product	al			340 Trad	lemark	Corrupt Organizations  480 Consumer Credit	
(Excl. Veterans)	345 Marine Product	Liability		LABOR		_	AL SECURITY	490 Cable/Sat TV	
☐ 153 Recovery of Overpayment of Veteran's Benefits	Liability  ☐ 350 Motor Vehicle	PERSONAL PROPER  370 Other Fraud	RTY   710	Fair Labor Standards Act		361 HIA 362 Blac	(1395ff) k Lung (923)	850 Securities/Commodities/ Exchange	
☐ 160 Stockholders' Suits ☐ 190 Other Contract	355 Motor Vehicle Product Liability	☐ 371 Truth in Lending ☐ 380 Other Personal		) Labor/Mgmt. Relations ) Railway Labor Act			/C/DIWW (405(g)) D Title XVI	890 Other Statutory Actions 891 Agricultural Acts	
☐ 195 Contract Product Liability ☐ 196 Franchise	☐ 360 Other Personal	Property Damage	75	Family and Medical Leave Act		865 RSI		893 Environmental Matters 895 Freedom of Information	
190 Pranchise	Injury  ☐ 362 Personal Injury -	☐ 385 Property Damage Product Liability	□ 790	Other Labor Litigation	ı			Act	
REAL PROPERTY	Med. Malpractice CIVIL RIGHTS	PRISONER PETITIO		Empl. Ret. Inc. Security Act		FEDER	AL TAX SUITS	896 Arbitration 899 Administrative Procedure	
210 Land Condemnation 220 Foreclosure	☐ 440 Other Civil Rights ☐ 441 Voting	510 Motions to Vacat Sentence	te	·			es (U.S. Plaintiff Defendant)	Act/Review or Appeal of	
230 Rent Lease & Ejectment	442 Employment	_ Habeas Corpus:				3871 IRS-	—Third Party	Agency Decision  950 Constitutionality of	
☐ 240 Torts to Land ☐ 245 Tort Product Liability	443 Housing/ Accommodations	☐ 530 General ☐ 535 Death Penalty	-	IMMIGRATION		26 U	JSC 7609	State Statutes	
290 All Other Real Property	445 Amer. w/Disabilities -	☐ 540 Mandamus & Ott		Naturalization Applica	tion				
	Employment  ☐ 446 Amer. w/Disabilities -	☐ 550 Civil Rights ☐ 555 Prison Condition		B Habeas Corpus - Alien Detainee					
	Other  ☐ 448 Education	560 Civil Detainee - Conditions of	☐ 46:	(Prisoner Petition)  Other Immigration					
		Confinement		Actions					
	nn "X" in One Box Only)			Tra	ansferi	red from			
		Remanded from Appellate Court	☐ 4 Reins Reop	tated or $\square$ 5 and		listrict	☐ 6 Multidistr Litigation		
<u> </u>		atute under which you a	re filing (A			tes unless d			
VI. CAUSE OF ACTION	ON 28 U.S.C.§ 1332 Brief description of ca	onea.							
		utical Personal Injury Pı	roduct Lial	oility					
VII. REQUESTED IN   CHECK IF THIS IS A CLASS ACTION				<b>DEMAND</b> \$ 75,000.00 CHECK YES only if demanded in complaint:					
COMPLAINT:	UNDER F.R.C.P	. 23				J	URY DEMAND:	: ⊠ Yes □ No	
VIII. RELATED CASI	E(S) (See instructions):								
IF ANY	JOHN 11. EHERS					DOCKE	ET NUMBER 15	5-md-2666 (JNE/FLN)	
DATE	SIGNATURE OF ATTORNEY OF RECORD								
01/04/2016 s/Charles S. Zimmerman									
FOR OFFICE USE ONLY									
RECEIPT # AM	MOUNT	APPLYING IFP		JUDGE	E		MAG. JU	JDGE	

#### INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

#### Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.** (a) Plaintiffs-Defendants. Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- **II. Jurisdiction**. The basis of jurisdiction is set forth under Rule 8(a), F.R.C.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.

United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; federal question actions take precedence over diversity cases.)

- **III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- **IV. Nature of Suit.** Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerks in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.

Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

Appeal to District Judge from Magistrate Judgment. (7) Check this box for an appeal from a magistrate judge's decision.

VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes unless diversity**. Example: U.S. Civil Statute: 47 USC 553

Brief Description: Unauthorized reception of cable service

VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

Demand. In this space enter the dollar amount (in thousands of dollars) being demanded or indicate other demand such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

VIII. Related Cases. This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.