	Case 4:17-cv-00950-KAW Document 1	Filed 02/23/17 Page 1 of 25				
1	LAW OFFICES OF					
2	WALKUP, MELODIA, KELLY & SCHOENBERGER A PROFESSIONAL CORPORATION					
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4	(415) 981-7210					
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6	JOSEPH NICHOLSON (State Bar #284959) jnicholson@walkuplawoffice.com ATTORNEYS FOR PLAINTIFF					
7	ATTORNEYS FOR PLAINTIFF					
8 9	Marlene J. Goldenberg (<i>pro hac vice pending</i>) GOLDENBERGLAW, PLLC 800 LaSalle Avenue					
10	Suite 2150 Minneapolis, MN 55402					
11	Phone: (612) 333-4662					
12						
13		DISTRICT COURT				
14	NOKTHERN DISTRI	CT OF CALIFORNIA				
15	JANE DOE,	Case No.				
16	Plaintiff,	COMPLAINT				
17	V.	JURY TRIAL DEMAND				
18	UBER TECHNOLOGIES, INC.,					
19	Defendant.					
20						
21	Plaintiff Jane Doe, by and through undersigned counsel Walkup, Melodia, Kelly &					
22	Schoenberger, A Professional Corporation, as her Complaint against Defendant Uber					
23	Technologies, Inc. ("Uber," the "Company" or "Defendant"), hereby alleges as follows:					
24	PRELIMINARY STATEMENT					
25	1. This action arises out of an incident that occurred on August 5, 2016, in Hennepin					
26	County, Minnesota. On that evening, Plaintiff Jar					
27	assaulted and battered by an Uber driver named A	Abdel Jaquez aka Abdel Jaquel (hereafter				
28	"Jaquez").					
	COMPLAIN	Γ - CASE NO.				

Plaintiff alleges that Defendant Uber, as a transportation company and common
 carrier, is directly liable for its negligent hiring, supervision, and retention of Jaquez, directly
 liable for its advertising misrepresentations holding out its transportation service as a safer
 alternative to taxis for women like Ms. Doe, and vicariously liable for Jaquez' tortious conduct
 against Ms. Doe.

6 3. Since its inception in 2010, Uber has grown rapidly into a multi-billion dollar
7 enterprise with operations worldwide. Uber's phenomenal growth is due in large part to its lax
8 hiring and security screening processes and evasion of regulations. At the same time, Uber has
9 fraudulently marketed itself as a safer, better alternative to other methods of transportation,
10 particularly targeting young women and intoxicated, late-night riders.

4. Uber's conduct evidences a conscious attitude and corporate policy of "profits over
people" characterized by a willful and knowing disregard of the rights and safety of others so base
and contemptible as to be looked down on and despised by reasonable people.

14

PARTIES

15 5. Plaintiff Jane Doe is an adult woman who is a citizen of Minnesota and currently
16 resides in Roseville, Minnesota, a suburb adjacent to both Minneapolis and Saint Paul.

17 6. Defendant Uber Technologies, Inc. is a Delaware Corporation with its principal
18 place of business at 1455 Market Street, Fourth Floor, San Francisco, California 94103. Uber
19 operates throughout the United States and internationally in approximately 555 cities including
20 Minneapolis and Saint Paul.

21

JURISDICTION AND VENUE

7. 22 The jurisdiction of this action arises under diversity of citizenship pursuant to 28 23 U.S.C. § 1332. Plaintiff is a citizen of Minnesota. Defendant Uber Technologies, Inc. is a citizen 24 of California. The amount in controversy exceeds \$75,000, exclusive of interest and costs. 25 8. The Court has personal jurisdiction over Defendant Uber Technologies, Inc. 26 because it is headquartered in San Francisco, California and it conducts business in California. 9. Venue is proper as authorized pursuant to 28 U.S.C. § 1391(b)(1), as Defendant 27 28 Uber Technologies Inc. is headquartered in, conducts business in, and resides in this district.

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GENERAL ALLEGATIONS

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I.

DEFENDANT UBER TECHNOLOGIES, INC.

A. Background

10. Defendant Uber Technologies, Inc. (hereafter "Uber") is a popular and rapidly
expanding "transportation network company" whose digital smartphone application (hereafter
"App") allows people to order and pay for taxi rides through their phones. Since starting in San
Francisco in June 2010, Uber has grown to operate in approximately 555 cities worldwide. The
Company reported having over 160,000 regularly active drivers by the end of 2014. In October
2016, Uber's CEO indicated that the company provides its services to over 40 million active riders
monthly. A stock offering that year valued the Company at over \$60 billion.

11 11. Uber connects drivers and riders through a downloadable App called "Uber." 12 Individuals who have downloaded the App use it to make a transportation request. Uber matches 13 the rider with an Uber driver who, also signed into the Uber App, picks up the rider and drives 14 them to a destination. Uber chooses what information to provide to the drivers and when to 15 provide it. Uber typically does not disclose the rider's destination until the ride begins. App users must pay Uber for the ride with a credit card authorized through the App. Uber establishes the rate 16 17 for a given ride (rates are variable depending on demand levels, promotional deals, and other 18 factors), collects the fare, pays the driver a share of the fare collected, and retains the remainder. 19 Uber drivers typically remain unaware of the total amount Uber collects for a particular ride.

12. To provide rides quickly and efficiently, Uber's business model requires a large
pool of drivers. To accomplish this, Uber solicits and retains tens of thousands of non-professional
drivers. Uber markets to potential drivers on its website, where it states: "Uber needs a partner like
you. Driver with Uber and earn great money...Get paid weekly just for helping your community
of riders get rides around town." After these drivers are hired by Uber, Uber makes the drivers
available to the public to provide transportation services through its App.

26 13. In 2016, Uber provided more rides in the Twin Cities of Minneapolis/St. Paul than
27 traditional taxis did.

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B. Uber Is a Common Carrier

14. Uber offers to carry and transport members of the general public, and holds itself out to the public generally and indifferently to provide such services for profit.

4 15. Uber messaging and advertisements, from 2011 to 2014, contained the statement:
5 "Uber: Everyone's Private Driver." Thus Uber communicates that it is a prestigious transportation
6 company providing rides to all members of the public.

7 16. As of June of 2016, Uber had provided two billion rides to members of the public.
8 Because of Uber's expansion, one billion of those rides had occurred in the first six months of
9 2016.

10 17. In 2016, Uber began offering rides in driverless cars in Pittsburgh and then San
11 Francisco. These computer-driven cars use the same dispatch service, same rate structure, and
12 same platform as used by the human-driven cars.

13 18. Uber is available to the general public through the App available for anyone to
14 download to a smartphone.

15 19. Uber policy prohibits drivers from refusing to provide services based on the rider's
16 destination. By its own admission, "Uber provides safe, affordable rides around the clock—
17 regardless of where you live, where you're going, or what you look like."

18 20. By its own admission, "Uber complements existing transit systems," and provides
19 rides to "parts of cities where taxis don't go."

20 21. Neither drivers nor riders are charged a fee to download the Uber App. Uber's sole
21 source of revenue is from charges to riders for trips taken.

22 22. Uber charges customers standardized fees for car rides, setting its fare prices
23 without driver input. Drivers may not negotiate fares.

24 23. In 2015, Uber's CEO stated that in San Francisco alone, its most mature market,
25 the Company's revenue was three times larger than that of the local taxi market, in excess of \$500
26 million per year.

24. By its own admission, Uber wants to be available for "everyone."

25. Uber requires drivers to accept all ride requests when logged into its App or else

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1 face potential discipline.

2 26. Uber policy prohibits drivers from refusing to provide services based on race,
3 religion, national origin, disability, sexual orientation, sex, marital status, gender identity, age or
4 any other characteristic protected under relevant federal, state or local law.

5 27. Uber expects its drivers to comply with all relevant state, federal and local laws
6 governing the transportation of riders with disabilities, including transporting service animals.
7 Uber specially instructs its drivers on accessibility for riders with disabilities.

8

C. Uber Employs Tens of Thousands of Drivers Who Lack Specialized Skills

9

28. Uber's business model depends on having a large pool of non-professional drivers.

10 29. There are no specialized skills needed to drive for Uber. By its own admission,
11 "anybody" can drive for Uber if they meet the minimum requirements of being over 21 years of
12 age with a valid U.S. driver license, at least one year of driving experience in the U.S., and an
13 eligible four-door vehicle. Uber does not charge a fee for driver applications.

14 30. By its own admission, jurisdictions that have strict regulations on driver
15 qualifications make it difficult for Uber to hire enough drivers.

16 31. Uber controls its drivers' contacts with its customer base and considers its customer
17 list to be proprietary information.

18 32. Uber does not charge drivers a fee to receive notifications of ride requests mediated19 through the Uber App.

33. Uber's fare prices for rides are set exclusively by the Company and its executives.
Drivers have no input on fares charged to customers. Drivers are not permitted to negotiate with
customers on fares charged. Uber retains the right and the ability to adjust charges to riders if the
Company determines that a driver took a circuitous route to a destination.

34. Uber processes the fare for each ride. It does not give the drivers information about
the amount of the fare charged to the riders. Uber then pays the drivers directly.

35. Uber provides auto insurance for drivers that do not maintain sufficient insurance
on their own. Insurance provided by Uber covers incidents occurring while a driver is connected
online with the Uber App, with coverage increasing when a rider is in the vehicle.

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1	36. Uber provides its drivers with logo stickers for their windshield and rear w				
2	and trains them that these stickers must be displayed in compliance with Minneapolis Code of				
3	Ordinances 343.100.				
4	37. Uber attempts to impose uniformity in the conduct of its drivers. Uber policy				
5	mandates that all drivers:				
6	a. Dress professionally;				
7	b. Send the customers requesting rides a test message when the driver is 1-2				
8	minutes away from the pickup location;				
9	c. Keep the radio either off or on "soft jazz or NPR;"				
10	d. Open the door for riders;				
11	e. Pick up customers on the correct side of the street where the customer is				
12	standing; and				
13	f. In some cities, Uber requires drivers to display an Uber sign in the windshield.				
14	g. Uber encourages drivers to offer breath mints and water to riders.				
15	38. Uber retains a fee of approximately 20-30% of every ride charged to a customer.				
16	39. Uber retains the right to terminate drivers at will, with or without cause. Drivers				
17	who reject too many ride requests risk facing discipline including suspension or termination. Uber				
18	also uses rider feedback to discipline or terminate drivers.				
19	40. Uber processes and deals with customer complaints regarding drivers, and				
20	maintains the driver rating system used by customers.				
21	41. In some locations, Uber rewards active drivers that maintain a high acceptance rate				
22	for ride requests, total number of hours online, total number of completed trips, and customer				
23	rating by providing a "gross fare guarantee" that sets a specific hourly pay that drivers receive,				
24	tantamount to a wage.				
25	42. Uber at times also incentivizes drivers to remain employees by paying a minimum				
26	rate of \$10-26 to log into the App, accept 90% of ride requests, complete one trip per hour, and be				
27	online 50 out of 60 minutes. The result of such incentive programs is that drivers are guaranteed a				
28	minimum amount of pay from Uber regardless of actual work performed, tantamount to a salary.				
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1 2 D.

Systemic Deficiencies in Uber's Employment and Supervision of its Drivers

43. In order to become a driver for Uber, individuals apply through Uber's website.
The application process is entirely online and involves filling out a few short forms and uploading
photos of a driver's license, vehicle registration, and proof of insurance. Uber does not verify that
the documents submitted are accurate or actually pertain to the applicant.

6 44. Uber does not verify vehicle ownership. Rather, it only requires that the vehicle is
7 registered and is not more than ten years old.

8 45. Neither Uber nor its third party vendors require driver applicants to attend training
9 classes on driving skills or using mobile Apps while driving.

46. Neither Uber nor its third party vendors require driver applicants to pass road
vehicle tests or vision and hearing exams.

12

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47. Uber is and has been aware that its security screening processes are insufficient to prevent incompetent and unsafe applicants from successfully registering as Uber drivers.

48. Uber lobbies state and local governments, including in Minneapolis and St. Paul, to
limit regulations, including allowing Uber to conduct its own background checks of driver
applicants instead of having municipalities perform the more stringent security screening applied
to traditional taxi drivers. Uber has successfully persuaded lawmakers in several states, including
Minnesota and California, to keep background check requirements for its drivers limited.

49. As a direct result of Uber's lobbying efforts, the Company largely self-enforces
hiring standards for its drivers in the Minneapolis/St. Paul area. Where cities perform their own
screening, such as Houston, Texas, hundreds of driver applicants approved by Uber are ultimately
rejected.

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50. Even where authorized to do so, however, Uber does not perform its own

background checks. Rather, Uber generally outsources background checks of driver applicants to
third party vendors that do not perform stringent background checks. The background checks run
potential drivers' social security numbers through databases similar to those held by private credit
agencies, which only go back for a period of seven years and do not capture all arrests and/or
convictions. The background checks conducted by private companies for Uber do not require

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fingerprinting for comparison against Department of Justice and Federal Bureau of Investigation
 databases. Neither Uber nor the third party vendors it uses for background checks verifies that the
 information provided by applicants is accurate or complete. The turnaround time for an Uber
 background check is often under 36 hours.

5 51. The application process to become an Uber driver is simple, fast, and designed to
allow the Company to hire as many drivers as possible while incurring minimal associated costs.
7 Such cost saving, however, is at the expense of riders, especially female riders. Specifically, at no
time during the application process does Uber or its third party background check vendor, acting
on Uber's behalf, do any of the following:

10	a.	Conduct Live Scan biometric fingerprint background checks of applicants;				
11	b.	Conduct in-person interview of applicants;				
12	с.	Verify vehicle ownership;				
13	d.	Conduct physical vehicle inspections;				
14	e.	Verify that social security numbers and other personal identification numbers				
15		submitted in the application process in fact belong to the applicants;				
16	f.	Require applicants to attend training classes on driving skills;				
17	g.	Require applicants to attend training classes to prevent, harassment, including				
18	sexual harassment of customers;					
19	h.	Require applicants to attend training classes to hone skills needed to safety use				
20	mobile Apps while driving;					
21	i.	Require applicants to pass written examinations beyond basic "city knowledge"				
22		tests;				
23	j.	Require applicants to pass road vehicle tests; and				
24	k.	Require applicants to pass vision and hearing exams.				
25	52. In 2015 the District Attorney of San Francisco and the District Attorney of Los					
26	6 Angeles filed a complaint alleging that individuals who passed Uber's security screening process					
27	27 and were found driving for Uber had the following felony <u>convictions</u> : second degree murde					
28 LAW OFFICES OF	lewd and lascivious acts against a child under the age of 14; sexual exploitation of children;					
WALKUP, MELODIA, KELLY & SCHOENBERGER A PROFESSIONAL CORPORATION 650 CALIFORNIA STREFT	8					
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kidnapping for ransom with a firearm; assault with a firearm; grand theft; robber; identity theft;
 burglary; and taking a vehicle without consent. In connection with the litigation, the San Francisco
 District Attorney called background checks without fingerprinting "completely worthless."

4 53. As a result of Uber's deficient security screening, drivers who have been arrested,
5 charged, and/or convicted violent crimes, theft, armed robbery, DWI, driving with a suspended
6 license, and multiple moving violations successfully register as Uber driver and can and do get
7 matched with Uber ride requests through the Uber App, exposing riders to dangerous and
8 potentially violent situations without their knowledge.

9 54. In St. Paul, Minneapolis, some Uber drivers have been found to be driving without10 a license.

11 55. Uber does not verify that the individual operating a vehicle is the individual
12 registered as an Uber driver. Thus, even if applicants do not pass the Uber security screening
13 process, it is still possible for such individuals to pick up Uber customers as ostensible Uber
14 drivers.

15 56. Uber does nothing to ensure that its drivers are not intoxicated or under the
16 influence of drugs or medication while providing transportation for Uber customers.

17 57. Uber does not limit the number of hours per day that a driver can be logged into its
18 App, thus creating a risk that drivers will continue accepting riders for extended periods, long after
19 ordinary fatigue and exhaustion makes it dangerous to riders and the public for them to continue
20 driving.

21 58. Uber does not verify whether its drivers are armed or concealing any weapons
22 when they pick up Uber customers.

23

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59. Uber riders do not simply get into cars with strangers. Because of Uber's deficient security screening, its customers truly have no idea with whom they are riding.

60. Concerns about the threats Uber drivers pose to their riders are not merely
hypothetical, and this is well known to Uber and its executives. In the years 2015 and 2016 alone,
dozens of crimes committed by Uber drivers against their riders were reported, ranging from theft
to sexual assault, kidnapping, and rape. Uber drivers have also been reported driving drunk.

1 61. Uber has placed profits over safety by deliberately lowering the bar for drivers in
 2 order to rapidly expand its network of drivers and thus its profits. This is a calculated decision by
 3 senior executives to allow Uber to dominate the emerging rideshare market at the expense of
 4 public safety.

5 62. Uber has accomplished its aggressive expansion by entering inviting people
6 without skills or experience to become Uber drivers, flouting licensing laws and vehicle safety and
7 consumer protection regulations, implementing lax hiring standards, and making it as easy as
8 possible for anyone to become and remain a driver.

63. Consistent with its policy of putting profits before public safety, Uber deliberately
focuses its hiring and retention efforts on branding and appearances, encouraging clean dress, and
encouraging drivers to offer water and mints to customers, while simultaneously avoiding rigorous
background checks and other efforts aimed at safety.

13

E.

Uber Fraudulently Markets Itself as a Safer, Better Alternative to Taxis

14 64. Nevertheless, Uber has misled and continues to knowingly mislead the public about
15 the safety and security measures it employs to protect its rider customers. Despite the known
16 deficiencies in Uber's security screening processes, Uber holds itself out to the public as "safe."
17 Rather than inform riders of its security failures or correct the flaws, Uber presents itself to
18 customers as "a ride you can trust."

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65. Uber has misrepresented to its customers on its website that:

Wherever you are around the world, Uber is committed to connecting you to <u>the safest ride on the road</u>. That means setting the <u>strictest standards possible</u>, and then working hard to improve them every day. The specifics vary depending what local governments allow, but within each city we operate, we aim to go above and beyond local requirements to ensure your comfort and security – what we are doing in the US is an example of our standards around the world. [emphasis added]

66. Uber has misrepresented to its customers on its website that:

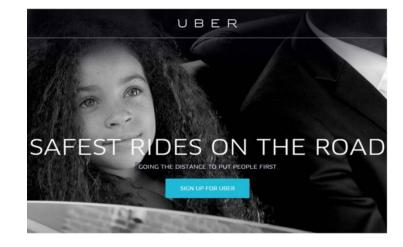
"From the moment you request a ride to the moment you arrive, the Uber experience has been designed from the ground up with your safety in mind."

28 LAW OFFICES OF WALKUP, MELODIA, KELLY & SCHOENBERGER A PROFESSIONAL CORPORATION 650 CALIFORMA STREET 2011 FLOOR SAN RRANCISCO, CA 94108 (415) 981-2210 67. Uber has actively fostered and successfully cultivated an image among its

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customers of safety and superiority to public transportation and traditional taxis – which is
 reflected in the very name of the Company itself.

68. Uber advertises that it is a safe transportation option for children, and offers them the "Safest rides on the road."



69. Uber markets itself to users with the "hashtag" #LiveBetter.

14 70. Uber claims to "focus on rider safety before during and after every trip" when in
15 fact, in addition to the gaps in its security screening, Uber does not monitor most individual rides
16 in real time and does not ensure that the driver picking up an Uber customer is the registered Uber
17 driver.

18 71. Until as recently as October 2014, Uber represented that "Every ridesharing
19 and livery driver is thoroughly screened through a rigorous process we've developed using
20 industry-leading standards. This includes a three step criminal background screening for
21 the U.S. – with country, federal and multi-state checks that go back as far as the law allows
22 –and ongoing reviews of drivers' motor vehicle records throughout their time on Uber."

23 72. Uber charges riders a \$1-1.50 fee per ride, which from 2013 to 2015 it
24 described to them as a "safe ride" fee used to provide "industry leading" background
25 checks and other safety measures. In 2016, Uber agreed to pay \$28.5 million to settle a
26 class action lawsuit over its fraudulent marketing of its security screening as "industry27 leading."

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73. Uber has not taken steps to correct its public image of safety. Instead,

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because of Uber's ongoing aggressive marketing, most Uber customers are generally
 unaware of the real risks represented by Uber's own drivers, and continue to believe a ride
 with Uber is a safer and better alternative.

Though, in certain circumstances, an Uber ride can be less expensive than a
traditional taxi, Uber rides are often more expensive. This is true in part because of a
practice called "surge pricing," in which Uber unilaterally increases its fees by a multiplier
based on demand conditions. While intended to ensure that rides go to those who need
them most, in effect surge pricing ensures that rides during peak hours go to those willing
to pay the most. The overall effect is to contribute to Uber's connotation with cachet.

10 75. Riders, such as plaintiff, reasonably rely on Uber's representations and
11 promises about its safety and security measures including driver screening and background
12 check procedures. Uber's riders choose to utilize Uber's service as a result of this reliance.

13

F.

Uber's Marketing Targets Intoxicated Female Riders

As part of marketing itself as a better, safer alternative, Uber particularly targets the
market of intoxicated, late night riders, especially women. By its own admission, Uber's "rush
hour starts just after last call at bars."

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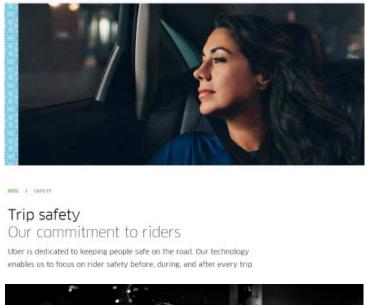
#LiveBetter and Uber



25 77. In 2015, Uber released a report with Mothers Against Drunk Driving ("MADD")
26 that states "The Uber app was created to ensure reliable access to safe rides." The report goes on
27 to state that, with Uber, intoxicated persons can find "a safe, reliable ride home" that is "always
28 within reach."

LAW OFFICES OF **ØALKUP, MELODIA, KELLY & SCHOENBERGER** A PROFESSIONAL CORPORATION 650 CALIFORNIA STREET 261TH FLOOR SAN FRANCISCO, CA 94108 (415) 981-7210 78. Uber does not inform its riders that hailing a ride after drinking also puts those
 same riders at peril from the Uber drivers themselves. The safe and stylish image Uber
 aggressively cultivates suggests to its customers that riding while intoxicated with Uber is safer
 than doing the same with a traditional taxi. By marketing heavily to young women who have been
 drinking, while claiming that rider safety is its top priority, Uber is actually putting its female
 customers at grave risk.

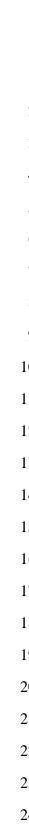
79. Uber particularly markets itself as a safer transportation alternative for women.Uber's website and marketing contains numerous pictures of smiling women entering and exiting vehicles, who are meant to appear "safe."

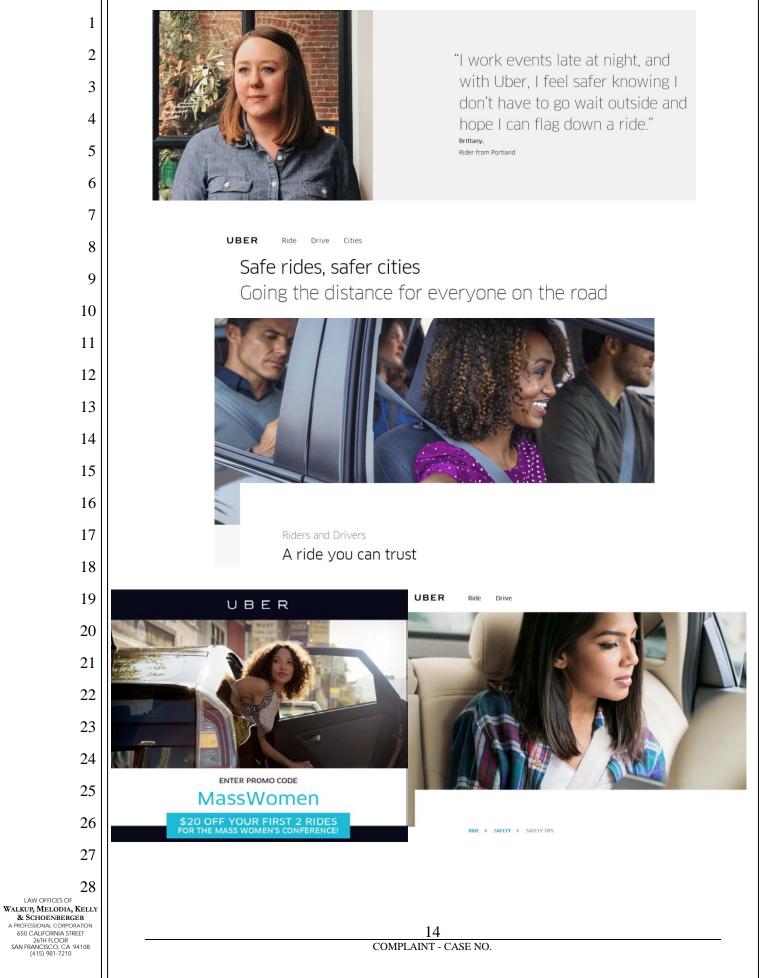




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80. 1 Uber knew that its representations and promises about rider safety were false and 2 misleading, yet continued to allow its riders to believe in the truth of its representations and 3 promises, and to profit from its riders' reliance on such representations and promises. G. Uber Knew Its Representations About Safety Were False, and Knew that Its 4 **Hiring Processes Were Deficient** 5 81. 6 Sexual assaults by Uber drivers against passengers are not isolated or rare 7 occurrences. They are part of a pattern of heinous, but avoidable, attacks. 8 82. Upon information and belief, over thirty different sexual assaults by Uber drivers 9 against Uber passengers have been reported in the media in the last two years alone. On 10 information and belief, due to general underreporting of sexual crimes, these media-reported 11 assaults represent only a small fracture of the number of actual sexual assaults that are perpetrated 12 by Uber drivers against riders. 13 II. JANE DOE 83. Jane Doe resides in Roseville, Minnesota. 14 15 84. Ms. Doe began using Uber on occasion in approximately 2013, after becoming persuaded that Uber was a safe, high-quality car service. She gained this impression from Uber 16 17 advertising, and from her experience taking Uber rides with friends who already had the Uber 18 App. She rode in cars decorated with Uber logos and trade dress, and was impressed by the 19 deliberate appearance, which Uber had cultivated, that these were high-end, clean cars, driven by 20 professional Uber drivers. 21 85. From 2013 through 2016, Ms. Doe saw numerous Uber advertisements 22 representing that Uber offered safer and cleaner rides than taxis provided, and that it was a safe 23 and reliable option for female passengers. She saw these advertisements, including the 24 advertisements described hereinabove (or advertisements very similar to them). She was exposed 25 to this advertising in a variety of ways, including through Uber's emails to her, and via advertisements on her home page that would pop up in the mornings at work. 26 27 86. Ms. Doe and her female friends relied on Uber's advertisements regarding safety, 28 professionalism, and reliability in choosing to ride with Uber on a repeat basis.

LAW OFFICES OF WALKUP, MELODIA, KELLY & CHOENBERCER A PROFESSIONAL CORPORATION 650 CALIFORNIA STREET 261H FLOOR SAN FRANCISCO, CA 94108 (415) 981-7210 87. On the evening of August 5, 2016, Ms. Doe was with two of her friends, one of
 whom hailed a ride through the Uber App. At approximately 8:55 p.m. Uber driver Jaquez picked
 up Ms. Doe and her friends. They saw Uber logo stickers on his vehicle. Jaquez was well dressed
 and his car was clean. They got into his vehicle based on their understanding that he was a
 professional driver, that he was an Uber employee acting on Uber's behalf, and that he was vetted
 by Uber and held to what they believed were Uber's high standards of safety and professionalism.

88. Unbeknownst to Ms. Doe and her friends, Jaquez had a record of moving
violations. On information and belief, he also had a prior criminal record of a sexual crime against
another woman, which would have been revealed by a detailed fingerprint-based background
check of the type conducted regularly within the taxi industry.

11 89. Jaquez transported Ms. Doe and her friends to their destination at a brewery in
12 Minneapolis, Minnesota. During the fourteen-minute drive, Jaquez and all three women engaged
13 in friendly and flirtatious conversation. At the conclusion of this ride, Jaquez exchanged phone
14 numbers with Ms. Doe and her friends so that, when they were ready to leave the brewery, they
15 could take an Uber ride to their next destination.

90. When Ms. Doe and her friends were finished eating and drinking at the brewery,
they contacted Jaquez and requested transport to a second bar, also in Minneapolis, Minnesota.
Ms. Doe and one friend rode with Jaquez to the second bar. It was their understanding that Uber
was continuing to charge them for this second ride via the Uber App. It was also their
understanding that Jaquez continued to act as an Uber employee, on the clock, and that his status
during the second ride was no different than it had been during the first ride.

91. During this second ride, Ms. Doe was in the front passenger seat, and was playing
music via the vehicle's auxiliary cord. Again, Ms. Doe and her friend engaged in friendly and
flirtatious conversation with Jaquez. As Ms. Doe and her friend were exiting the car, Ms. Doe
realized she had left her phone plugged into the Uber car. She let her friend enter the bar ahead of
her while she retrieved her phone.

27 92. When Ms. Doe was alone with him, driver Jaquez complimented and attempted to
28 kiss her. She explained to him that she was not interested. He responded "shut the [profanity]

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door" and hit the gas, driving forward with Ms. Doe partially in the car. Jaquez drove to the end of
the block, then pulled over in an isolated and dark stretch of roadway. He climbed on top of Ms.
Doe, forcibly kissing and groping her. Ms. Doe was terrified and shocked. She kept repeating:
"Please let me go. I don't want to do this." Jaquez attempted to remove her clothing, tearing a
button off her shirt. He gained access to and assaulted her breasts. He attempted to undress her
further, but discovered that she was wearing a body-suit style shirt that made it difficult to do so.

93. Ms. Doe managed to wrench herself away from Jaquez' grip. She exited the car,
and ran in high heels to the bar where her friends were. She immediately told them that the Uber
driver had attempted to rape her.

94. While thinking she was taking the "safest ride on the road," in reality Plaintiff Jane
Doe was subjected to harrowing and traumatic sexual violence at the hands of her Uber driver.

95. Ms. Doe had visible bite marks to her lip, scratches and bruising to her arm, and a
button missing from her shirt after the incident.

14 96. Since the incident, Ms. Doe has been treating with a therapist for anxiety,
15 depression, feelings of guilt, and suicidal ideation resulting from the sexual assault.

16 97. Ms. Doe reported Jaquez' sexual assault to the police, and also to Uber. Despite
17 this report, on information and belief, Jaquez remains an authorized Uber driver to the present
18 time.

19

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III. UBER'S TERMS & CONDITIONS ARE NOT BINDING ON PLAINTIFF.

20 98. When a prospective customer downloads the Uber App to her phone, she is
21 directed to a screen promising "Safe, reliable rides in minutes." The registration process can be
22 completed without opening or viewing the Terms and Conditions.

23 99. At no point in time did Ms. Doe assent to or agree to the Terms and Conditions to24 the Uber App.

100. At no point did the App require that she view the Terms and Conditions.

26 101. At no point did the App require that she open an electronic link to the Terms and
27 Conditions, nor did the App make it appear that there was a link she could follow to read the
28 Terms and Conditions.

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1	102. At no point was Ms. Doe asked to affirm that she had read the Terms and				
2	Conditions.				
3	103. The full Terms and Conditions were never mailed, emailed, or otherwise provided				
4	to Ms. Doe.				
5	104. The Terms and Conditions are deliberately hidden, and extraordinarily difficult to				
6	access, navigate, and read should a rider wish to find them.				
7	105. Uber claims that it retains the exclusive right to unilaterally change the Terms and				
8	Conditions. It includes a provision in its Terms and Conditions that contractual changes are				
9	effective once posted on its website.				
10	106. Ms. Doe was not provided conspicuous notice of the existence of applicable				
11	contract terms when she downloaded the App.				
12	107. Ms. Doe was not required to, nor did she, review any applicable contract terms.				
13	FIRST CAUSE OF ACTION (NEGLIGENCE, NEGLIGENT HIRING, NEGLIGENT SUPERVISION, AND				
14	(NEGLIGENCE, NEGLIGENT HIRING, NEGLIGENT SUPERVISION, AND NEGLIGENT RETENTION)				
15	108. Plaintiffs allege and reassert all of the preceding paragraphs as if fully set forth				
16	herein.				
17	109. Uber owed Plaintiff and the general public a duty of reasonable care in the hiring,				
18	training, and supervision of its drivers.				
19	110. Uber breached that duty of care in the hiring, retention, and/or supervision of				
20	Jaquez.				
21	111. Jaquez was unfit and incompetent to perform the work for which he was hired.				
22	112. Uber knew or should have known at that Jaquez was unfit and incompetent and that				
23	this unfitness and incompetence created a particular risk to others.				
24	113. Jaquez's unfitness and incompetence harmed Plaintiff and Uber's negligence in				
25	hiring, supervising, and retaining Jaquez was a substantial factor in causing that harm.				
26	114. Uber's negligence in hiring, supervising, and retaining Jaquez was perpetrated with				
27	fraud, oppression and/or malice, and was in conscious disregard of the rights and safety of others				
28	including Plaintiff, such as to warrant the imposition of punitive damages pursuant to California				
LAW OFFICES OF WALKUP, MELODIA, KELLY & SCHOENBERGER A PROFESSIONAL CORPORATION	10				
650 CALIFORNIA STREET 26TH FLOOR SAN FRANCISCO, CA 94108 (415) 981-7210	18 COMPLAINT - CASE NO.				

Civil Code section 3294.

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SECOND CAUSE OF ACTION (FRAUD, INTENTIONAL MISREPRESENTATION, CONCEALMENT, FALSE PROMISE)

4 115. Plaintiff alleges and reasserts all of the preceding paragraphs as if fully set forth
5 herein.

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116. Uber made false representations and false promises that harmed Plaintiff Jane Doe.
117. Uber falsely represented to Jane Doe that it provided a safe alternative to driving at night after drinking. Uber represented that its drivers were properly screened and were safe. Uber promised that it was better and safer than a taxi or public transit. Uber promised Jane Doe the safest ride possible.

11 118. Uber falsely represented to Jane Doe that its rides were safe and that its drivers
were safe.

13 119. Uber knew these representations were false and intended on customers like Jane
14 Doe to rely on them.

15 120. Uber knew that its security screening was deficient, that its background checks
16 were below industry standards, and that its drivers were not trained or supervised, or given sexual
17 harassment and abuse standards. Uber knew that numerous women had been assaulted by Uber
18 drivers. Uber knew that it was not safe for intoxicated women to get into cars with its drivers.
19 Uber intentionally concealed these facts, and deliberately represented the opposite – that its drivers
20 offered the safest options for solo, intoxicated women seeking late night transportation.

121. Jane Doe reasonably relied on Uber's misrepresentations in riding with Jacquel,
and her reliance on Uber's misrepresentations were a substantial factor in causing her harm. If
Jane Doe had known the facts Uber concealed about its service, its security screening, and its
drivers, she would not have accepted a ride with Jaquez. Uber failed to provide Jane Doe with a
safe ride.

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& SCHOENBERGER ROFESSIONAL CORPORATION

CALIFORNIA STREET 26TH FLOOR ANCISCO, CA 94108 415) 981-7210 122. Plaintiff alleges and reasserts all of the preceding paragraphs as if fully set forth

THIRD CAUSE OF ACTION (NEGLIGENT MISREPRESENTATION)

123. Uber had no reasonable grounds for believing the false representations it made to
Jane Doe regarding safety and reliability of its service were true. Nevertheless, Uber intended that
customers including Jane Doe rely on its representations in choosing Uber over other
transportation services and options.

6 124. Jane Doe reasonably relied on Uber's misrepresentations in riding with Jaquez, and
7 her reliance on Uber's misrepresentations were a substantial factor in causing her harm. If Jane
8 Doe had known the facts Uber concealed about its service, its security screening, and its drivers,
9 she would not have accepted a ride with Jaquez. Uber failed to provide Jane Doe with a safe ride.

10 11

FOURTH CAUSE OF ACTION (BATTERY)

12 125. Plaintiff alleges and reasserts all of the preceding paragraphs as if fully set forth
13 herein.

14 126. On or about August 5, 2016, Jaquez was acting as an employee of Uber, within the
15 course and scope of that employment. As described hereinabove, Uber controlled all details of his
16 work. In fact, as demonstrated by Uber's roll-out of "driverless" (computer-driven) cars, Jaquez'
17 role in Uber's transportation company was interchangeable with a robot. Uber controlled all facets
18 of payment, payment processing, rate-setting, customer communications, feedback, branding,
19 advertising, logos, and uniformity among drivers. Jaquez' work did not require specialized skill.
20 He could be terminated at any time, on Uber's terms.

127. On or about August 5, 2016, Jaquez was also Uber's apparent agent. Uber had intentionally created the impression that Jaquez was its agent – via its advertising, its app that assigned Plaintiff to an Uber driver, and via the logos on Jaquez' vehicle. Uber knew that Plaintiff and other members of the public would not simply accept rides from strangers, but were only willing to accept rides from drivers employed and vetted by Uber.

128. Uber is liable for the actions of its agents and employees directly and under the
doctrine of *respondeat superior*. Uber is a common carrier who must carry passengers safely. As a
common carrier, Uber is vicariously liable for its employees' and agents' intentional and negligent

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1 torts, whether or not such acts were committed within the scope of employment. Common carriers 2 must use the highest care and vigilance of a very cautious person. They must all do that human 3 care, vigilance and foresight reasonable can do under the circumstances to avoid harm to passengers. While a common carrier does not guarantee the safety of its passengers, it must use 4 5 reasonable skill to provide everything necessary for safe transportation, in view of the 6 transportation used and practical operation of the business. Uber breached its duty of care in its 7 actions towards Plaintiff.

8 129. Ms. Doe reasonably believed that Jaquez was Uber's agent, acting on Uber's behalf 9 at all times during their interactions. In reliance on this belief, she accepted two rides from 10 Jaquez, resulting in her injuries.

11 130. The violent acts, including sexual touching, that Jaquez committed against Plaintiff 12 incidental to and while he was performing his job duties, amounted to a series of harmful and 13 offensive contacts and touchings of Plaintiff's person, all of which occurred intentionally without Plaintiff's consent. 14

15 131. Jaquez touched Plaintiff with the intent to harm or offend her in violation of her 16 reasonable personal dignity.

17

132. Plaintiff did not consent to the touching.

18 133. Plaintiff was harmed and offended by Jaquez' conduct and any reasonable person 19 in Plaintiff's situation would have been offended by that conduct.

20 134. As a direct and proximate result of the aforementioned conduct, Plaintiff has 21 sustained and will sustain physical pain, mental suffering, loss of enjoyment of life, anxiety, 22 humiliation, and emotional distress.

23 135. As a direct and proximate result of the aforementioned, Plaintiff has incurred 24 economic damages, including past and future therapy and medication expenses.

25

26 27

28

herein.

136. Plaintiff alleges and reasserts all of the preceding paragraphs as if fully set forth

FIFTH CAUSE OF ACTION (ASSAULT)

LAW OFFICES OF ALKUP, MELODIA, KELLY & SCHOENBERGER CALIFORNIA STREET 26TH FLOOR NCISCO, CA 94108 115) 981-7210

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1	137. The violent acts, including sexual assaults, that Jaquez committed against Plaintiff					
2	incidental to and while he was performing his job duties, amounted to a series of events creating					
3	reasonable apprehension in Plaintiff of immediate harmful and offensive contact to her person in					
4	violation of her reasonable sense of personal dignity, all of which was done intentionally and					
5	without Plaintiff's consent.					
6	138. Jaquez acted, intending to cause harmful and offensive contact, such that Plaintiff					
7	reasonably believed that she was about to be touched in a harmful and offensive manner.					
8	139. Jaquez threatened to touch Plaintiff in a harmful and offensive manner such that it					
9	reasonably appeared to Plaintiff that Jaquez was about to carry out the threat.					
10	140. Plaintiff did not consent to Jaquez conduct.					
11	141. Plaintiff was harmed and Jaquez' conduct was a substantial factor in causing that					
12	harm.					
13	142. As a direct and proximate result of the aforementioned conduct, Plaintiff has					
14	sustained and will sustain the damages set forth hereinabove.					
15	SIXTH CAUSE OF ACTION					
16	<u>SIXTH CAUSE OF ACTION</u> (FALSE IMPRISONMENT)					
17	143. Plaintiff alleges and reasserts all of the preceding paragraphs as if fully set forth					
18	herein.					
19	144. Defendant's employee, Jaquez, incidental to and while carrying out his job duties					
20	and other acts authorized by Uber, refused to let Plaintiff exit his car. As a result, Plaintiff was					
21	confined in his car against her will for a significant period of time.					
22	145. Jaquez intentionally deprived Plaintiff of her freedom of movement by use of					
23	physical barriers, force, threats of force, and menace.					
24	146. The confinement compelled Plaintiff to stay in the car for some appreciable time					
25	against her will and without her consent.					
26	147. The confinement compelled Plaintiff to stay in the car and to therefore be conveyed					
27	elsewhere for some appreciable time against her will and without her consent.					
28 LAW OFFICES OF	148. Plaintiff was harmed by Jaquez' conduct.					
WALKUP, MELODIA, KELLY & SCHOENBERGER A PROFESSIONAL CORPORATION 650 CALIFORNIA STREET	x					
26TH FLOOR SAN FRANCISCO, CA 94108 (415) 981-7210	COMPLAINT - CASE NO.					

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1	149. As a direct and proximate result of the aforementioned conduct, Plaintiff has
2	sustained and will sustain the damages set forth hereinabove.
3	<u>SEVENTH CAUSE OF ACTION</u> (INTENTIONAL INFLICTION OF EMOTIONAL DISTRESS)
4	
5	150. Plaintiff alleges and reasserts all of the preceding paragraphs as if fully set forth
6	herein.
7	151. Defendant's employee, Jaquez, incidental to and while carrying out his job duties
8	and other acts authorized by Uber, confined Plaintiff in his car against her will and then sexually
9	attacked her without her consent. Jaquez' conduct toward Plaintiff was so extreme and outrageous
10	as to exceed the bounds of decency in a civilized society.
11	152. Jaquez abused a position of physical and apparent power, where he had Plaintiff at
12	his mercy in his car, to torment her.
13	153. Jaquez knew his conduct was likely to result in harm and mental distress.
14	154. Jaquez intended to and did intentionally or recklessly cause Plaintiff to suffer
15	severe emotional distress.
16	155. As a direct and proximate result of the aforementioned conduct, Plaintiff has
17	sustained and will sustain physical pain, mental suffering, loss of enjoyment of life, anxiety,
18	humiliation, and emotional distress.
19	156. Accordingly, Plaintiff is entitled to recovery against Defendant in an amount to be
20	determined at trial.
21	PRAYER FOR RELIEF
22	A. For noneconomic damages according to proof at trial;
23	B For economic damages according to proof at trial;
24	C. For costs of suit and attorneys' fees to the fullest extent permitted by law;
25	D. For pre-judgment and post-judgment interest according to law;
26	E. For punitive and exemplary damages;
27	///
28	F. For such other and further relief as the Court may deem proper.
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650 CALIFORNIA STREET 26TH FLOOR SAN FRANCISCO, CA 94108 (415) 981-7210	COMPLAINT - CASE NO.

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1	Dated: February 23, 2017	WALKUP, MELODIA, KELLY & SCHOENBERGER
2		
3		/s/ Sara M. Peters
4		SARA M. PETERS JOSEPH NICHOLSON
5		Attorneys for Plaintiff
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26TH FLOOR SAN FRANCISCO, CA 94108 (415) 981-7210		COMPLAINT - CASE NO.

	Case 4:17-cv-00950-KAW Document	1 Filed 02/23/17 Page 25 of 25
1	DEMAND 3	FOR JURY TRIAL
2	Plaintiff hereby demands a trial by jur	y on all issues so triable.
3		
4	Dated: February 23, 2017 W	ALKUP, MELODIA, KELLY & SCHOENBERGER
5		
6		Cours M. Deterre
7	Sz	/ Sara M. Peters ARA M. PETERS
8		DSEPH NICHOLSON ttorneys for Plaintiff
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28 LAW OFFICES OF		
WALKUP, MELODIA, KELLY & SCHOENBERGER A PROFESSIONAL CORPORATION 650 CALIFORNIA STREET		25
26TH FLOOR SAN FRANCISCO, CA 94108 (415) 981-7210	COMP	LAINT - CASE NO.

JS-CAND 44 (Rev. 07/16) Case 4:17-cv-00950-KACC Decret Sheet 2/23/17 Page 1 of 2 The JS-CAND 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved in its original form by the Judicial Conference of the United States in September 1974, is required for the Clerk of Court to initiate the civil docket sheet. (*SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.*)

I. (a) PLAINTIFFS			THIS FORM.)	DEFENDANTS		
 (b) County of Residence of First Listed Plaintiff (EXCEPT IN U.S. PLAINTIFF CASES) (c) Attorneys (Firm Name, Address, and Telephone Number) 		County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED. Attorneys (If Known)				
II. BASIS OF JURISDI	C TION (Place an "X" in O	One Box Only)	III. CITI	ZENSHIP OF PRIN	CIPAL PARTIES (Place of	an "X" in One Box for Plaintiff
1 U.S. Government	3 Federal Question			For Diversity Cases Only) PTF	DEF	and One Box for Defendant) PTF DEF
Plaintiff	(U.S. Government Not a	a Party)	Citizen o	f This State	1 1 Incorporated <i>or</i> Princip of Business In This Sta	
2 U.S. Government Defendant	4 Diversity (Indicate Citizenship of	Parties in Item III)	Citizen o	f Another State	2 2 Incorporated <i>and</i> Princ of Business In Anothe	cipal Place 5 5 er State
			Citizen o Foreign	r Subject of a	3 3 Foreign Nation	6 6
IV. NATURE OF SUIT	(Place an "X" in One Box O	nly)	roreign	country		
CONTRACT		RTS	FO	RFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
 110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment Of Veteran's Benefits 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excludes Veterans) 153 Recovery of Overpayment of Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise REAL PROPERTY 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	 PERSONAL INJURY 310 Airplane 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability 340 Marine 345 Marine Product Liability 350 Motor Vehicle 355 Motor Vehicle Product Liability 360 Other Personal Injury 362 Personal Injury - Medical Malpractice CIVIL RIGHTS 440 Other Civil Rights 441 Voting 442 Employment 443 Housing/ Accommodations 445 Amer. w/Disabilities- Employment 446 Amer. w/Disabilities- Other 448 Education 	 PERSONAL INJI 365 Personal Injury Product Liabil 367 Health Care/ Pharmaceutical Personal Injury Product Liabili 368 Asbestos Personal Injury Product Liability PERSONAL PROPE 370 Other Fraud 371 Truth in Lendii 380 Other Personal Property Dama Product Liabili PRISONER PETIT Habeas Corpus: 463 Alien Detainee 510 Motions to Vaa Sentence 530 General 535 Death Penalty Other: 540 Mandamus & 0 555 Prison Conditions of Confinement 	URY 625 y - 690 1 690 ity 690 1 710 ng 720 age 740 age 751 ity 790 IONS 791 cate 462 Other 465 on 465	Drug Related Seizure of Property 21 USC § 881 Other LABOR Fair Labor Standards Act Labor/Management Relations RailWay Labor Act Family and Medical Leave Act Other Labor Litigation Employee Retirement Income Security Act IMMIGRATION Naturalization Application Other Inmigration Actions	422 Appeal 28 USC § 158 423 Withdrawal 28 USC § 157 PROPERTY RIGHTS 820 Copyrights 830 Patent 840 Trademark SOCIAL SECURITY 861 HIA (1395ff) 862 Black Lung (923) 863 DIWC/DIWW (405(g)) 864 SSID Title XVI 865 RSI (405(g)) FEDERAL TAX SUITS 870 Taxes (U.S. Plaintiff or Defendant) 871 IRS–Third Party 26 USC § 7609	 375 False Claims Act 376 Qui Tam (31 USC § 3729(a)) 400 State Reapportionment 410 Antitrust 430 Banks and Banking 450 Commerce 460 Deportation 470 Racketeer Influenced and Corrupt Organizations 480 Consumer Credit 490 Cable/Sat TV 850 Securities/Commodities/ Exchange 890 Other Statutory Actions 891 Agricultural Acts 893 Environmental Matters 895 Freedom of Information Act 896 Arbitration 899 Administrative Procedure Act/Review or Appeal of Agency Decision 950 Constitutionality of State Statutes
	noved from 3 Rema te Court Appe	ellate Court	4 Reinstated of Reopened	or 5 Transferred fr Another Distr (specify) o not cite jurisdictional statu	tict Litigation–Transl	⁸ Multidistrict fer Litigation–Direct File
VI. CAUSE OF ACTION	N Brief description of cau	se:				
VII. REQUESTED IN COMPLAINT:						
VIII. RELATED CASE IF ANY (See instruct		JUDGE			DOCKET NUMBER	
IX. DIVISIONAL ASS						
(Place an "X" in One Box Onl	y)	SAN FRA	ANCISCO	OAKLAND SA	AN JOSE EUREKA	-MCKINLEYVILLE
DATE:		SIGNATURE	OF ATTO	RNEY OF RECOR	D:	

I

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS-CAND 44

Authority For Civil Cover Sheet. The JS-CAND 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved in its original form by the Judicial Conference of the United States in September 1974, is required for the Clerk of Court to initiate the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.** a) **Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)."
- **II. Jurisdiction.** The basis of jurisdiction is set forth under Federal Rule of Civil Procedure 8(a), which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
 - (1) United States plaintiff. Jurisdiction based on 28 USC §§ 1345 and 1348. Suits by agencies and officers of the United States are included here.
 - (2) <u>United States defendant</u>. When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.
 - (3) Federal question. This refers to suits under 28 USC § 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.
 - (4) <u>Diversity of citizenship</u>. This refers to suits under 28 USC § 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; NOTE: federal question actions take precedence over diversity cases.)
- **III. Residence (citizenship) of Principal Parties.** This section of the JS-CAND 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- **IV.** Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerk(s) in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the six boxes.
 - (1) Original Proceedings. Cases originating in the United States district courts.
 - (2) <u>Removed from State Court</u>. Proceedings initiated in state courts may be removed to the district courts under Title 28 USC § 1441. When the petition for removal is granted, check this box.
 - (3) <u>Remanded from Appellate Court</u>. Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.
 - (4) <u>Reinstated or Reopened</u>. Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.
 - (5) <u>Transferred from Another District</u>. For cases transferred under Title 28 USC § 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.
 - (6) <u>Multidistrict Litigation Transfer</u>. Check this box when a multidistrict case is transferred into the district under authority of Title 28 USC § 1407. When this box is checked, do not check (5) above.
 - (8) <u>Multidistrict Litigation Direct File</u>. Check this box when a multidistrict litigation case is filed in the same district as the Master MDL docket.

Please note that there is no Origin Code 7. Origin Code 7 was used for historical records and is no longer relevant due to changes in statute.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. <u>Example</u>: U.S. Civil Statute: 47 USC § 553. <u>Brief Description</u>: Unauthorized reception of cable service.
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Federal Rule of Civil Procedure 23.

Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

- VIII. Related Cases. This section of the JS-CAND 44 is used to identify related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.
- **IX.** Divisional Assignment. If the Nature of Suit is under Property Rights or Prisoner Petitions or the matter is a Securities Class Action, leave this section blank. For all other cases, identify the divisional venue according to Civil Local Rule 3-2: "the county in which a substantial part of the events or omissions which give rise to the claim occurred or in which a substantial part of the property that is the subject of the action is situated."

Date and Attorney Signature. Date and sign the civil cover sheet.