CLAIRE AND GARY VAN HORN,

DONALD AND LORI WETZEL,

KEVIN KELLY.

C.A. NO.

COMPLAINT

Plaintiffs

JURY TRIAL DEMANDED

v.

MONSANTO COMPANY,

Defendant.

#### **INTRODUCTION**

- 1. In 1970, Defendant Monsanto Company, Inc. ("Monsanto") discovered the herbicidal properties of glyphosate and began marketing it in products in 1974 under the brand name Roundup<sup>®</sup>. Roundup<sup>®</sup> is a non-selective herbicide used to kill weeds that commonly compete with the growing of crops. In 2001, glyphosate was the most-used pesticide active ingredient in American agriculture with 85–90 million pounds used annually. That number grew to 185 million pounds used in 2007. As of 2013, glyphosate was the world's most widely used herbicide.
- 2. Monsanto is a multinational agricultural biotechnology corporation based in St. Louis, Missouri and incorporated in Delaware. It is the world's leading producer of glyphosate. As of 2009, Monsanto was the world's leading producer of seeds, accounting for 27% of the

<sup>&</sup>lt;sup>1</sup> Arthur Grube et al., U.S. Environmental Protection Agency, *Pesticides Industry Sales and Usage*, 2006–2007 Market Estimates 14 (2011), available at <a href="http://www.epa.gov/pesticides/pestsales/07pestsales/market\_estimates2007.pdf">http://www.epa.gov/pesticides/pestsales/07pestsales/market\_estimates2007.pdf</a>.

world seed market.<sup>2</sup> The majority of these seeds are of the Roundup Ready<sup>®</sup> brand. The stated advantage of Roundup Ready<sup>®</sup> crops is that they substantially improve a farmer's ability to control weeds, since glyphosate can be sprayed in the fields during the growing season without harming the crops. In 2010, an estimated 70% of corn and cotton, and 90% of soybean, fields in the United States were Roundup Ready<sup>®</sup>.<sup>3</sup>

3. Monsanto's glyphosate products are registered in 130 countries and approved for use on over 100 different crops.<sup>4</sup> They are ubiquitous in the environment. Numerous studies confirm that glyphosate is found in rivers, streams, and groundwater in agricultural areas where Roundup<sup>®</sup> is used.<sup>5</sup> It has been found in food,<sup>6</sup> in the urine of agricultural workers,<sup>7</sup> and even in the urine of urban dwellers who are not in direct contact with glyphosate.<sup>8</sup>

<sup>&</sup>lt;sup>2</sup> ETC Group, *Who Will Control the Green Economy?* 22 (2011), *available at* http://www.etcgroup.org/files/publication/pdf\_file/ETC\_wwctge\_4web\_Dec2011.pdf.

<sup>&</sup>lt;sup>3</sup> William Neuman & Andrew Pollack, *Farmers Cope With Roundup-Resistant Weeds*, N.Y. Times, May 3, 2010, *available at* http://www.nytimes.com/2010/05/04/business/energy-environment/04weed.html?pagewan.

<sup>&</sup>lt;sup>4</sup> Monsanto, *Backgrounder-History of Monsanto's Glyphosate Herbicides* (Sep. 2, 2015), http://www.monsanto.com/products/documents/glyphosate-background-materials/back history.pdf.

<sup>&</sup>lt;sup>5</sup> See U.S. Geological Survey, USGS Technical Announcement: Widely Used Herbicide Commonly Found in Rain and Streams in the Mississippi River Basin (2011), available at http://www.usgs.gov/newsroom/article.asp?ID=2909; see also U.S. Envtl. Prot. Agency, Technical Factsheet on: Glyphosate, available at http://www.epa.gov/safewater/pdfs/factsheets/soc/tech/glyphosa.pdf.

<sup>&</sup>lt;sup>6</sup> Thomas Bohn et al., *Compositional Differences in Soybeans on the Market: Glyphosate Accumulates in Roundup Ready GM Soybeans*, 153 Food Chemistry 207 (2013), *available at* http://www.sciencedirect.com/science/article/pii/S0308814613019201.

<sup>&</sup>lt;sup>7</sup> John F. Acquavella et al., *Glyphosate Biomonitoring for Farmers and Their Families: Results from the Farm Family Exposure Study*, 112(3) Environmental Health Perspectives 321 (2004), *available at* http://www.ncbi.nlm.nih.gov/pmc/articles/PMC1241861/; Kathryn Z. Guyton et al., *Carcinogenicity of Tetrachlorvinphos, Parathion, Malathion, Diazinon &* 

- 4. On March 20, 2015, the International Agency for Research on Cancer ("IARC"), an agency of the World Health Organization ("WHO"), issued an evaluation of several herbicides, including glyphosate. That evaluation was based, in part, on studies of exposures to glyphosate in several countries around the world, and it traces the health implications from exposure to glyphosate since 2001.
- 5. On July 29, 2015, IARC issued the formal monograph relating to glyphosate. In that monograph, the IARC Working Group provides a thorough review of the numerous studies and data relating to glyphosate exposure in humans.
- 6. The IARC Working Group classified glyphosate as a Group 2A herbicide, which means that it is *probably carcinogenic to humans*. The IARC Working Group concluded that the cancers most associated with glyphosate exposure are non-Hodgkin lymphoma and other haematopoietic cancers, including lymphocytic lymphoma/chronic lymphocytic leukemia, B-cell lymphoma, and multiple myeloma.<sup>9</sup>
- 7. The IARC evaluation is significant. It confirms what has been believed for years: that glyphosate is toxic to humans.
- 8. Nevertheless, Monsanto, since it began selling Roundup®, has represented it as safe to humans and the environment. Indeed, Monsanto has repeatedly proclaimed and continues to proclaim to the world, and particularly to United States consumers, that glyphosate-based

*Glyphosate*, 112 IARC Monographs 76, section 5.4 (2015), *available at* http://dx.doi.org/10.1016/S1470-2045(15)70134-8.

<sup>&</sup>lt;sup>8</sup> Dirk Brändli & Sandra Reinacher, *Herbicides found in Human Urine*, 1 Ithaka Journal 270 (2012), *available at* http://www.ithaka-journal.net/druckversionen/e052012-herbicides-urine.pdf.

<sup>&</sup>lt;sup>9</sup> See Guyton et al., Carcinogenicity of Tetrachlorvinphos, Parathion, Malathion, Diazinon & Glyphosate, supra.

herbicides, including Roundup<sup>®</sup>, create no unreasonable risks to human health or to the environment.

# **JURISDICTION AND VENUE**

- 9. This Court has jurisdiction over the subject matter of this action pursuant to Article 4, Section 7 of the Delaware Constitution.
- 10. This Court has personal jurisdiction over Monsanto because it is organized under Delaware law and because it transacts business in this State.
  - 11. Venue is proper in this Court because Defendant resides in this County.

# THE PARTIES

#### **PLAINTIFFS**

- 12. Plaintiff Claire Van Horn is and was at all relevant times a resident of Illinois. She purchased and used Roundup and/or other Monsanto glyphosate-containing products ("Roundup") through 2015, and was diagnosed with non Hodgkins Lymphoma in 2015. Plaintiff Gary Van Horn is and was at all relevant times the spouse of Claire Van Horn.
- 13. Plaintiff Donald Wetzel is and was at all relevant times a resident of Illinois. He purchased and used Roundup and/or other Monsanto glyphosate-containing products ("Roundup") from 1980 through 2015, and was diagnosed with non Hodgkins Lymphoma in 2015. Plaintiff Lori Wetzel is and was at all relevant times the spouse of Donald Wetzel.
- 14. Plaintiff Kevin Kelly is and was at all relevant times a resident of Illinois. He purchased and used Roundup and/or other Monsanto glyphosate-containing products ("Roundup") from the 1980s through present, and was diagnosed with non Hodgkins Lymphoma in 2015.

#### **DEFENDANT**

15. Defendant Monsanto is a Delaware corporation with its headquarters and principal place of business in St. Louis, Missouri.

16. At all times relevant to this complaint, Monsanto was the entity that discovered the herbicidal properties of glyphosate and the manufacturer of Roundup<sup>®</sup>.

#### **FACTS**

- 17. Glyphosate is a broad-spectrum, non-selective herbicide used in a wide variety of herbicidal products around the world.
- 18. Plants treated with glyphosate translocate the systemic herbicide to their roots, shoot regions, and fruit, where it interferes with the plant's ability to form aromatic amino acids necessary for protein synthesis. Treated plants generally die within two to three days. Because plants absorb glyphosate, it cannot be completely removed by washing or peeling produce or by milling, baking, or brewing grains.
- 19. For nearly 40 years, farms across the world have used Roundup® without knowing of the dangers its use poses. That is because when Monsanto first introduced Roundup®, it touted glyphosate as a technological breakthrough: it could kill almost every weed without causing harm either to people or to the environment. Of course, history has shown that not to be true. According to the WHO, the main chemical ingredient of Roundup®—glyphosate—is a probable cause of cancer. Those most at risk are farm workers and other individuals with workplace exposure to Roundup®, such as garden center workers, nursery workers, and landscapers. Agricultural workers are, once again, victims of corporate greed. Monsanto assured the public that Roundup® was harmless. In order to prove this, Monsanto championed falsified data and attacked legitimate studies that revealed Roundup®'s dangers. Monsanto led a prolonged campaign of misinformation to convince government agencies, farmers and the general population that Roundup® was safe.

The Discovery of Glyphosate and Development of Roundup®

20. The herbicidal properties of glyphosate were discovered in 1970 by Monsanto chemist John Franz. The first glyphosate-based herbicide was introduced to the market in the mid-1970s under the brand name Roundup<sup>®</sup>. From the outset, Monsanto marketed Roundup<sup>®</sup> as a "safe" general-purpose herbicide for widespread commercial and consumer use. It still markets Roundup<sup>®</sup> as safe today. 11

#### Registration of Herbicides under Federal Law

- 21. The manufacture, formulation, and distribution of herbicides, such as Roundup<sup>®</sup>, are regulated under the Federal Insecticide, Fungicide, and Rodenticide Act ("FIFRA" or "Act"), 7 U.S.C. § 136 *et seq.* FIFRA requires that all pesticides be registered with the Environmental Protection Agency ("EPA" or "Agency") prior to their distribution, sale, or use, except as described by the Act. 7 U.S.C. § 136a(a).
- 22. Because pesticides are toxic to plants, animals, and humans, at least to some degree, the EPA requires as part of the registration process, among other things, a variety of tests to evaluate the potential for exposure to pesticides, toxicity to people and other potential non-target organisms, and other adverse effects on the environment. Registration by the EPA, however, is not an assurance or finding of safety. The determination the Agency must make in registering or re-registering a product is not that the product is "safe," but rather that use of the product in accordance with its label directions "will not generally cause unreasonable adverse effects on the environment." 7 U.S.C. § 136a(c)(5)(D).

<sup>&</sup>lt;sup>10</sup> Monsanto, *Backgrounder*, *History of Monsanto's Glyphosate Herbicide* (Sep. 2, 2015), http://www.monsanto.com/products/documents/glyphosate-background-materials/back\_history.pdf.

<sup>&</sup>lt;sup>11</sup> Monsanto, *What is Glyphosate?* (Sep. 2, 2015), http://www.monsanto.com/sitecollectiondocuments/glyphosate-safety-health.pdf.

- 23. FIFRA defines "unreasonable adverse effects on the environment" to mean "any unreasonable risk to man or the environment, taking into account the economic, social, and environmental costs and benefits of the use of any pesticide." 7 U.S.C. § 136(bb). FIFRA thus requires EPA to make a risk/benefit analysis in determining whether a registration should be granted or a pesticide allowed to continue to be sold in commerce.
- 24. The EPA, the State of California, the State of Michigan, New York State, the State of Oregon, the State of Texas, and the State of Washington have registered Roundup<sup>®</sup> for distribution, sale, and manufacture in the United States, California, Michigan, New York, Oregon, Texas, and Washington, respectively.
- 25. FIFRA generally requires that the registrant, Monsanto in the case of Roundup<sup>®</sup>, conducts the health and safety testing of pesticide products. The EPA has protocols governing the conduct of tests required for registration and the laboratory practices that must be followed in conducting these tests. The data produced by the registrant must be submitted to the EPA for review and evaluation. The government is not required, nor is it able, however, to perform the product tests that are required of the manufacturer.
- 26. The evaluation of each pesticide product distributed, sold, or manufactured is completed at the time the product is initially registered. The data necessary for registration of a pesticide has changed over time. The EPA is now in the process of re-evaluating all pesticide products through a Congressionally-mandated process called "re-registration." 7 U.S.C. § 136a-1. In order to reevaluate these pesticides, the EPA is demanding the completion of additional tests and the submission of data for the EPA's recent review and evaluation.
- 27. In the case of glyphosate, and therefore Roundup®, the EPA had planned on releasing its preliminary risk assessment—in relation to the reregistration process—no later than

July 2015. The EPA completed its review of glyphosate in early 2015, but it delayed releasing the risk assessment pending further review in light of the WHO's health-related findings.

# Scientific Fraud Underlying the Marketing and Sale of Glyphosate/Roundup®

- 28. Based on early studies showing that glyphosate could cause cancer in laboratory animals, the EPA originally classified glyphosate as *possibly carcinogenic to humans* (Group C) in 1985. After pressure from Monsanto, including contrary studies it provided to the EPA, the EPA changed its classification to *evidence of non-carcinogenicity in humans* (Group E) in 1991. In so classifying glyphosate, however, the EPA made clear that the designation did not mean the chemical does not cause cancer: "It should be emphasized, however, that designation of an agent in Group E is based on the available evidence at the time of evaluation and should not be interpreted as a definitive conclusion that the agent will not be a carcinogen under any circumstances." 12
- 29. On two occasions, the EPA found that the laboratories hired by Monsanto to test the toxicity of its Roundup® products for registration purposes committed fraud.
- 30. In the first instance, Monsanto, in seeking initial registration of Roundup<sup>®</sup> by the EPA, hired Industrial Bio-Test Laboratories ("IBT") to perform and evaluate pesticide toxicology studies relating to Roundup<sup>®</sup>. <sup>13</sup> IBT performed about 30 tests on glyphosate and

<sup>&</sup>lt;sup>12</sup> U.S. Envtl. Prot. Agency, *Memorandum*, *Subject: SECOND Peer Review of Glyphosate* 1 (1991), *available at* http://www.epa.gov/pesticides/chem\_search/cleared\_reviews/csr\_PC-103601\_30-Oct-91\_265.pdf.

<sup>&</sup>lt;sup>13</sup> Monsanto, *Backgrounder, Testing Fraud: IBT and Craven Laboratories* (Sep. 2, 2015), http://www.monsanto.com/products/documents/glyphosate-background-materials/ibt\_craven\_bkg.pdf.

glyphosate-containing products, including nine of the 15 residue studies needed to register Roundup<sup>®</sup>.

- 31. In 1976, the United States Food and Drug Administration ("FDA") performed an inspection of IBT that revealed discrepancies between the raw data and the final report relating to the toxicological impacts of glyphosate. The EPA subsequently audited IBT; it too found the toxicology studies conducted for the Roundup® herbicide to be invalid. An EPA reviewer stated, after finding "routine falsification of data" at IBT, that it was "hard to believe the scientific integrity of the studies when they said they took specimens of the uterus from male rabbits."
  - 32. Three top executives of IBT were convicted of fraud in 1983.
- 33. In the second incident of data falsification, Monsanto hired Craven Laboratories in 1991 to perform pesticide and herbicide studies, including for Roundup<sup>®</sup>. In that same year,

<sup>&</sup>lt;sup>14</sup> U.S. Envtl. Prot. Agency, Summary of the IBT Review Program Office of Pesticide Programs (1983), available at

http://nepis.epa.gov/Exe/ZyNET.exe/91014ULV.TXT?ZyActionD=ZyDocument&Client=EPA &Index=1981+Thru+1985&Docs=&Query=&Time=&EndTime=&SearchMethod=1&TocRestri ct=n&Toc=&TocEntry=&QField=&QFieldYear=&QFieldMonth=&QFieldDay=&IntQFieldOp =0&ExtQFieldOp=0&XmlQuery=&File=D%3A%5Czyfiles%5CIndex%20Data%5C81thru85%5CTxt%5C00000022%5C91014ULV.txt&User=ANONYMOUS&Password=anonymous&Sort Method=h%7C-

<sup>&</sup>amp; Maximum Documents = 1&Fuzzy Degree = 0&Image Quality = r75g8/r75g8/x150y150g16/i425&Display = p%7Cf&Def Seek Page = x&Search Back = ZyAction L&Back = ZyAction S&Back Desc = Results%20page&Maximum Pages = 1&ZyEntry = 1&Seek Page = x&ZyPURL.

<sup>&</sup>lt;sup>15</sup> Marie-Monique Robin, *The World According to Monsanto: Pollution, Corruption and the Control of the World's Food Supply* (2011) (citing U.S. Envtl. Prot. Agency, *Data Validation, Memo from K. Locke, Toxicology Branch, to R. Taylor, Registration Branch. Washington, D.C.* (August 9, 1978)).

the owner of Craven Laboratories and three of its employees were indicted, and later convicted, of fraudulent laboratory practices in the testing of pesticides and herbicides.<sup>16</sup>

34. Despite the falsity of the tests that underlie its registration, within a few years of its launch, Monsanto was marketing Roundup<sup>®</sup> in 115 countries.

# The Importance of Roundup® to Monsanto's Market Dominance Profits

- 35. The success of Roundup® was key to Monsanto's continued reputation and dominance in the marketplace. Largely due to the success of Roundup® sales, Monsanto's agriculture division was out-performing its chemicals division's operating income, and that gap increased yearly. But with its patent for glyphosate expiring in the United States in the year 2000, Monsanto needed a strategy to maintain its Roundup® market dominance and to ward off impending competition.
- 36. In response, Monsanto began the development and sale of genetically engineered Roundup Ready® seeds in 1996. Since Roundup Ready® crops are resistant to glyphosate, farmers can spray Roundup® onto their fields during the growing season without harming the crop. This allowed Monsanto to expand its market for Roundup® even further; by 2000, Monsanto's biotechnology seeds were planted on more than 80 million acres worldwide and nearly 70% of American soybeans were planted from Roundup Ready® seeds. It also secured Monsanto's dominant share of the glyphosate/Roundup® market through a marketing strategy that coupled proprietary Roundup Ready® seeds with continued sales of its Roundup® herbicide.
- 37. Through a three-pronged strategy of increasing production, decreasing prices, and by coupling with Roundup Ready<sup>®</sup> seeds, Roundup<sup>®</sup> became Monsanto's most profitable

<sup>&</sup>lt;sup>16</sup> Monsanto, Backgrounder, Testing Fraud: IBT and Craven Laboratories, supra.

product. In 2000, Roundup<sup>®</sup> accounted for almost \$2.8 billion in sales, outselling other herbicides by a margin of five to one, and accounting for close to half of Monsanto's revenue.<sup>17</sup> Today, glyphosate remains one of the world's largest herbicides by sales volume.

#### Monsanto has known for decades that it falsely advertises the safety of Roundup®

- 38. In 1996, the New York Attorney General ("NYAG") filed a lawsuit against Monsanto based on its false and misleading advertising of Roundup® products. Specifically, the lawsuit challenged Monsanto's general representations that its spray-on glyphosate-based herbicides, including Roundup®, were "safer than table salt" and "practically non-toxic" to mammals, birds, and fish. Among the representations the NYAG found deceptive and misleading about the human and environmental safety of glyphosate and/or Roundup® are the following:
  - a) "Remember that environmentally friendly Roundup herbicide is biodegradable. It won't build up in the soil so you can use Roundup with confidence along customers' driveways, sidewalks and fences ..."
  - b) "And remember that Roundup is biodegradable and won't build up in the soil. That will give you the environmental confidence you need to use Roundup everywhere you've got a weed, brush, edging or trimming problem."
  - c) "Roundup biodegrades into naturally occurring elements."
  - d) "Remember that versatile Roundup herbicide stays where you put it. That means there's no washing or leaching to harm customers' shrubs or other desirable vegetation."

<sup>&</sup>lt;sup>17</sup> David Barboza, *The Power of Roundup; A Weed Killer Is A Block for Monsanto to Build On*, N.Y. Times, Aug. 2, 2001, *available at* http://www.nytimes.com/2001/08/02/business/the-power-of-roundup-a-weed-killer-is-a-block-for-monsanto-to-build-on.html.

- e) "This non-residual herbicide will not wash or leach in the soil. It ... stays where you apply it."
- f) "You can apply Accord with 'confidence because it will stay where you put it' it bonds tightly to soil particles, preventing leaching. Then, soon after application, soil microorganisms biodegrade Accord into natural products."
- g) Glyphosate is less toxic to rats than table salt following acute oral ingestion.
- h) "Glyphosate's safety margin is much greater than required. It has over a 1,000-fold safety margin in food and over a 700-fold safety margin for workers who manufacture it or use it."
- i) "You can feel good about using herbicides by Monsanto. They carry a toxicity category rating of 'practically non-toxic' as it pertains to mammals, birds and fish."
- j) "Roundup can be used where kids and pets will play and breaks down into natural material." This ad depicts a person with his head in the ground and a pet dog standing in an area which has been treated with Roundup. 18
- 39. On November 19, 1996, Monsanto entered into an Assurance of Discontinuance with NYAG, in which Monsanto agreed, among other things, "to cease and desist from publishing or broadcasting any advertisements [in New York] that represent, directly or by implication" that:
  - a) its glyphosate-containing pesticide products or any component thereof are safe, non-toxic, harmless or free from risk.

\* \* \*

b) its glyphosate-containing pesticide products or any component thereof manufactured, formulated, distributed or sold by Monsanto are biodegradable

<sup>&</sup>lt;sup>18</sup> Attorney General of the State of New York, In the Matter of Monsanto Company, Assurance of Discontinuance Pursuant to Executive Law § 63(15) (Nov. 1996).

\* \* \*

c) its glyphosate-containing pesticide products or any component thereof stay where they are applied under all circumstances and will not move through the environment by any means.

\* \* \*

d) its glyphosate-containing pesticide products or any component thereof are "good" for the environment or are "known for their environmental characteristics."

\* \* \*

- e) glyphosate-containing pesticide products or any component thereof are safer or less toxic than common consumer products other than herbicides;
- f) its glyphosate-containing products or any component thereof might be classified as "practically non-toxic."
- 40. Monsanto did not alter its advertising in the same manner in any state other than New York, and on information and belief it still has not done so today.
- 41. In 2009, France's highest court ruled that Monsanto had not told the truth about the safety of Roundup<sup>®</sup>. The French court affirmed an earlier judgement that Monsanto had falsely advertised its herbicide Roundup<sup>®</sup> as "biodegradable" and that it "left the soil clean." <sup>19</sup>

#### Classifications and Assessments of Glyphosate

42. The IARC process for the classification of glyphosate followed IARC's stringent procedures for the evaluation of a chemical agent. Over time, the IARC Monograph program has reviewed 980 agents. Of those reviewed, it has determined 116 agents to be Group 1

<sup>&</sup>lt;sup>19</sup> *Monsanto Guilty in 'False Ad' Row*, BBC, Oct. 15, 2009, *available at* http://news.bbc.co.uk/2/hi/europe/8308903.stm.

(Known Human Carcinogens); 73 agents to be Group 2A (Probable Human Carcinogens); 287 agents to be Group 2B (Possible Human Carcinogens); 503 agents to be Group 3 (Not Classified); and one agent to be Probably Not Carcinogenic.

- 43. The established procedure for IARC Monograph evaluations is described in the IARC Programme's Preamble.<sup>20</sup> Evaluations are performed by panels of international experts, selected on the basis of their expertise and the absence of actual or apparent conflicts of interest.
- 44. One year before the Monograph meeting, the meeting is announced and there is a call both for data and for experts. Eight months before the Monograph meeting, the Working Group membership is selected and the sections of the Monograph are developed by the Working Group members. One month prior to the Monograph meeting, the call for data is closed and the various draft sections are distributed among Working Group members for review and comment. Finally, at the Monograph meeting, the Working Group finalizes review of all literature, evaluates the evidence in each category, and completes the overall evaluation. Within two weeks after the Monograph meeting, the summary of the Working Group findings are published in *The Lancet Oncology*, and within a year after the meeting, the finalized Monograph is published.
- 45. In assessing an agent, the IARC Working Group reviews the following information: (a) human, experimental, and mechanistic data; (b) all pertinent epidemiological studies and cancer bioassays; and (c) representative mechanistic data. The studies must be publicly available and have sufficient detail for meaningful review, and reviewers cannot be associated with the underlying study.

<sup>&</sup>lt;sup>20</sup> World Health Organization, *IARC Monographs on the Evaluation of Carcinogenic Risks to Humans: Preamble* (2006), available at <a href="http://monographs.iarc.fr/ENG/Preamble/CurrentPreamble.pdf">http://monographs.iarc.fr/ENG/Preamble/CurrentPreamble.pdf</a>.

- 46. In March 20, 2015, IARC reassessed glyphosate. The summary published in *The Lancet Oncology* reported that glyphosate is a Group 2A agent and probably carcinogenic in humans.
- 47. On July 29, 2015, IARC issued its Monograph for glyphosate, Monograph Volume 112. For Volume 112, a Working Group of 17 experts from 11 countries met at IARC from March 3–10, 2015 to assess the carcinogenicity of certain herbicides, including glyphosate. The March meeting culminated a nearly one-year review and preparation by the IARC Secretariat and the Working Group, including a comprehensive review of the latest available scientific evidence. According to published procedures, the Working Group considered "reports that have been published or accepted for publication in the openly available scientific literature" as well as "data from governmental reports that are publicly available."
- 48. The studies considered the following exposure groups: (1) occupational exposure of farmers and tree nursery workers in the United States, forestry workers in Canada and Finland and municipal weed-control workers in the United Kingdom; and (2) para-occupational exposure in farming families.
- 49. Glyphosate was identified as the second-most used household herbicide in the United States for weed control between 2001 and 2007 and the most heavily used herbicide in the world in 2012.
- 50. Exposure pathways are identified as air (especially during spraying), water, and food. Community exposure to glyphosate is widespread and found in soil, air, surface water, and groundwater, as well as in food.

- 51. The assessment of the IARC Working Group identified several case control studies of occupational exposure in the United States, Canada, and Sweden. These studies show a human health concern from agricultural and other work-related exposure to glyphosate.
- 52. The IARC Working Group found an increased risk between exposure to glyphosate and non-Hodgkin lymphoma ("NHL") and several subtypes of NHL, and the increased risk persisted after adjustment for other pesticides.
- 53. The IARC Working Group also found that glyphosate caused DNA and chromosomal damage in human cells. One study in community residents reported increases in blood markers of chromosomal damage (micronuclei) after glyphosate formulations were sprayed.
- 54. In male CD-1 mice, glyphosate induced a positive trend in the incidence of a rare tumor: renal tubule carcinoma. A second study reported a positive trend for haemangiosarcoma in male mice. Glyphosate increased pancreatic islet-cell adenoma in male rats in two studies. A glyphosate formulation promoted skin tumors in an initiation-promotion study in mice.
- 55. The IARC Working Group also noted that glyphosate has been detected in the urine of agricultural workers, indicating absorption. Soil microbes degrade glyphosate to aminomethylphosphoric acid (AMPA). Blood AMPA detection after exposure suggests intestinal microbial metabolism in humans.
- 56. The IARC Working Group further found that glyphosate and glyphosate formulations induced DNA and chromosomal damage in mammals, and in human and animal cells in utero.

- 57. The IARC Working Group also noted genotoxic, hormonal, and enzymatic effects in mammals exposed to glyphosate. <sup>21</sup> Essentially, glyphosate inhibits the biosynthesis of aromatic amino acids, which leads to several metabolic disturbances, including the inhibition of protein and secondary product biosynthesis and general metabolic disruption.
- 58. The IARC Working Group also reviewed an Agricultural Health Study, consisting of a prospective cohort of 57,311 licensed pesticide applicators in Iowa and North Carolina.<sup>22</sup> While this study differed from others in that it was based on a self-administered questionnaire, the results support an association between glyphosate exposure and multiple myeloma, hairy cell leukemia (HCL), and chronic lymphocytic leukemia (CLL), in addition to several other cancers.
- 59. Because of its rigorous scientific method and independence, IARC is a widely respected organization and its findings are considered authoritative. Organizations such as the American Cancer Society and Federal Judicial Center hold IARC in high esteem The Federal Judicial Center describes IARC as "well-respected and prestigious" and notes that IARC's assessment of carcinogenicity is "generally recognized as authoritative." Reference Manual on Scientific Evidence 20, 565 n. 46 (3D ED. 2011). The U.S. Department of Labor's Occupational Safety and Health Administration ("OSHA") also relies on IARC assessments when requiring manufactures to warn of the potential carcinogenicity of chemicals. (29 C.F.R. § 1910.1200(d)(4) (2010). California Chamber of Commerce v. Brown, 196 Cal. App. 4th 233, 242, 126 Cal. Rptr. 3d 214, 219 (2011)

<sup>&</sup>lt;sup>21</sup> Guyton et al., Carcinogenicity of Tetrachlorvinphos, Parathion, Malathion, Diazinon & Glyphosate, supra at 77.

<sup>&</sup>lt;sup>22</sup> Anneclare J. De Roos et al., *Cancer Incidence Among Glyphosate-Exposed Pesticide Applicators in the Agricultural Health Study*, 113 Envt'l Health Perspectives 49–54 (2005), *available at* http://www.ncbi.nlm.nih.gov/pmc/articles/PMC1253709/pdf/ehp0113-000049.pdf.

60. California, based on IARC's finding, have announced its intention to list glyphosate as a chemical known to the State of California to cause cancer. This listing when finalized will require Monsanto to warn Roundup® users that glyphosate is carcinogenic.

## Other Earlier Findings About Glyphosate's Dangers to Human Health

61. The EPA has a technical fact sheet, as part of its Drinking Water and Health, National Primary Drinking Water Regulations publication, relating to glyphosate. This technical fact sheet predates IARC's March 20, 2015 evaluation. The fact sheet describes the release patterns for glyphosate as follows:

#### **Release Patterns**

Glyphosate is released to the environment in its use as a herbicide for controlling woody and herbaceous weeds on forestry, right-of-way, cropped and non-cropped sites. These sites may be around water and in wetlands.

It may also be released to the environment during its manufacture, formulation, transport, storage, disposal and cleanup, and from spills. Since glyphosate is not a listed chemical in the Toxics Release Inventory, data on releases during its manufacture and handling are not available.

Occupational workers and home gardeners may be exposed to glyphosate by inhalation and dermal contact during spraying, mixing, and cleanup. They may also be exposed by touching soil and plants to which glyphosate was applied. Occupational exposure may also occur during glyphosate's manufacture, transport storage, and disposal.<sup>23</sup>

62. In 1995, the Northwest Coalition for Alternatives to Pesticides reported that in California, the state with the most comprehensive program for reporting of pesticide-caused

<sup>&</sup>lt;sup>23</sup> U.S. Envtl. Prot. Agency, *Technical Factsheet on: Glyphosate*, supra.

illness, glyphosate was the third most commonly-reported cause of pesticide illness among agricultural workers.<sup>24</sup>

# Recent Worldwide Bans on Roundup®/Glyphosate

- 63. Several countries around the world have instituted bans on the sale of Roundup® and other glyphosate-containing herbicides, both before and since IARC first announced its assessment for glyphosate in March 20, 2015, and more countries undoubtedly will follow suit as the dangers of the use of Roundup® become more widely known. The Netherlands issued a ban on all glyphosate-based herbicides in April 2014, including Roundup®, which will take effect by the end of 2015. In issuing the ban, the Dutch Parliament member who introduced the successful legislation stated: "Agricultural pesticides in user-friendly packaging are sold in abundance to private persons. In garden centers, Roundup® is promoted as harmless, but unsuspecting customers have no idea what the risks of this product are. Especially children are sensitive to toxic substances and should therefore not be exposed to it." 25
- 64. The Brazilian Public Prosecutor in the Federal District requested that the Brazilian Justice Department suspend the use of glyphosate.<sup>26</sup>

<sup>&</sup>lt;sup>24</sup> Caroline Cox, *Glyphosate*, *Part 2: Human Exposure and Ecological Effects*, 15 J. Pesticide Reform 4 (1995); W.S. Peas et al., *Preventing pesticide-related illness in California agriculture: Strategies and priorities. Environmental Health Policy Program Report*, Univ. of Cal. School of Public Health, Calif. Policy Seminar (1993).

<sup>&</sup>lt;sup>25</sup> Holland's Parliament Bans Glyphosate Herbicides, The Real Agenda, April 14, 2014, available at http://real-agenda.com/hollands-parliament-bans-glyphosate-herbicides/.

<sup>&</sup>lt;sup>26</sup> Christina Sarich, *Brazil's Public Prosecutor Wants to Ban Monsanto's Chemicals Following Recent Glyphosate-Cancer Link*, Global Research, May 14, 2015, *available at* http://www.globalresearch.ca/brazils-public-prosecutor-wants-to-ban-monsantos-chemicals-following-recent-glyphosate-cancer-link/5449440; *see* Ministério Público Federal, *MPF/DF reforça pedido para que glifosato seja banido do mercado naciona*, April, 14, 2015, *available at* 

- 65. France banned the private sale of Roundup® and glyphosate following the IARC assessment for Glyphosate.<sup>27</sup>
- 66. Bermuda banned both the private and commercial sale of glyphosates, including Roundup<sup>®</sup>. The Bermuda government explained its ban as follows: "Following a recent scientific study carried out by a leading cancer agency, the importation of weed spray 'Roundup' has been suspended."<sup>28</sup>
- 67. The Sri Lankan government banned the private and commercial use of glyphosate, particularly out of concern that glyphosate has been linked to fatal kidney disease in agricultural workers.<sup>29</sup>
- 68. The government of Colombia announced its ban on using Roundup® and glyphosate to destroy illegal plantations of coca, the raw ingredient for cocaine, because of the WHO's finding that glyphosate is probably carcinogenic.<sup>30</sup>

http://noticias.pgr.mpf.mp.br/noticias/noticias-do-site/copy\_of\_meio-ambiente-e-patrimonio-cultural/mpf-df-reforca-pedido-para-que-glifosato-seja-banido-do-mercado-nacional.

<sup>&</sup>lt;sup>27</sup> Zoe Schlanger, France Bans Sales of Monsanto's Roundup in Garden Centers, 3 Months After U.N. Calls it 'Probable Carcinogen', Newsweek, June 15, 2015, available at http://www.newsweek.com/france-bans-sale-monsantos-roundup-garden-centers-after-un-names-it-probable-343311.

<sup>&</sup>lt;sup>28</sup> Health Minister: Importation of Roundup Weed Spray Suspended. Today in Bermuda, May, 11 2015, available at http://www.todayinbermuda.com/news/health/item/1471-health-minister-importation-of-roundup-weed-spray-suspended.

<sup>&</sup>lt;sup>29</sup> Sri Lanka's New President Puts Immediate Ban on Glyphosate Herbicides, Sustainable Pulse, May 25, 2015, available at http://sustainablepulse.com/2015/05/25/sri-lankas-new-president-puts-immediate-ban-on-glyphosate-herbicides/#.VeduYk3bKAw.

<sup>&</sup>lt;sup>30</sup> Columbia to ban coca spraying herbicide glyphosate, BBC, May 10, 2015, available at http://www.bbc.com/news/world-latin-america-32677411.

#### **CLAIM ONE**

#### STRICT LIABILITY (DESIGN DEFECT)

- 69. Plaintiffs incorporate by reference each and every allegation set forth in the preceding paragraphs as if fully stated herein.
  - 70. Plaintiffs bring this strict liability claim against Defendant for defective design.
- 71. At all times relevant to this litigation, Defendant engaged in the business of testing, developing, designing, manufacturing, marketing, selling, distributing, and promoting Roundup® products, which are defective and unreasonably dangerous to consumers, including Plaintiffs, thereby placing Roundup® products into the stream of commerce. These actions were under the ultimate control and supervision of Defendant. At all times relevant to this litigation, Defendant designed, researched, developed, manufactured, produced, tested, assembled, labeled, advertised, promoted, marketed, sold, and distributed the Roundup® products used by the Plaintiffs, and/or to which the Plaintiffs were exposed, as described above.
- 72. At all times relevant to this litigation, Defendant's Roundup<sup>®</sup> products were manufactured, designed, and labeled in an unsafe, defective, and inherently dangerous manner that was dangerous for use by or exposure to the public, and, in particular, the Plaintiffs.
- 73. At all times relevant to this litigation, Defendant's Roundup® products reached the intended consumers, handlers, and users or other persons coming into contact with these products in Louisiana, Kentucky, and Tennessee and throughout the United States, including Plaintiffs, without substantial change in their condition as designed, manufactured, sold, distributed, labeled, and marketed by Defendant.
- 74. Defendant's Roundup<sup>®</sup> products, as researched, tested, developed, designed, licensed, manufactured, packaged, labeled, distributed, sold, and marketed by Defendant were

defective in design and formulation in that when they left the hands of the Defendant's manufacturers and/or suppliers, they were unreasonably dangerous and dangerous to an extent beyond that which an ordinary consumer would contemplate.

- 75. Defendant's Roundup<sup>®</sup> products, as researched, tested, developed, designed, licensed, manufactured, packaged, labeled, distributed, sold, and marketed by Defendant were defective in design and formulation in that when they left the hands of Defendant's manufacturers and/or suppliers, the foreseeable risks associated with these products' reasonably foreseeable uses exceeded the alleged benefits associated with their design and formulation.
- 76. At all times relevant to this action, Defendant knew or had reason to know that its Roundup® products were defective and were inherently dangerous and unsafe when used in the manner instructed and provided by Defendant.
- 77. Therefore, at all times relevant to this litigation, Defendant's Roundup<sup>®</sup> products, as researched, tested, developed, designed, licensed, manufactured, packaged, labeled, distributed, sold and marketed by Defendant, were defective in design and formulation, in one or more of the following ways:
  - a. When placed in the stream of commerce, Defendant's Roundup® products were defective in design and formulation, and, consequently, dangerous to an extent beyond that which an ordinary consumer would contemplate.
  - b. When placed in the stream of commerce, Defendant's Roundup® products were unreasonably dangerous in that they were hazardous and posed a grave risk of cancer and other serious illnesses when used in a reasonably anticipated manner.

- c. When placed in the stream of commerce, Defendant's Roundup® products contained unreasonably dangerous design defects and were not reasonably safe when used in a reasonably anticipated or intended manner.
- d. Defendant did not sufficiently test, investigate, or study its Roundup® products and, specifically, the active ingredient glyphosate.
- e. Exposure to Roundup<sup>®</sup> and glyphosate-containing products presents a risk of harmful side effects that outweighs any potential utility stemming from the use of the herbicide.
- f. Defendant knew or should have known at the time of marketing its Roundup<sup>®</sup> products that exposure to Roundup<sup>®</sup> and specifically, its active ingredient glyphosate, could result in cancer and other severe illnesses and injuries.
- g. Defendant did not conduct adequate post-marketing surveillance of its Roundup® products.
- h. Defendant could have employed safer alternative designs and formulations.
- 78. Plaintiffs were exposed to Defendant's Roundup® products in the course of their employment as agricultural workers and horticultural workers, as described above, without knowledge of their dangerous characteristics.
- 79. At all times relevant to this litigation, Plaintiffs used and/or were exposed to the use of Defendant's Roundup<sup>®</sup> products in an intended or reasonably foreseeable manner without knowledge of their dangerous characteristics.

- 80. Plaintiffs could not have reasonably discovered the defects and risks associated with Roundup® or glyphosate-containing products before or at the time of exposure.
- 81. The harm caused by Defendant's Roundup® products far outweighed their benefit, rendering Defendant's products dangerous to an extent beyond that which an ordinary consumer would contemplate. Defendant's Roundup® products were and are more dangerous than alternative products and Defendant could have designed its Roundup® products to make them less dangerous. Indeed, at the time that Defendant designed its Roundup® products, the state of the industry's scientific knowledge was such that a less risky design or formulation was attainable.
- 82. At the time Roundup® products left Defendant's control, there was a practical, technically feasible, and safer alternative design that would have prevented the harm without substantially impairing the reasonably anticipated or intended function of Defendant's Roundup® herbicides.
- 83. Defendant's defective design of its Roundup<sup>®</sup> products was willful, wanton, fraudulent, malicious, and conducted with reckless disregard for the health and safety of users of the Roundup<sup>®</sup> products and of those exposed to these products, including Plaintiffs.
- 84. Therefore, as a result of the unreasonably dangerous condition of its Roundup<sup>®</sup> products, Defendant is strictly liable to Plaintiffs.
- 85. The defects in Defendant's Roundup<sup>®</sup> products were substantial and contributing factors in causing Plaintiffs' grave injuries, and, but for Defendant's misconduct and omissions, Plaintiffs would not have sustained their injuries.
- 86. Defendant's conduct, as described above, was reckless. Defendant risked the lives of consumers and users of its products, including Plaintiffs, with knowledge of the safety

problems associated with Roundup® and glyphosate-containing products, and Defendant suppressed this knowledge from the general public. Defendant made conscious decisions not to redesign, warn, or inform the unsuspecting public. Defendant's reckless conduct warrants an award of punitive damages.

- 87. As a direct and proximate result of Defendant placing its defective Roundup® products into the stream of commerce, Plaintiffs have suffered and continue to suffer grave injuries, and have endured physical pain and discomfort, as well as economic hardship, including considerable financial expenses for medical care and treatment. Plaintiffs will continue to incur these expenses in the future.
- 88. WHEREFORE, Plaintiffs respectfully request that this Court enter judgment in Plaintiffs' favor for compensatory and punitive damages, together with interest, costs herein incurred, attorneys' fees, and all such other and further relief as this Court deems just and proper. Plaintiffs also demand a jury trial on the issues contained herein.

#### **CLAIM TWO**

#### STRICT LIABILITY (FAILURE TO WARN)

- 89. Plaintiffs incorporate by reference each and every allegation set forth in the preceding paragraphs as if fully stated herein.
  - 90. Plaintiffs bring this strict liability claim against Defendant for failure to warn.
- 91. At all times relevant to this litigation, Defendant engaged in the business of testing, developing, designing, manufacturing, marketing, selling, distributing, and promoting Roundup® products, which are defective and unreasonably dangerous to consumers, including Plaintiffs, because they do not contain adequate warnings or instructions concerning the

dangerous characteristics of Roundup<sup>®</sup> and specifically, the active ingredient glyphosate. These actions were under the ultimate control and supervision of Defendant.

- 92. Defendant researched, developed, designed, tested, manufactured, inspected, labeled, distributed, marketed, promoted, sold, and otherwise released into the stream of commerce its Roundup<sup>®</sup> products, and in the course of same, directly advertised or marketed the products to consumers and end users, including Plaintiffs, Plaintiffs' employers, Plaintiffs' coworkers, and persons responsible for consumers (such as employers), and Defendant therefore had a duty to warn of the risks associated with the reasonably foreseeable uses (and misuses) of Roundup<sup>®</sup> and glyphosate-containing products.
- 93. At all times relevant to this litigation, Defendant had a duty to properly test, develop, design, manufacture, inspect, package, label, market, promote, sell, distribute, maintain supply, provide proper warnings, and take such steps as necessary to ensure that its Roundup<sup>®</sup> products did not cause users and consumers to suffer from unreasonable and dangerous risks. Defendant had a continuing duty to warn Plaintiffs of the dangers associated with Roundup<sup>®</sup> use and exposure. Defendant, as manufacturer, seller, or distributor of chemical herbicides, is held to the knowledge of an expert in the field.
- 94. At the time of manufacture, Defendant could have provided warnings or instructions regarding the full and complete risks of Roundup<sup>®</sup> and glyphosate-containing products because it knew or should have known of the unreasonable risks of harm associated with the use of and/or exposure to these products.
- 95. At all times relevant to this litigation, Defendant failed to investigate, study, test, or promote the safety or to minimize the dangers to users and consumers of its Roundup<sup>®</sup>

products and to those who would foreseeably use or be harmed by Defendant's herbicides, including Plaintiffs.

- 96. Despite the fact that Defendant knew or should have known that Roundup® products posed a grave risk of harm, it failed to warn of the dangerous risks associated with their use and exposure. The dangerous propensities of its products and the carcinogenic characteristics of glyphosate, as described above, were known to Defendant, or scientifically knowable to Defendant through appropriate research and testing by known methods, at the time it distributed, supplied, or sold the product, and not known to end users and consumers, such as Plaintiffs and their employers.
- 97. Defendant knew or should have known that its Roundup® and glyphosate-containing products created significant risks of serious bodily harm to consumers, as alleged herein, and Defendant failed to adequately warn consumers and reasonably foreseeable users of the risks of exposure to these products. Defendant has wrongfully concealed information concerning the dangerous nature of Roundup® and its active ingredient glyphosate, and further made false and/or misleading statements concerning the safety of Roundup® and glyphosate.
- 98. At all times relevant to this litigation, Defendant's Roundup® products reached the intended consumers, handlers, and users or other persons coming into contact with these products throughout the United States, including Plaintiffs, without substantial change in their condition as designed, manufactured, sold, distributed, labeled, and marketed by Defendant.
- 99. Plaintiffs were exposed to Defendant's Roundup® products in the course of their employment as agricultural workers and/or horticultural workers, as described above, without knowledge of their dangerous characteristics.

- 100. At all times relevant to this litigation, Plaintiffs used and/or were exposed to the use of Defendant's Roundup<sup>®</sup> products in their intended or reasonably foreseeable manner without knowledge of their dangerous characteristics.
- 101. Plaintiffs could not have reasonably discovered the defects and risks associated with Roundup® or glyphosate-containing products before or at the time of Plaintiffs' exposure. Plaintiffs relied upon the skill, superior knowledge, and judgment of Defendant.
- 102. Defendant knew or should have known that the minimal warnings disseminated with its Roundup® products were inadequate, but it failed to communicate adequate information on the dangers and safe use/exposure and failed to communicate warnings and instructions that were appropriate and adequate to render the products safe for their ordinary, intended, and reasonably foreseeable uses, including agricultural and horticultural applications.
- 103. The information that Defendant did provide or communicate failed to contain relevant warnings, hazards, and precautions that would have enabled agricultural workers and/or horticultural workers such as Plaintiffs to utilize the products safely and with adequate protection. Instead, Defendant disseminated information that was inaccurate, false, and misleading and which failed to communicate accurately or adequately the comparative severity, duration, and extent of the risk of injuries associated with use of and/or exposure to Roundup® and glyphosate; continued to aggressively promote the efficacy of its products, even after it knew or should have known of the unreasonable risks from use or exposure; and concealed, downplayed, or otherwise suppressed, through aggressive marketing and promotion, any information or research about the risks and dangers of exposure to Roundup® and glyphosate.

- 104. To this day, Defendant has failed to adequately and accurately warn of the true risks of Plaintiffs' injuries associated with the use of and exposure to Roundup<sup>®</sup> and its active ingredient glyphosate, a probable carcinogen.
- 105. As a result of their inadequate warnings, Defendant's Roundup® products were defective and unreasonably dangerous when they left the possession and/or control of Defendant, were distributed by Defendant, and used by Plaintiffs in the course of their employment as agricultural workers and/or horticultural workers.
- 106. Defendant is liable to Plaintiffs for injuries caused by its failure, as described above, to provide adequate warnings or other clinically relevant information and data regarding the appropriate use of its Roundup<sup>®</sup> products and the risks associated with the use of or exposure to Roundup<sup>®</sup> and glyphosate.
- 107. The defects in Defendant's Roundup<sup>®</sup> products were substantial and contributing factors in causing Plaintiffs' injuries, and, but for Defendant's misconduct and omissions, Plaintiffs would not have sustained their injuries.
- 108. Had Defendant provided adequate warnings and instructions and properly disclosed and disseminated the risks associated with its Roundup<sup>®</sup> products, Plaintiffs could have avoided the risk of developing injuries as alleged herein and Plaintiffs' employers could have obtained alternative herbicides.
- 109. As a direct and proximate result of Defendant placing its defective Roundup<sup>®</sup> products into the stream of commerce, Plaintiffs have suffered and continue to suffer severe injuries, and have endured physical pain and discomfort, as well as economic hardship, including considerable financial expenses for medical care and treatment. Plaintiffs will continue to incur these expenses in the future.

110. WHEREFORE, Plaintiffs respectfully request that this Court enter judgment in Plaintiffs' favor for compensatory and punitive damages, together with interest, costs herein incurred, attorneys' fees, and all such other and further relief as this Court deems just and proper. Plaintiffs also demand a jury trial on the issues contained herein.

#### **CLAIM THREE**

#### **NEGLIGENCE**

- 111. Plaintiffs incorporate by reference each and every allegation set forth in the preceding paragraphs as if fully stated herein.
- 112. Defendant, directly or indirectly, caused Roundup® products to be sold, distributed, packaged, labeled, marketed, promoted, and/or used by Plaintiffs.
- 113. At all times relevant to this litigation, Defendant had a duty to exercise reasonable care in the design, research, manufacture, marketing, advertisement, supply, promotion, packaging, sale, and distribution of its Roundup<sup>®</sup> products, including the duty to take all reasonable steps necessary to manufacture, promote, and/or sell a product that was not unreasonably dangerous to consumers and users of the product.
- 114. At all times relevant to this litigation, Defendant had a duty to exercise reasonable care in the marketing, advertisement, and sale of its Roundup® products. Defendant's duty of care owed to consumers and the general public included providing accurate, true, and correct information concerning the risks of using Roundup® and appropriate, complete, and accurate warnings concerning the potential adverse effects of exposure to Roundup® and, in particular, its active ingredient glyphosate.

- 115. At all times relevant to this litigation, Defendant knew or, in the exercise of reasonable care, should have known of the hazards and dangers of Roundup<sup>®</sup> and specifically, the carcinogenic properties of the chemical glyphosate.
- 116. Accordingly, at all times relevant to this litigation, Defendant knew or, in the exercise of reasonable care, should have known that use of or exposure to its Roundup<sup>®</sup> products could cause Plaintiffs' injuries and thus created a dangerous and unreasonable risk of injury to the users of these products, including Plaintiffs.
- 117. Defendant also knew or, in the exercise of reasonable care, should have known that users and consumers of Roundup<sup>®</sup> were unaware of the risks and the magnitude of the risks associated with the use of and/or exposure to Roundup<sup>®</sup> and glyphosate-containing products.
- 118. As such, Defendant breached its duty of reasonable care and failed to exercise ordinary care in the design, research, development, manufacture, testing, marketing, supply, promotion, advertisement, packaging, sale, and distribution of its Roundup® products, in that Defendant manufactured and produced defective herbicides containing the chemical glyphosate, knew or had reason to know of the defects inherent in its products, knew or had reason to know that a user's or consumer's exposure to the products created a significant risk of harm and unreasonably dangerous side effects, and failed to prevent or adequately warn of these risks and injuries.
- 119. Despite its ability and means to investigate, study, and test its products and to provide adequate warnings, Defendant has failed to do so. Indeed, Defendant has wrongfully concealed information and has further made false and/or misleading statements concerning the safety and/or exposure to Roundup® and glyphosate.
  - 120. Defendant's negligence included:

- a. Manufacturing, producing, promoting, formulating, creating, developing, designing, selling, and/or distributing its Roundup® products without thorough and adequate pre- and post-market testing;
- b. Manufacturing, producing, promoting, formulating, creating, developing, designing, selling, and/or distributing Roundup<sup>®</sup> while negligently and/or intentionally concealing and failing to disclose the results of trials, tests, and studies of exposure to glyphosate, and, consequently, the risk of serious harm associated with human use of and exposure to Roundup<sup>®</sup>;
- c. Failing to undertake sufficient studies and conduct necessary tests to determine whether or not Roundup® products and glyphosate-containing products were safe for their intended use in agriculture and horticulture;
- d. Failing to use reasonable and prudent care in the design, research, manufacture, and development of Roundup® products so as to avoid the risk of serious harm associated with the prevalent use of Roundup®/glyphosate as an herbicide;
- e. Failing to design and manufacture Roundup® products so as to ensure they were at least as safe and effective as other herbicides on the market;
- f. Failing to provide adequate instructions, guidelines, and safety precautions to those persons who Defendant could reasonably foresee would use and/or be exposed to its Roundup<sup>®</sup> products;
- g. Failing to disclose to Plaintiffs, users, consumers, and the general public that the use of and exposure to Roundup® presented severe risks of cancer and other grave illnesses;

- h. Failing to warn Plaintiffs, users, consumers, and the general public that the product's risk of harm was unreasonable and that there were safer and effective alternative herbicides available to Plaintiffs and other users or consumers;
- i. Systematically suppressing or downplaying contrary evidence about the risks, incidence, and prevalence of the side effects of Roundup<sup>®</sup> and glyphosate-containing products;
- j. Representing that its Roundup® products were safe for their intended use when, in fact, Defendant knew or should have known that the products were not safe for their intended use;
- k. Declining to make or propose any changes to Roundup® products' labeling or other promotional materials that would alert the consumers and the general public of the risks of Roundup® and glyphosate;
- 1. Advertising, marketing, and recommending the use of Roundup® products, while concealing and failing to disclose or warn of the dangers known by Defendant to be associated with or caused by the use of or exposure to Roundup® and glyphosate;
- m. Continuing to disseminate information to its consumers, which indicate or imply that Defendant's Roundup® products are not unsafe for use in the agricultural and horticultural industries; and
- n. Continuing the manufacture and sale of its products with the knowledge that the products were unreasonably unsafe and dangerous.

- 121. Defendant knew and/or should have known that it was foreseeable that consumers and/or users, such as Plaintiffs, would suffer injuries as a result of Defendant's failure to exercise ordinary care in the manufacturing, marketing, labeling, distribution, and sale of Roundup<sup>®</sup>.
- 122. Plaintiffs did not know the nature and extent of the injuries that could result from the intended use of and/or exposure to Roundup<sup>®</sup> or its active ingredient glyphosate.
- 123. Defendant's negligence was the proximate cause of the injuries, harm, and economic losses that Plaintiffs suffered, and will continue to suffer, as described herein.
- 124. Defendant's conduct, as described above, was reckless. Defendant regularly risks the lives of consumers and users of its products, including Plaintiffs, with full knowledge of the dangers of its products. Defendant has made conscious decisions not to redesign, re-label, warn, or inform the unsuspecting public, including Plaintiffs. Defendant's reckless conduct therefore warrants an award of punitive damages.
- 125. As a proximate result of Defendant's wrongful acts and omissions in placing its defective Roundup<sup>®</sup> products into the stream of commerce without adequate warnings of the hazardous and carcinogenic nature of glyphosate, Plaintiffs have suffered and continue to suffer severe and permanent physical and emotional injuries. Plaintiffs have endured pain and suffering, have suffered economic losses (including significant expenses for medical care and treatment) and will continue to incur these expenses in the future.
- 126. WHEREFORE, Plaintiffs respectfully request that this Court enter judgment in Plaintiffs' favor for compensatory and punitive damages, together with interest, costs herein incurred, attorneys' fees, and all such other and further relief as this Court deems just and proper. Plaintiffs also demand a jury trial on the issues contained herein.

#### **CLAIM FOUR**

#### **LOSS OF CONSORTIUM**

- 127. Plaintiffs incorporate by reference each and every allegation set forth in the preceding paragraphs as if fully stated herein:
- 128. Plaintiffs' spouses were entitled to the comfort, care, affection, companionship, services, society, advice, guidance, counsel, and consortium of their spouses.
- 129. As a direct and proximate result of one or more of those wrongful acts or omissions of the Defendants described above, Plaintiffs have been and will be deprived of the comfort, care, affection, companionship, services, society, advice, guidance, counsel and consortium.
- 130. WHEREFORE, Plaintiffs respectfully request that this Court enter judgment in Plaintiffs' favor for compensatory and punitive damages, together with interest, costs herein incurred, attorneys' fees, and all such other and further relief as this Court deems just and proper. Plaintiffs also demand a jury trial on the issues contained herein.

#### PRAYER FOR RELIEF

WHEREFORE, Plaintiffs request that the Court enter judgment in their favor and against Monsanto, awarding as follows:

- A. compensatory damages in an amount to be proven at trial;
- B. punitive damages;
- C. costs including reasonable attorneys' fees, court costs, and other litigation expenses; and
  - D. any other relief the Court may deem just and proper.

## **JURY TRIAL DEMAND**

Plaintiffs demand a trial by jury on all of the triable issues within this Complaint.

Dated: May 1, 2017

# JACOBS & CRUMPLAR, P.A.

/s/ Raeann Warner Raeann Warner (Bar Id. 4913) 750 Shipyard Dr., Suite 200 Wilmington, DE 19801 (302) 656-5445 Attorney for Plaintiffs

Revised 2/2008

# SUPERIOR COURT CIVIL CASE INFORMATION STATEMENT (CIS)

COUNTY: N K S CIVIL ACTION NUMBER: \_\_\_\_

CIVIL CASE CODE: CPIN CIVIL CASE TYPE: Personal Injury		
(SEE REVERSE SIDE FOR CODE AND TYPE)		
Caption: CLAIRE VANHORN, et al.  Plaintiff,  v.  MONSANTO COMPANY	Name and Status of Party filing document:  Claire Vanhorn, et al., Plaintiff  Document Type: (E.G. COMPLAINT; ANSWER WITH COUNTERCLAIM)  COMPLAINT	
Defendant.	JURY DEMAND X YES NO  TRACK ASSIGNMENT REQUESTED: (CIRCLE ONE)  EXPEDITED STANDARD COMPLEX	
ATTORNEY NAME(S):	IDENTIFY ANY RELATED CASES NOW PENDING IN THE SUPERIOR COURT BY CAPTION AND CIVIL ACTION NUMBER INCLUDING JUDGE'S INITIALS	
Raeann Warner, Esquire (I.D.# 4931)	Barrera, et al. v. Monsanto Company,	
FIRM NAME:  Jacobs & Crumplar, P.A. ADDRESS:  750 Shipyard Dr., Suite 200  Wilmington, DE 19801	C.A. No. N15C-10-118 (VLM);  Ashworth v. Monsanto Company,  C.A. No. N16C-02-242 (VLM);  Daniel K. Kowal v. Monsanto Company  C.A. No. N16C-11-222;  et al.	
TELEPHONE NUMBER:  (302) 656-5445 FAX NUMBER:  (302) 656-5875 E-MAIL ADDRESS:  Raeann@jcdelaw.com	The above case involves the same issues of law and fact, as it involves the same Defendant and same claims for the same type of conduct by Defendant.  OTHER UNUSUAL ISSUES THAT AFFECT CASE MANAGEMENT: (IF ADDITIONAL SPACE IS NEEDED, PLEASE ATTACH PAGES)	

THE CASE INFORMATION STATEMENT (CIS) IS FILED. THE FAILURE TO FILE THE CIS AND TO HAVE THE PLEADING PROCESSED FOR SERVICE MAY RESULT IN THE DISMISSAL OF THE COMPLAINT OR MAY RESULT IN THE ANSWER OR FIRST RESPONSIVE PLEADING BEING STRICKEN.

CLAIRE AND GARY VANHORN,  DONALD AND LORI WETZEL,  KEVIN KELLY.	C.A. NO.
Plaintiffs	
v.	
MONSANTO COMPANY,	
Defendant.	

# AFFIDAVIT OF COUNSEL PURSUANT TO RULE 3(h)(ii)(iii)

1. Photocopies of existing documentary evidence relating to special damages(or, in lieu thereof, a brief sworn statement as to any item not included as to the reason of its non-availability and a specific undertaking as to when it will be made available);

**ANSWER:** Will be provided when counsel enters for defendant.

2. Photocopies of pertinent portions of plaintiffs' income tax returns.

**ANSWER:** Will be provided if applicable.

JACOBS & CRUMPLAR, P.A.

/s/ Raeann Warner

RAEANN WARNER, ESQUIRE (#4931)
750 Shipyard Dr., Suite 200
Wilmington, DE 19801
(302) 656-5445
Attorneys for Plaintiffs

Date: May 1, 2017

## IN THE SUPERIOR COURT OF THE STATE OF DELAWARE

CLAIRE AND GARY VANHORN,	
DONALD AND LORI WETZEL,	
,	C.A. NO.
KEVIN KELLY.	
	NEW CASTLE COUNTY
Plaintiffs	PRAECIPE
1 families	
v.	
MONSANTO COMPANY,	
Defendant.	

#### **NEW CASTLE COUNTY PRAECIPE**

PLEASE ISSUE Summons, Complaint, Interrogatories pursuant to Superior Court Interim Civil Rule Form 30, through the Sheriff of New Castle County, pursuant to 10 <u>Del.C.</u> §3103, to the Defendant at the address incorporated herein:

Monsanto Company c/o Corporation Service Company 2711 Centerville Road, Suite 400 Wilmington, DE 19808

By:

JACOBS & CRUMPLAR, P.A.

/s/ Raeann Warner

**RAEANN** WARNER, ESQUIRE (#4931)

750 Shipyard Dr., Suite 200 Wilmington, DE 19801 (302) 656-5445 Attorneys for Plaintiffs

Date: May 1, 2017

# SUMMONS IN THE SUPERIOR COURT OF THE STATE OF DELAWARE

KEVIN KELLY. NE	A. NO. W CASTLE COUNTY
Plaintiffs	MMONS
v.	
MONSANTO COMPANY,	
Defendant.	

SUMMONS
THE STATE OF DELAWARE,
TO THE SHERIFF OF NEW CASTLE COUNTY:
YOU ARE COMMANDED:

To summon the above named defendant so that, within 20 days of service hereof upon defendant, exclusive of the day of service, defendants shall serve upon plaintiffs' attorney, whose address is 750 Shipyard Dr., Suite 200, Wilmington, DE 19801, an answer to the complaint (and, if an affidavit of demand has been filed, an affidavit of defense).

To serve upon defendant a copy hereof and of the complaint (and of the affidavit of demand if any has been filed by plaintiffs).

Dated:		
	Prothonotary	
	Per Deputy	

#### TO THE ABOVE NAMED DEFENDANT:

In case of your failure, within 20 days after service of hereof upon you, exclusive of the day of service, to serve on plaintiffs' attorney named above, an answer to the complaint (and, if an affidavit of demand has been filed, an affidavit of defense), judgment by default will be

rendered against you for the relief demanded in the co	omplaint (or in the affidavit of demand, if
any).	
	Prothonotary
	Per Deputy

# CLAIRE AND GARY VANHORN, DONALD AND LORI WETZEL, KEVIN KELLY. Plaintiffs v. MONSANTO COMPANY,

# PLAINTIFFS' RESPONSETO INTERROGATORIES PURSUANT TO SUPERIOR COURT INTERIM CIVIL RULES FORM 30

1. Give the name and present or last known residential and employment address and telephone number of each eyewitness to the incident which is the subject of this litigation.

**ANSWER:** Claire Vanhorn, Gary Vanhorn, Donald Wetzel, Lori Wetze and Kevin Kelly Plaintiffs may be contacted through counsel.

2. Give the name and present or last known residential and employment address and telephone number of each person who has knowledge of the facts relating to the litigation.

**ANSWER:** In addition to the answer to interrogatory number one;

Plaintiffs' friends and family.

Defendant.

3. Give the names of all persons who have been interviewed in connection with the above litigation, including the names and present or last known residential and employment addresses and telephone numbers of the persons who made said interviews and the names and present or last known residential and employment addresses and telephone numbers of persons who have the original and copies of the interview.

**ANSWER:** Objection, to the extent that this interrogatory requests information beyond the scope of Rule 26 and is violative of the attorney-client privilege and work product doctrine.

Without waiving said objection, information will be provided to counsel upon entry of appearance.

4. Identify all photographs, diagrams or other representations made in connection with the matter in litigation, giving the name and present or last known residential and employment address and telephone number of the person having the original and copies thereof. (In lieu thereof, a copy can be attached.)

**ANSWER:** Objection, to the extent that this interrogatory requests information beyond the scope of Rule 26 and is violative of the attorney-client privilege and work product doctrine. Without waiving said objection, information will be provided to counsel upon entry of appearance.

5. Give the name, professional address and telephone number of all expert witnesses presently retained by the party together with the dates of any written opinions prepared by said expert. If an expert is not presently retained, describe by type the experts whom the party expects to retain in connection with the litigation.

**ANSWER:** Counsel has not determined which experts or witnesses will be called at trial at this time. This information will be provided.

- 6. Give a brief description of any insurance policy, including excess coverage, that is or may be applicable to the litigation, including:
  - a) The name and address of all companies insuring the risk;
  - b) The policy numbers;
  - c) The type of insurance;
  - d) The amounts of primary, secondary and excess coverage.

#### **ANSWER:** (a-d) Unknown.

7. Give the name, professional address, and telephone number of all physicians, chiropractors, psychologist, and physical therapists who have examined or treated you at any time during the ten-year period immediately prior to the date of the incident at issue in this litigation.

**ANSWER:** This information will be provided to counsel upon entry of appearance.

# JACOBS & CRUMPLAR, P.A.

/s/ Raeann Warner

RAEANN WARNER, ESQUIRE (#4931)
750 Shipyard Dr., Suite 200
Wilmington, DE 19801
(302) 656-5445
Attorney for Plaintiffs

Date: May 1, 2017

CLAIRE AND GARY VAN HORN,	
DONALD AND LORI WETZEL,	
KEVIN KELLY.	C.A. NO. N17C-05-017 VLM
Plaintiffs	
v.	
MONSANTO COMPANY,	
Dofondant	

# NOTICE DISMISSAL AS TO DEFENDANT MONSANTO COMPANY PURSUANT TO RULE 41(a)(1)

Plaintiffs, Claire and Gary Van Horn, Donald and Lori Wetzel and Kevin Kelly, through their counsel, Jacobs and Crumplar, PA, hereby voluntarily dismiss the Complaint as to defendant, Monsanto Company prior to defendant filing an answer or motion for summary judgment, pursuant to Superior Court Rule 41(a)(1).

JACOBS & CRUMPLAR, P.A.

/s/ Raeann Warner
Raeann Warner (Bar ID 4931)
750 Shipyard Dr., Suite 200
Wilmington, DE 19899
(302) 656-5445
Attorney for Plaintiffs

Date: May 3, 2017