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9	UNITED STATES DISTRICT COURT									
10		TRICT OF CALIFORNIA								
11	NORTHERN DIS									
12	DANIEL DROGMANI - LDODEDTA	C N 10 5040								
13	DANIEL BROSNAN and ROBERTA BROSNAN,	Case No. 18-cv-5948								
14	Plaintiffs,	COMPLAINT FOR DAMAGES								
15	V.	 (1) Negligence (2) Strict Products Liability – Design Defect 								
16	ZIMMER, INC.; ZIMMER US, INC.; and	 (3) Strict Products Liability – Manufacturing Defect 								
17	ZIMMER BIOMET HOLDINGS, INC., f/k/a ZIMMER HOLDINGS,	(4) Strict Products Liability – Failure to Warn(5) Negligent Misrepresentation								
18	Defendants.	(6) Breach of Implied Warranty(7) Violation of California Competition Law								
19		(8) Punitive Damages(9) Loss of Consortium								
20		JURY TRIAL DEMANDED								
21										
22	Plaintiffs DANIEL BROSNAN an	d ROBERTA BROSNAN ("Plaintiffs"), by their								
23	undersigned attorneys, brings this Civil Action Complaint against Defendants ZIMMER INC.,									
24	ZIMMER US, INC., and ZIMMER BIOM	ET HOLDINGS, INC. f/k/a Zimmer Holdings, Inc.								
25	(collectively, the "Zimmer Defendants" or	"Zimmer" or "Defendants") upon information and								
26	belief, investigation and personal knowledg	e, and at all times hereinafter mentioned, allege as								
27	follows:									
28										

COMPLAINT FOR DAMAGES

1

NATURE OF THIS ACTION

This products liability action relates to the design, development, manufacture,
 testing, marketing, promotion, distribution, and sale of Zimmer's defective hip implant
 components known as the Zimmer VerSys Hip System Femoral Head 12/14 Taper ("Zimmer
 VerSys femoral head") and the Zimmer M/L Taper Prosthesis ("Zimmer M/L Taper")
 (collectively, the "Defective Devices" or "the Products" or "Zimmer hip joint implant products").

7 2. The Products were surgically implanted in Plaintiff Daniel Brosnan's left hip on
8 January 26, 2015.

9 3. On March 31, 2017, Mr. Brosnan required revision surgery of his left hip because
the Products were causing metal debris to be released into his hip causing adverse local tissue
reaction and elevated metal ions in his blood (a condition known as metallosis) and therefore,
were defective. This surgery caused Plaintiff to suffer significant injuries, including great pain
and agony that restricted his ability to engage in activities of daily living as well as the physical
activities that he enjoys.

15

PARTIES

4. Plaintiffs Daniel Brosnan and Roberta Brosnan are citizens and residents of
Lakeport, Lake County, California. They are married to one another and were married at all times
relevant to this action.

19 5. Defendant Zimmer Biomet Holdings, Inc. formerly known as Zimmer Holdings, 20 Inc. is a Delaware corporation with its principal place of business at 345 East Main Street, 21 Warsaw, Indiana, 46580-2746. At all relevant times, Zimmer Biomet Holdings, Inc. was the 22 publicly traded holding company with wholly owned subsidiaries that it controlled, including 23 Zimmer, Inc. and Zimmer US, Inc., which designed, manufactured, marketed, supplied and sold 24 to distributors, physicians, hospitals, patients and medical practitioners the Products to be 25 surgically implanted in patients throughout the United States, including in the State of California. 26 6. On April 24, 2014, Zimmer Holdings, Inc. entered into an agreement to acquire

27 Biomet, Inc., and was renamed Zimmer Biomet Holdings, Inc.

Case 1:18-cv-05948 Document 1 Filed 09/27/18 Page 3 of 36 1 7. Defendant Zimmer, Inc. is a Delaware corporation with its principal place of 2 business at 1800 West Center Street, Warsaw, Indiana, 46581-0708. 3 8. Zimmer, Inc. is a wholly owned subsidiary of Defendant Zimmer Biomet Holdings, Inc. 4 9. 5 Defendant Zimmer, Inc. solicits business within the State of California and derives 6 substantial revenue from goods used and sold in the State of California. 7 10. At all times mentioned in this Complaint, Defendant Zimmer, Inc. designed, 8 testing, manufactured, packaged, and sold the Zimmer VerSys femoral head and Zimmer M/L 9 Taper generally for use in hip replacement surgeries, including Mr. Brosnan's surgery. 10 11. Defendant Zimmer US, Inc. is a Delaware corporation with its principal place of 11 business at 345 East Main Street, Warsaw, State of Indiana. 12 12. Defendant Zimmer US, Inc. is a wholly-owned subsidiary of Zimmer Biomet 13 Holdings, Inc. 14 13. At all times mentioned in this Complaint, Defendant Zimmer US, Inc. sold hip 15 implants, including the Zimmer VerSys femoral head and Zimmer M/L Taper that were used in 16 Mr. Brosnan's surgery. 17 14. At all relevant times, each and all of the Defendant Zimmer entities regularly sold 18 and shipped the Products into the State of California, and in particular, provided the Products to 19 Healdsburg District Hospital, in Healdsburg California, and to Plaintiff's implanting surgeon, Dr. 20 Dr. Michael Bollinger, in Sebastopol, California, for implantation into human patients, including 21 Plaintiff Daniel Brosnan. 22 15. At all relevant times, Zimmer Defendants represented that the subject orthopedic 23 prosthetic hip components, and specifically the Products at issue in this lawsuit, were safe, fit for 24 use, free from defects and suitable for implantation into human patients, including Plaintiff Daniel 25 Brosnan. 26 16. At all relevant times, each of the Defendants and their directors and officers acted 27 within the scope of their authority. At all relevant times each Defendant was responsible for each 28 other's actions and inactions; and, each Defendant acted on behalf of each other Defendant.

1	17. At all relevant times, Defendants possessed a unity of interest between themselves					
2	and Zimmer, and Zimmer exercised control over its subsidiaries and affiliates. As such, each					
3	Defendant is responsible individually, as well as jointly and severally, and therefore each is liable					
4	to Plaintiffs for Plaintiffs' injuries, losses and damages.					
5	JURISDICTION AND VENUE					
6	18. This Court has subject matter jurisdiction over this action pursuant to 28 U.S.C.					
7	§ 1332 because there is complete diversity of citizenship between Plaintiffs and Defendants, and					
8	because Plaintiffs allege an amount in controversy in excess of \$75,000, exclusive of interest and					
9	costs.					
10	19. The Court has personal jurisdiction over Defendants because at all relevant times					
11	Defendants engaged in substantial business activities in the State of California. At all relevant					
12	times, Zimmer Defendants transacted, solicited, and conducted business in California through					
13	their employees, agents, and/or sales representatives, and derived substantial revenue from such					
14	business in California.					
15	20. Venue is proper in this District pursuant to 28 U.S.C. § 1391(a) because a					
16	substantial portion of the wrongful acts upon which this lawsuit is based occurred in this District.					
17	Venue is also proper pursuant to 28 U.S.C. § 1391(c) because Defendants are all corporations that					
18	have substantial, systematic, and continuous contacts in the Northern District of California and					
19	are all subject to personal jurisdiction in this District.					
20	FACTUAL ALLEGATIONS					
21	I. DANIEL BROSNAN					
22	21. On or about January 26, 2015, Plaintiff Daniel Brosnan underwent a total hip					
23	arthroplasty of his left hip with insertion of the Products, specifically the Zimmer® M/L Taper					
24	Hip Prosthesis Femoral Stem 12/14 Neck Taper, Lot 62356550/Ref. 7711-16; and the VerSys®					
25	Hip System Femoral Head 12/14 Taper, Lot 62741042/Ref. 8018-36-03. The surgical procedure					
26	was performed by Michael Bollinger, M.D., at Healdsburg District Hospital in Healdsburg,					
27	California.					
28						

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22. At the time that Plaintiff underwent his total left hip arthroplasty, he received
 defective, dangerous, hazardous and unsafe products designed, manufactured, developed, tested,
 promoted, distributed and sold by the Zimmer Defendants.

4 23. Following the total left hip arthroplasty, Plaintiff Daniel Brosnan began
5 experiencing significant pain and discomfort in his left side.

6 24. Diagnostic work-up in December 2016 showed his cobalt serum level elevated and
7 a large pseudotumor.

8 25. Mr. Brosnan was referred to the University of California San Francisco (UCSF) 9 for further evaluation given continuing swelling and pain. Dr. Eric Hansen at UCSF felt that Mr. 10 Brosnan's recently elevated cobalt/chromium levels [Chromium 1.6; Cobalt 10.2] and large fluid 11 collection around the joint were likely the underlying cause of the persistent swelling and 12 irritation due to metal ions potentially due to taper/trunnion issues. Due to this, Dr. Hansen 13 suggested a revision surgery to debride the pseudotumor caused by adverse reaction to the 14 metallic debris fretting off the prosthesis; replace the cobalt chrome ball with a ceramic ball; and 15 to replace the hip system liner.

- Prior to his revision surgery, Mr. Brosnan suffered from daily pain and difficulty
 sleeping, causing a restriction of his normal activities.
- 18 27. Based upon these findings and in light of worsening symptoms, Plaintiff
 19 underwent a complex revision surgery of his left prosthesis on March 31, 2017, performed by
 20 Eric Hansen M.D., at University of California San Francisco, in San Francisco, California.
- 21 28. Intra-operatively, upon removal of the femoral head, there was a large amount of
 22 black corrosion of both taper and the opening of the femoral head where the two parts join as part
 23 of the prosthetic hip system.

24 29. Pathology from the revision surgery was consistent with aseptic lymphocytic
25 vasculitis-associated lesion (ALVAL)—a complication that has appeared in a subset of patients
26 with metal-on-metal total hip arthroplasties.

30. Following revision surgery, Mr. Brosnan's cobalt levels have decreased. Mr.
Bronson continued to suffer from pain and recurrent hip dislocations due to extensive abductor

damage. He ultimately had four dislocations and required another revision of his left hip on
 January 1, 2018.

3 31. As a result of the defective hip implants, Plaintiff's well-being has suffered and
4 will continue to suffer. Mr. Brosnan and his wife, Roberta Brosnan have expended and will
5 continue to expend money for his care.

32. Spouse Plaintiff Roberta Brosnan has lost the society and love and affection of her beloved spouse.

II. BACKGROUND ON ARTIFICIAL HIPS AND HIP REPLACEMENT DEVICES

33. The human hip joint consists of two parts: a ball and a socket. A portion of the pelvic bone forms a cup-shaped socket; the ball at the top of the thigh bone fits into it. The ball is surrounded with cartilage which, in a healthy hip joint, allows the ball to move smoothly within the socket. Conditions such as osteoarthritis and avascular necrosis can cause degeneration of the hip joint such that hip replacement is required. A hip implant is designed to replicate the human anatomy—that is, the relatively simple ball and socket structure of the human hip joint. Total hip replacement surgery involves implanting an artificial ball and socket into the patient.

34. The artificial hip implantation process requires a surgeon to insert a metal cup with a smooth lining into the patient's diseased pelvic socket. The lining (known as a liner) serves the same purpose as natural cartilage: allowing for smooth movement of the ball portion of the thigh bone. The diseased or degenerated ball part of the thigh bone is then removed and replaced by a metal or sometimes ceramic ball mounted onto a thin metal stem. The metal stem is then fit into the thigh bone. Finally, the ball is placed securely into the pelvic socket that has been fitted with the artificial metal cup, where it should move easily, without friction or pain to the patient.

35. Total hip replacement is most commonly used to treat joint failure caused by osteoarthritis. Other indications include rheumatoid arthritis, avascular necrosis, traumatic arthritis, protrusion acetabuli, certain hip fractures, benign and malignant bone tumors, arthritis associated with Paget's disease of the bone, ankylosing spondylitis and juvenile rheumatoid arthritis. The aims of the procedure are pain relief and improvement in hip function. Hip

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replacement is usually considered only once other therapies, such as pain medications, have
 failed.

3 36. Total hip arthroplasty ("THA"), or total hip replacement, is a common medical 4 procedure performed on more than 420,000 patients in the U.S. each year. It is designed to help 5 relieve pain and improve joint function in people with severe hip degeneration due to arthritis or 6 trauma. Traditional devices to replace degenerative hips utilize implantable metal or ceramic 7 heads fitting into a modular metal-backed polyethylene bearing. One concern that historically 8 plagues successful THAs is the wear of the bearing. As the THA becomes more common among 9 younger patients who want to maintain a physically active lifestyle, alternative bearing surfaces 10 such as cross-linked polyethylene, ceramic-on-ceramic and metal-on-metal have been developed 11 to address the issue of wear.

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III. ZIMMER M/L TAPER and the VERSYS 12/14 FEMORAL HEAD

13 37. The Zimmer M/L Taper prosthesis is a femoral implant comprised of Tivanium®
14 Ti-6Al-4V alloy that is used for hip replacements.

15 38. The Zimmer VerSys femoral heads are manufactured with Zimaloy® cobalt16 chromium-molybdenum alloy.

39. During hip replacement surgery, the damaged portions of the hip joint are removed
and replaced with an integrated system of products, which includes the femoral stem and neck.
The Zimmer VerSys femoral head is used in connection with the M/L Taper.

40. The Zimmer M/L Taper is used with a spray coating called a "Circumferential
Plasma Spray" intended to facilitate surgical placement. The device components, together with
the acetabular cup are intended to be used in patients with adequate bone stock, like Plaintiff
Brosnan.

24 41. The Zimmer M/L Taper was approved pursuant to a 510(k) on or about October
25 22, 2003, and Zimmer proceeded to sell the components to be used together with the Zimmer
26 VerSys femoral head.

27 42. Zimmer introduced the M/L Taper as part of a modular system that had a modular
28 stem and neck components that were intended to offer the orthopedic surgeon more options in the

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operating room. The three failure modes were considered when developing and manufacturing
 this modular neck and stem system:

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b. Fretting and corrosion; and,

Proximal implant strength;

c. Junction stability.

a.

43. The Zimmer VerSys femoral heads were first tested in 1996 by Zimmer to be used
with cobalt chromium tapers and with titanium alloy tapers. According to Zimmer, there was not
significant fretting or corrosion using either form of taper.

9

44. Plaintiff Daniel Brosnan's cause for revision was metal-related pathology.

45. Defendant Zimmer, Inc. and Zimmer US, Inc. failed to disclose the greater risk of
wear, metal debris and corrosion associated with these devices prior to Mr. Brosnan's implant
surgeries and continuously through his revision surgery. Zimmer, Inc.'s and Zimmer US, Inc.'s
continued fraud worsened Mr. Brosnan's outcome.

14 46. Zimmer, Inc. and Zimmer US, Inc. used its distributors and its sales
15 representatives to communicate with the doctors, such as Dr. Bollinger and the doctors at
16 Healdsburg District Hospital.

47. In particular, Zimmer, Inc.'s sales representative, Colby Leonelli, was present
during Mr. Brosnan's implant surgery on January 26, 2015 and, upon information and belief, Mr.
Leonelli was reasonable for detailing Dr. Bollinger and provided, or failed to provide, Dr.
Bollinger with information, including the benefits and risks, about the Products.

48. Zimmer, Inc. and Zimmer US, Inc. provided distributors and sales representatives
with all marketing and sales materials. Zimmer, Inc. and Zimmer US, Inc. trained all distributors
and sales representatives on the Zimmer products, including the Products at issue in this
Complaint, including the risks and benefits of the Zimmer products. At all relevant times,
Zimmer, Inc. and Zimmer US, Inc. directed and controlled the activities of the distributors and
sales representatives of Zimmer products, including the Products at issue in this Complaint.

49. Prior to Mr. Brosnan's implanting surgery on January 26, 2015 and continuing
through his revision surgery on March 31, 2017, Zimmer, Inc. and Zimmer US, Inc. and its sales

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1 representatives, specifically Colby Leonelli, intentionally or negligently failed to accurately 2 describe the risks of fretting and corrosion, release of metal debris and metal ions into the 3 surrounding tissue and the blood associated with the use of the M/L Taper and the VerSys 4 femoral head to Dr. Bollinger and other surgeons at Healdsburg District Hospital.

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50. Prior to Mr. Brosnan's implanting surgery on January 26, 2015 and continuing through his revision surgery on March 31, 2017, Zimmer, Inc. and Zimmer US, Inc. did not include a warning of an increased risk of corrosion when the M/L Taper was paired with a VerSys femoral head in the "Warnings" or "Precautions" sections of the Products' package inserts.

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9 51. The statements made by Zimmer sales representatives, including Colby Leonelli, 10 as directed by Zimmer, Inc. and Zimmer US, Inc., and contained in Zimmer, Inc. and Zimmer US 11 Inc.'s written literature, instructions for use, package insert, and advertisements were false and 12 misleading because the Products had an increased rate of failure because of mechanically assisted 13 crevice corrosion (i.e. fretting and corrosion).

14 52. In fact, the M/L Taper stem has a greater prevalence (4.9%) of mechanically assisted crevice corrosion ("MACC") than all other Zimmer stem types,¹ with a significantly 15 16 higher prevalence found in patients with M/L Taper style stem and total hip arthroplasty 17 performed in 2009 and between 2009-2012 (when Mr. Pride's prosthetic was implanted). Hussey, 18 D.K. & McGrory, B.J., Ten-Year Cross-Sectional Study of Mechanically Assisted Crevice 19 Corrosion in 1352 Consecutive Patients with Metal-on-Polyethylene Total Hip Arthroplasty, J. 20 Arthroplasty, 1-6 (2017), incorporated by reference herein.

21

53. Dr. Bollinger relied on the information provided by Zimmer, Inc., Zimmer US, 22 Inc., and its sales representatives acting as Zimmer agents, including Colby Leonelli. Had

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- debris that may cause adverse local tissue reaction (ALTR)." Hussey, D.K. & McGrory, B.J., 26 Ten-Year Cross-Sectional Study of Mechanically Assisted Crevice Corrosion in 1352
- Consecutive Patients with Metal-on-Polyethylene Total Hip Arthroplasty, J. Arthroplasty, 1-6 27 (2017) (incorporated by reference herein). Patient outcome worsens the longer the defective hip

prosthetic devices are implanted, due to increased tissue damage caused by the metal debris in the 28 surrounding tissue and ALTR. Id.

¹ MACC is a term given to a complex interaction of crevice corrosion, initiated by changes in 24 local chemistry within crevices, and fretting, which disrupts the protective oxide layer on the 25 taper. "MACC produces cobalt (Co) and chromium (Cr) ions, fretting products, and corrosive

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Zimmer disclosed the accurate information about this particularly dangerous failure mode—
 increased rate of MACC (i.e., fretting and corrosion)—Plaintiff and his surgeon, Dr. Bollinger,
 would not have used these components.

54. Despite their knowledge of the serious injuries associated with use of these
Products, Zimmer, Inc. and Zimmer US, Inc. engaged, and continue to engage, in a marketing and
advertising program which, as a whole, by affirmative and material misrepresentations and
omissions, falsely and deceptively sought to create the image and impression that the use of the
these Products were safe.

9 55. At all relevant times, Zimmer, Inc. and Zimmer US, Inc. knew or should have
10 known that the M/L Taper when paired with the VerSys femoral head were not safe for the
11 patients in whom it was implanted, including Plaintiff, because of the unacceptable failure rate.

12 56. Notwithstanding the knowledge of predicted failures with the defective devices,
13 Zimmer, Inc. and Zimmer US, Inc. continue to sell these devices for implantation in patients.

14 57. Plaintiff Daniel Brosnan has not only suffered physical injuries, he has endured 15 and continues to endure an unacceptable increase in the risk of severe pain and disability, with or 16 without a costly and painful additional revision surgery. The revision surgery was invasive and 17 painful and was necessitated by these defective devices. It is unknown what the long term effects 18 are of the increased metal ion levels in Mr. Brosnan's blood and tissue. However, Mr. Brosnan 19 lost a good amount of muscle tissue in and around his pelvis and abductors.

58. Mr. and Mrs. Brosnan have had to expend large sums of money for care. Plaintiffs
will in the future have expenses as a result of the injuries and damages he suffered as a result of
Zimmer's omission and misconduct.

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IV. VIOLATIONS OF FEDERAL REGULATIONS

24 59. The Medical Device Amendments of 1976 ("MDA") to the Food Device Cosmetic
25 Act ("FDCA") established the current regulatory framework for medical device approval.

According to the U.S. Supreme Court in *Buckman v. Plaintiffs' Legal Comm.*, 531
U.S. 341, 346 (2001), the Supreme Court explained that: "[s]ection 510(k) submissions must
include the following: 'Proposed labels, labeling, and advertisements sufficient to describe the

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1 device, its intended use, and the directions for its use,' 21 CFR § 807.87(e) (2000); and must 2 include "[a] statement indicating the device is similar to and/or different from other products of 3 comparable type in commercial distribution, accompanied by data to support the statement," 4 § 807.87(f); "[a] statement that the submitter believes, to the best of his or her knowledge, that all 5 data and information submitted in the premarket notification are truthful and accurate and that no 6 material fact has been omitted," § 807.87(k); and "any additional information regarding the 7 device requested by the [FDA] Commissioner that is necessary for the Commissioner to make a 8 finding as to whether or not the device is substantially equivalent to a device in commercial 9 distribution," § 807.87(1). Here, the Zimmer M/L Taper and the VerSys femoral head were 10 cleared pursuant to this 510(k) process.

11 61. The FDCA requires cleared medical devices to be demonstrated to be safe and
12 effective for each intended use. *See* 21 U.S.C. § 360e(c)(2)(A)(v). Not only is the medical
13 device itself part of the 510(k) process, but so is the labeling and packaging that comes with it.

Pursuant to federal law, a device is deemed to be misbranded if, among other
things, its labeling is false or misleading in any particular manner, or if it is dangerous to health
when used in the manner prescribed, recommended, or suggested in the labeling thereof. *See* 21
U.S.C. §352.

18 63. Pursuant to federal law, a device is deemed to be adulterated if, among other
19 things, it fails to meet established performance standards, or if the methods, facilities or controls
20 used for its manufacture, packing, storage or installation are not in conformity with federal
21 requirements. *See* 21 U.S.C. §351.

64. Pursuant to federal law, manufacturers are required to comply with FDA regulation of medical devices, including FDA requirements for records and reports, in order to prohibit introduction of medical devices that are adulterated or misbranded, and to assure the safety and effectiveness of medical devices. In particular, manufacturers must keep records and make reports if any of its medical devices may have caused or contributed to death or serious injury, or if the devices have malfunctioned in a manner likely to cause or contribute to death or serious injury. Federal law also mandates that the FDA establish regulations requiring a

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manufacturer of a medical device to report promptly to FDA any correction or removal of a
device undertaken to reduce a risk to health posed by the device, or to remedy a violation of
federal law by which a device may present a risk to health. *See* 21 U.S.C. §360(i).

4 65. Pursuant to FDA regulation, adverse events associated with a medical device must 5 be reported to FDA within 30 days after the manufacturer becomes aware that (a) a device may 6 have caused or contributed to death or serious injury, or (b) that a device has malfunctioned and 7 would be likely to cause or contribute to death or serious injury if the malfunction was to recur. 8 Such reports must contain all information reasonably known to the manufacturer, including any 9 information that can be obtained by analysis, testing, or other evaluation of the device, and any 10 information in the manufacturer's possession. In addition, manufacturers are responsible for 11 conducting an investigation of each adverse event, and must evaluate the cause of the adverse 12 event. See 21 CFR §803.50.

66. Pursuant to federal regulations, manufacturers of medical devices must also
describe in every individual adverse event report whether remedial action was taken with regard
to the adverse event, and whether the remedial action was reported to FDA as a removal or
correction of the device. *See* 21 CFR §803.52.

Pursuant to federal regulations, manufacturers must report any reportable Medical
Device Reporting ("MDR") event or events, including a trend analysis that necessitates remedial
action to prevent an unreasonable risk of substantial harm to the public health, to the FDA within
5 business days after becoming aware of such event or events. *See* 21 CFR §803.53.

21 68. Pursuant to federal regulations, device manufacturers must report promptly to 22 FDA any device corrections and removals and must also maintain records of device corrections and removals. FDA regulations require submission of a written report within ten working days of 23 24 any correction or removal of a device initiated by the manufacturer to reduce a risk to health 25 posed by the device, or to remedy a violation of the Act caused by the device which may present 26 a risk to health. The written submission must contain, among other things, a description of the 27 event giving rise to the information reported, the corrective or removal actions taken, and any 28 illness or injuries that have occurred with use of the device, including reference to any device

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report numbers. Manufacturers must also indicate the total number of devices manufactured or
 distributed which are subject to the correction or removal, and provide a copy of all
 communications regarding the correction or removal. *See* 21 CFR §806.

69. 4 Pursuant to federal regulations, manufacturers must comply with specific quality 5 system requirements promulgated by FDA. These regulations require manufacturers to meet 6 design control requirements, including but not limited to conducting design validation to ensure 7 that devices conform to defined user needs and intended uses. Manufacturers must also meet 8 quality standards in manufacture and production of the devices. Manufacturers must establish and 9 maintain procedures for implementing corrective actions and preventive actions, and investigate 10 the cause of nonconforming products and take corrective action to prevent recurrence. 11 Manufacturers are also required to review and evaluate all complaints and determine whether an 12 investigation is necessary. Further, manufacturers are required to use statistical techniques, where 13 necessary, to evaluate product performance. See 21 CFR §820.

Pursuant to federal regulations, a manufacturer must report to the FDA any new
indications for use of a device, labeling changes, or changes in the performance or design
specifications, circuits, components, ingredients, principle of operation or physical layout of its
devices. Federal regulations require that: "A PMA supplement must be submitted when
unanticipated adverse effects, increases in the incidence of anticipated adverse effects, or device
failures necessitate a labeling, manufacturing, or device modification." *See* 21 CFR §814.

20 71. Specifically, it is believed that with respect to the Zimmer M/L Taper and Zimmer
21 VerSys femoral head, Defendants failed to timely report adverse events; failed to timely conduct
22 failure investigations and analyses; failed to timely report any and all information concerning
23 product failures and corrections; failed to timely and fully inform FDA of unanticipated adverse
24 effects, increases in the incidence of adverse effects, or device failures necessitating a labeling,
25 manufacturing or device modification; failed to conduct necessary design validation; and sold a
26 misbranded and adulterated product.

27 72. Zimmer's violation of the FDCA statutes and accompany regulations, as discussed
28 above, directly caused or significantly contributed to the use of the M/L Taper and the VerSys

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Femoral Head; and, generally, and directly caused or significantly contributed to the use of these
 Defective Devices in Plaintiff and Zimmer's misconduct in this regard thus directly caused or
 contributed to Plaintiff's injuries and damages.

3	contributed to Plaintiff's injuries and damages.	l
4	CLAIMS FOR RELIEF	
5	CLAIM ONE	
6	<u>NEGLIGENCE</u>	
7	73. Plaintiffs adopt and re-alleges the allegations contained in the above paragraphs as	
8	if set forth fully herein.	
9	74. At all times mentioned in this complaint, Zimmer had a duty to properly	
10	manufacture, compound, test, inspect, package, distribute, market, examine, maintain, and	
11	prepare for use and sell its above-mentioned hip joint implant products.	
12	75. In placing the Products onto the market, Zimmer was careless, reckless and	
13	negligent by virtue of the following acts or omissions, which are listed herein as illustrative and	
14	not exhaustive:	
15	a. Failure to adequately and properly design and manufacture the aforesaid	
16	Products; there were alternative safer designs of the hip prosthetic components that had a much	
17	lower incidence of fretting, corrosion, release of metal ions, metallosis, and adverse tissue	
18	reactions, specifically using a ceramic femoral head with the M/L Taper;	
19	b. Distributing the products when Zimmer knew, or in the exercise of	
20	reasonable care should have known, that its hip joint implant products were of such a nature that	
21	if such products were not properly manufactured, compounded, tested, inspected, packaged,	
22	distributed, marketed, examined, and/or sold, such products were likely to cause serious injury in	
23	patients;	
24	c. Negligently and carelessly manufacturing, packaging, distributing,	

c. Negligently and carelessly manufacturing, packaging, distributing,
recommending, displaying, selling, examining and failing to examine its above-mentioned hip
joint implant products that such were dangerous and unsafe for the user and for the purpose for
which the products were intended;

1 d. Failing to adequately and properly test and inspect the products before 2 placing them on the market;

3 e. Failing to have adequate or appropriate quality controls over the design 4 and/or manufacturing process;

5 f. Failing to warn the public in general, Dr. Michael T. Bollinger, and the 6 medical community and the patients, such as Daniel Brosnan in particular, of the risks and 7 dangers associated with the use of its products;

8 Failing to take reasonably prompt steps to withdraw the products, notify g. 9 learned intermediaries such as physicians, or otherwise remove the products from the stream of 10 commerce as soon as the defects therein were discovered; and

- 11 h. Zimmer failed to adequately disclose the fretting and corrosion caused by 12 these devices to the medical community, to the medical journals and to the medical community at 13 large who depended on Zimmer for accurate and truthful information about its products so that 14 the physicians could make appropriate judgments and choices of products for their patients;
- 15

i. And, as the information increased that there was an increasing risk of 16 failure, Zimmer failed to disclose it to the medical community and the patients who had been 17 implanted with these devices that there was a previously undisclosed increased rate of corrosion, 18 fretting and the release of metal debris and metal ions.

19 76. The Zimmer Defendants were negligent in carrying out the manufacturing, 20 retailing, design, wholesaling, testing, advertising, promotion, marketing, sales and/or distribution 21 of the Products.

22 77. The personal injuries sustained by Daniel Brosnan were caused by the latent 23 effects of his exposure to and implantation with the defective, dangerous, hazardous and unsafe 24 products designed, manufactured, distributed and supplied by Zimmer, which defects were not 25 discovered by the Plaintiff and could not have been discovered through the exercise of reasonable 26 diligence by Plaintiff until, at the earliest, in or about December 2016, when he received blood 27 and other test results evidencing adverse local tissue reaction surrounding his hip implant and 28 metallosis.

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1 2 78. As a proximate result of the above-mentioned carelessness and negligence of Zimmer, Zimmer's Products caused severe and permanent injuries to Plaintiff's body and thereby proximately caused Plaintiffs to sustain the injuries and damages as alleged in this Complaint.

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4 79. As a further proximate cause of Zimmer's negligence, Plaintiffs were required to 5 and did employ physicians and surgeons to examine, treat, and care for Mr. Brosnan, and did 6 incur medical, hospital, pharmaceutical, and incidental expenses, and will continue to incur such 7 medical, hospital, pharmaceutical and incidental expenses in the future. In addition, Zimmer's 8 conduct proximately caused Daniel Brosnan to live under a continued likelihood of increased risk 9 of developing medical problems associated with the presence of metal ions in his body, and 10 attendant emotional stress that constantly is present.

80. By reason of the foregoing, Plaintiff Daniel Brosnan has been severely and
permanently damaged; has sustained economic losses; and will be required to incur additional
medical expenses in the future to care for himself as a result of the injury and damages he has
suffered; and Plaintiff Roberta Brosnan has lost the society, comfort and consortium of her
beloved husband..

16 81. The foregoing was caused without any negligence on the part of Plaintiffs17 contributing to these injuries and damages.

18 82. Plaintiffs are therefore entitled to damages in an amount to be proven at trial,
19 together with interest and costs.

20 83. Defendants' conduct as alleged above was malicious, intentional and outrageous
21 willful and conscious disregard for the rights and safety of others. Such conduct was directed
22 specifically at Plaintiffs and as such, warrants an imposition of punitive damages.

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CLAIM TWO

STRICT LIABILITY: DEFECTIVE DESIGN

25 84. Plaintiffs adopt and re-alleges the allegations contained in the above paragraphs as
26 if set forth fully herein.

27 85. Prior to Mr. Brosnan's total hip arthroplasty, Zimmer, as the designer,
28 manufacturer, retailer, wholesaler, fabricator, supplier, and/or distributor of the Products were

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under a strict duty not to design, manufacture, distribute, market or otherwise place into the
 stream of commerce a product that was defective, dangerous, hazardous or otherwise unsafe to
 human health.

4 86. As a direct and proximate result of Zimmer's placing the defective hip implant
5 products onto the market, Plaintiff was implanted with these defective products.

- 6 87. Zimmer is strictly liable to Plaintiffs for manufacturing, designing, retailing, 7 distributing, wholesaling, modifying, fabricating, supplying and/or placing on the market and in 8 the flow of commerce, defective products knowing that the Products would be used by the public 9 and particularly by the recipients without inspection. The Products were not fit for their intended 10 purpose; the risks inherent in the design of the Products outweighed the benefits; and the Products 11 were more dangerous than Plaintiff or his doctor anticipated. All of these defects proximately 12 caused the injuries and damages to Plaintiff as alleged.
- 13 88. Zimmer's Products were defective, unsafe and unreasonably dangerous for use in
 14 hip arthroplasty surgery and caused and will continue to cause grievous and debilitating bodily
 15 injury when used for such purposes.
- 16 89. The defective condition of Zimmer's above-mentioned hip joint implant products
 17 existed when the product left the manufacturer's control.
- 18 90. Zimmer's above-mentioned hip joint implant products reached Plaintiff and his
 19 surgeons without substantial change.
- 20 91. Zimmer knew that its hip joint implant products were to be used by the user
 21 without inspection or testing for defects in the product.
- 92. Plaintiff was injured by the defect in the product. The product as composed
 caused fretting and corrosion at the juncture where the taper met the femoral head. That resulted
 in the release of metal ions and debris into the surrounding tissue causing Plaintiff to suffer an
 adverse tissue reaction, due to the death of the tissue from the metal ions. Had Zimmer sold
 Plaintiff's surgeon a safer, alternative design existed, then Plaintiff would never have been injured
 by this dangerous and defective set of products, designed and sold to be used together.
- 28

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93. Zimmer knew and had reason to know that there were safer alternative products
 available on the market at the time the defective Products were sold in this case. In fact, Zimmer
 manufactured and sold Zimmer hip prosthetic devices that were to avoid any problem consistent
 with metallosis due to the implant failure.

94. Plaintiff neither knew, nor had reason to know, at the time of the use of Zimmer's
Products, or at any time prior to such use, of the existence of the above-described defect or that
there were other, safer hip implants available on the market at the time of his total hip
arthroplasty.

9 95. As a direct and proximate result of being implanted with Zimmer's defective, 10 dangerous, hazardous and unsafe hip implant products, Plaintiff Daniel Brosnan has been 11 severely and permanently damaged; has sustained economic losses; and will be required to incur 12 additional medical expenses in the future to care for himself as a result of the injury and damages 13 he has suffered and Plaintiff Roberta Brosnan has lost the society, comfort and consortium of her 14 beloved husband. Plaintiffs are therefore entitled to damages in an amount to be proven at trial, 15 together with interest thereon and costs.

96. Defendants' conduct as alleged above was malicious, intentional and outrageous
and constitutes a willful and conscious disregard for the rights and safety of others. Such conduct
was directed specifically at Plaintiffs and as such, warrants an imposition of punitive damages.

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CLAIM THREE

STRICT LIABILITY: MANUFACTURING DEFECT

21 97. Plaintiffs adopt and re-alleges the allegations contained in the above paragraphs as
22 if set forth fully herein.

98. Prior to Plaintiff's total hip arthroplasty, Zimmer, as the designers, manufacturers,
retailers, wholesalers, fabricators, suppliers, and/or distributors of the hip joint implant products
were under a strict duty not to design, manufacture, distribute, market or otherwise place into the
stream of commerce a product that was defective, dangerous, hazardous or otherwise unsafe to
human health.

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1 2

99. As a direct and proximate result of Zimmer's placing the said defective hip implant products into the market, Plaintiff was implanted with same.

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100. The hip joint implant products implanted into Plaintiff, as manufactured, deviated from Zimmer's design and/or internal quality standards.

5 101. 6 7

Zimmer is strictly liable to Plaintiffs for manufacturing, designing, retailing, distributing, wholesaling, modifying, fabricating, supplying and/or placing on the market and in the flow of commerce, defective products knowing that the products would be used by the public 8 and particularly by the recipients without inspection. The hip joint implant products were not fit 9 for their intended purpose and/or the risks inherent in the design of the hip joint implant products 10 outweighed the benefits and/or the hip joint implant products were more dangerous than Plaintiff 11 anticipated. All of these defects proximately caused the injuries and damages to Plaintiff as 12 alleged herein.

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102. Zimmer's above-mentioned hip joint implant products were defective, unsafe and unreasonably dangerous for use in hip arthroplasty surgery and caused and will continue to cause grievous and debilitating bodily injury when used for such purposes.

16 103. The defective condition of Zimmer's above-mentioned hip joint implant products 17 existed when the product left the manufacturer's control.

18 104. Zimmer's above-mentioned hip joint implant products reached Plaintiff and his 19 surgeons without substantial change.

20 105. Zimmer knew that its hip joint implant products were to be used by the user 21 without inspection for defects in the product.

22 106. Plaintiff was injured by the manufacturing defect in the product. The product as 23 manufactured caused fretting and corrosion at the juncture where the taper met the femoral head. 24 That resulted in the release of metal ions and debris into the surrounding tissue causing Plaintiff 25 to suffer an adverse tissue reaction, due to the death of the tissue from the metal ions. Had 26 Zimmer sold Plaintiff's surgeon a safer, alternative design, then Plaintiff would never have been 27 injured by this dangerous and defective set of products, designed, manufactured, and sold to be 28 used together.

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107. Zimmer knew and had reason to know that there the hip joint implant products 2 were defectively manufactured at the time it sold and distributed the products.

3 108. Plaintiff neither knew, nor had reason to know, at the time of the use of Zimmer's 4 products, or at any time prior to such use, of the existence of the above-described manufacturing 5 defect or that there were other, safer hip implants available on the market at the time of his total 6 hip arthroplasty.

7 109. As a direct and proximate result of being implanted with Zimmer's defective, 8 dangerous, hazardous and unsafe hip implant products, Plaintiff Daniel Brosnan has been 9 severely and permanently damaged; has sustained economic losses; and will be required to incur 10 additional medical expenses in the future to care for himself as a result of the injury and damages 11 he has suffered; and Plaintiff Roberta Brosnan has lost the society, comfort and consortium of her 12 beloved husband. Plaintiffs are therefore entitled to damages in an amount to be proven at trial, 13 together with interest thereon and costs.

14 110. Defendants' conduct as alleged above was malicious, intentional and outrageous 15 and constitutes a willful and conscious disregard for the rights and safety of others. Such conduct 16 was directed specifically at Plaintiffs and as such, warrants an imposition of punitive damages.

CLAIM FOUR

STRICT LIABILITY: FAILURE TO WARN

19 111. Plaintiffs adopt and re-alleges the allegations contained in the above paragraphs as 20 if set forth fully herein.

21 112. At the time the hip joint implant products were supplied to Plaintiff, the products 22 were defective as a result of Zimmer's failure to adequately test for safety, and to give adequate 23 warnings, labeling, or instructions regarding the development of medical problems associated 24 with the presence of metal ions in Plaintiff's body and/or intended users as described herein and 25 other dangers which might be associated with the use of the hip joint implant.

26 Zimmer's above-mentioned hip joint implant products were defective, unsafe and 113. 27 unreasonably dangerous for use in hip arthroplasty surgery and caused and will continue to cause 28 grievous and debilitating bodily injury when used for such purposes.

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1 114. The defective condition of Zimmer's above-mentioned hip joint implant products
 2 existed when the product left the manufacturer's control.

3 115. Zimmer's above-mentioned hip joint implant products reached Plaintiff and his
4 surgeons without substantial change.

5 116. Zimmer failed to adequately test the hip joint implant products before marketing 6 them to consumers such as Plaintiff, failed to disclose to Plaintiff that such testing had not been 7 done, and which testing would have disclosed the magnitude of the potential risks associated with 8 the use of the hip joint implant.

9 117. Zimmer failed to warn of the increased incidents of fretting and corrosion, or that
10 the pre-market data demonstrated a greater probability of failure than was initially described to
11 FDA or implant physicians, including Dr. Bollinger. Zimmer's failure to warn was willful and
12 malicious in that Zimmer's conduct was carried out with a conscious disregard for the safety and
13 the rights of Plaintiff.

14 118. Specifically, prior to Mr. Brosnan's implanting surgery on January 26, 2015 and
15 continuing through his revision surgery on March 31, 2017, Zimmer, Inc. and Zimmer US, Inc.
16 did not include a warning of an increased risk of corrosion when the M/L Taper was paired with a
17 VerSys femoral head in the "Warnings" or "Precautions" sections of the Products' package
18 inserts.

19 119. As a direct and proximate result of being implanted with Zimmer's defective,
20 dangerous, hazardous and unsafe hip implant products, Plaintiff Daniel Brosnan has been
21 severely and permanently damaged; has sustained economic losses; and will be required to incur
22 additional medical expenses in the future to care for himself as a result of the injury and damages
23 he has suffered; and Plaintiff Roberta Brosnan has lost the society, comfort and consortium of her
24 beloved husband. Plaintiffs are therefore entitled to damages in an amount to be proven at trial,
25 together with interest thereon and costs.

26 120. Defendants' conduct as alleged above was malicious, intentional and outrageous
27 and constitutes a willful and conscious disregard for the rights and safety of others. Such conduct
28 was directed specifically at Plaintiffs and as such, warrants an imposition of punitive damages.

121.	Plaintiff
ifically par	agraphs
122.	Zimmer
Products ha	ad know
ucts that v	vas sup
d have pos	sessed.
oral head,	when u

CLAIM FIVE

NEGLIGENT MISREPRESENTATION

fs adopt and re-alleges the allegations contained in the above paragraphs, 44-55, as if set forth fully herein. speci

5 , Inc. and Zimmer US, Inc., as the designers, manufacturers, and sellers of the P 6 vledge of material facts about the quality, safety, and effectiveness of the 7 Produ erior to the knowledge that Plaintiff and Plaintiff's surgeon possessed or 8 could Zimmer's knowledge that the Zimmer M/L Taper and the Zimmer VerSys 9 femo used together, were associated with an increased risk of corrosion and 10 fretting was not available to the public or medical community. This is so because Zimmer, Inc. 11 and Zimmer US, Inc. had exclusive knowledge about, and possession, of the following:

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Pre-market documents, including the Design History and Risk a. 13 Management Files. These files are required for medical devices that can cause or contribute to 14 death, serious illness, or injury and document that medical device manufacturers are complying 15 with design controls. The files include information about: the design inputs, which are the 16 product requirements that include the physical and performance characteristics of the device that 17 are used as a basis for device design; design outputs—known as the product specifications, which 18 are broadly speaking the "blueprints" for the product; design verifications and validations, which 19 are the test protocols and test reports to confirm the products meet the design requirements and 20 specifications and conform to user needs and intended uses; engineering change orders, which are 21 intended design changes; internal audit reports of the Design History File; and device/design 22 failure modes and effects analysis, which identifies possible failures in a design or manufacturing 23 process.

24 b. Regulatory submissions. This includes premarket notification and all 25 communications related to the clearance from and to the FDA; letters to file regarding 26 modifications to the Products that are not reported to the FDA; and correction and removal 27 reports to the FDA, which is any correction or removal of a medical device if the correction or 28 removal was initiated to reduce a risk to health posed by the device.

1 Post-market surveillance. This includes complaint files with documents c. 2 that are not in the public domain; corrective and preventive action files with documents that are 3 not in the public domain such as Health Hazard Evaluations and verifications or validation 4 reports, which are used to identify and investigate product and quality problems, and full Medical 5 Device Reports that report adverse events. 6 123. Zimmer, Inc. and Zimmer US, Inc. have a special relationship with implanting 7 surgeons, and thus a duty to doctors that significantly exceeds the duty between ordinary buyers 8 and sellers, for the following reasons: 9 Doctors, such as Dr. Bollinger, rely on information from medical device a. 10 manufacturers and they expect this information to be truthful. For this reason, Zimmer, Inc. and

11 Zimmer US, Inc. knew or should have known that surgeons rely on information provided by
12 Zimmer, Inc. and Zimmer US, Inc.

13 b. Zimmer, Inc. and Zimmer US, Inc. affirmatively tell doctors to rely on Zimmer. For example, in the Zimmer M/L Taper Hip Prosthesis Surgical Techniques guide,² 14 15 Zimmer, Inc. expressly states "[t]his documentation is intended exclusively for physicians and is 16 not intended for laypersons." It directs physicians to "refer to the package inserts for important 17 product information, including, but not limited to, contraindications, warnings, precautions, and 18 adverse effects." Nowhere in the package insert does Zimmer, Inc. or Zimmer US, Inc. warn or 19 disclose that the Products are associated with an increased risk of corrosion and fretting, or that 20 the patients' metal ion levels should be monitored for early detection of corrosion that can lead to 21 adverse local tissue reaction, which kills the tissue and muscle surrounding the hip prosthesis, 22 worsening the patient's outcome the longer the device is implanted. Because Zimmer directs 23 doctors to rely on this information, Zimmer, Inc. and Zimmer US, Inc. knew or should have 24 known that surgeon's rely on this information.

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c. Zimmer Inc. and Zimmer US, Inc. knew or should have known that Dr. Bollinger specifically, the surgeon who performed Mr. Brosnan's surgery, relied on Zimmer.

 ² Available at http://www.zimmerbiomet.com/content/dam/zimmer-biomet/medical professionals/000-surgical-techniques/hip/zimmer-ml-taper-hip-prosthesis-surgical-technique.pdf,
 incorporated by reference herein.

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This is so because Zimmer Inc. and Zimmer US, Inc. representative Colby Leonelli was in the
 operating room during Mr. Brosnan's initial total hip replacement surgery for the specific
 purposes of providing expert information about the Zimmer Products to Dr. Bollinger.

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4 Zimmer, Inc. affirmatively misrepresented that "the M/L Taper Hip Prosthesis met 124. 5 performance requirements and is as safe and effective as the predicate devices." See Zimmer Inc. 6 Summary of Safety and Effectiveness.³ In fact, the M/L Taper stem has a greater prevalence 7 (4.9%) of mechanically assisted crevice corrosion ("MACC") than all other Zimmer stem types, 8 with a significantly higher prevalence found in patients with M/L Taper style stem and total hip 9 arthroplasty performed in 2009 and between 2009-2012. Hussey, D.K. & Bollinger, B.J., Ten-10 Year Cross-Sectional Study of Mechanically Assisted Crevice Corrosion in 1352 Consecutive 11 Patients with Metal-on-Polyethylene Total Hip Arthroplasty, J. Arthroplasty, 1-6 (2017) 12 (incorporated as referenced herein).

13 125. In addition to this affirmative misrepresentation, Zimmer, Inc. and Zimmer, US, Inc. failed to disclose that the Zimmer M/L Taper and the Zimmer VerSys femoral head, when 14 15 used together, were associated with a higher prevalence of metallosis, trunnionosis, high cobalt 16 and/or chromium levels, corrosion, pseudotumors, adverse tissue reaction and/or necrotic tissue, 17 and required patients to monitor metal ion levels accordingly and undergo revision surgery as 18 compared to competitor hip implant devices. Zimmer Inc. and Zimmer US, Inc. omitted this 19 material information from its written literature, advertisements, the Zimmer M/L Taper Hip 20 Prosthesis Brochure,⁴ the Zimmer M/L Taper Hip Prosthesis Surgical Technique guide,⁵ Zimmer, Inc.'s M/L Taper Hip Prosthesis website,⁶ the Products package inserts, and Zimmer. Inc.'s 21

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- 23

²⁴ ³ *Available at* https://www.accessdata.fda.gov/cdrh_docs/pdf3/K032726.pdf, incorporated by reference herein.

 ⁴ Available at http://www.zimmerbiomet.com/medical-professionals/hip/product/ml-taper-hip-system.html, incorporated by reference herein.

⁵*Available at* http://www.zimmerbiomet.com/content/dam/zimmer-biomet/medical-

²⁷ professionals/000-surgical-techniques/hip/zimmer-ml-taper-hip-prosthesis-surgical-technique.pdf, incorporated by reference herein.

⁶ *Available at* http://www.zimmerbiomet.com/medical-professionals/hip/product/ml-taper-hip-system.html, incorporated by reference herein.

Summary of Safety and Effectiveness, and other information, submitted to the FDA for 510(k)
 clearance.⁷

126. Zimmer, Inc. and Zimmer US, Inc. knew or should have known that its affirmative
misrepresentation and concealments were false. This is so because Zimmer, Inc. and Zimmer US,
Inc. had the legal obligation, discussed above, to demonstrate the Products were safe and effective
for their intended use, adequately warn of the risks associated with the Products, and conduct
post-market surveillance and report adverse events.

8 127. The facts concealed or not disclosed by Defendants to Plaintiff and Plaintiff's
9 surgeon were material facts that a reasonable person, including Mr. Brosnan and his implanting
10 surgeon, would have considered to be important in deciding whether or not to undergo a
11 procedure or surgery using the Zimmer hip joint implant products.

12 128. Plaintiff and his physician were ignorant of Zimmer's misrepresentations and
13 concealments at all material times. In fact, it would have been impossible for them to have
14 known of these misrepresentations and concealments because Zimmer, Inc. and Zimmer US, Inc.
15 were in exclusive possession of this information.

16 129. Plaintiffs and his surgeon were induced to rely on Zimmer, Inc. and Zimmer US, 17 Inc.'s misrepresentations and omissions. But for this reliance Plaintiff would not have permitted 18 his surgeon to proceed as usual, using the Zimmer Products; Plaintiff's surgeon would not have 19 selected the Products for Mr. Brosnan's initial hip replacement; and Plaintiff and his surgeon 20 would have closely monitored the metal ion levels in Mr. Brosnan's blood for early detection of 21 corrosion and fretting of the Products (via regular metal ion lab testing and MRI imaging), which 22 caused severe necrosis of the tissue and muscle surrounding the hip prosthetics, worsening Mr. 23 Brosnan's outcome.

- 130. Plaintiffs and his surgeon were justified in relying on Zimmer because, as
 explained above, Zimmer was in a superior position to know the true facts and to be the experts of
 the Products. The reliance was also justified because Zimmer, Inc. and Zimmer US, Inc. were in
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^{28 &}lt;sup>7</sup> *Available at* https://www.accessdata.fda.gov/cdrh_docs/pdf3/K032726.pdf, incorporated by reference herein.

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a special or fiduciary relationship with Plaintiff's surgeon, and Plaintiff and Plaintiff's surgeon
 reasonably relied upon Zimmer, Inc. and Zimmer US, Inc.'s representations and omissions
 concerning the Products, having no independent knowledge that the information provided by
 Zimmer, Inc. and Zimmer US, Inc. was anything other than what Zimmer, Inc. and Zimmer US,
 Inc. stated.

By failing to disclose this information, Zimmer, Inc. and Zimmer US, Inc. gained a
competitive advantage in the prosthetic hip industry. Indeed, the purpose of Zimmer, Inc. and
Zimmer US, Inc. marketing scheme to withhold this information was for orthopedic surgeons to
rely on this information and select Zimmer products over competitor products.

10 132. As a proximate result of Zimmer, Inc. and Zimmer US, Inc.'s false representations
11 and concealment, Plaintiff was caused to sustain the injuries and damages described in this
12 Complaint.

13 133. As a direct and proximate result of Zimmer's conduct, Plaintiff Daniel Brosnan has been severely and permanently damaged; has sustained economic losses; and will be required to incur additional medical expenses in the future to care for himself as a result of the injury and damages he has suffered; and Plaintiff Roberta Brosnan has lost the society, comfort and consortium of her beloved husband. Plaintiffs are therefore entitled to damages in an amount to be proven at trial, together with interest thereon and costs.

19 134. Defendants' conduct as alleged above was malicious, intentional and outrageous
20 and constitutes a willful and conscious disregard for the rights and safety of others. Such conduct
21 was directed specifically at Plaintiffs and as such, warrants an imposition of punitive damages.

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<u>CLAIM SIX</u> BREACH OF IMPLIED WARRANTIES

24 135. Plaintiffs re-allege and incorporates by reference the allegations set forth above as25 if set forth herein.

26 136. At all relevant and material times, Defendants manufactured, distributed,
27 advertised, promoted, and sold the Products for the purpose of total hip replacement surgery.

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1 137. At all relevant times, Defendants intended that the Products be used in the manner 2 that Plaintiff herein in fact used the Products, and Defendants impliedly warranted each of the 3 Products to be of merchantable quality; safe and fit for such use; and warranted that each of the 4 Products was adequately tested. 5 138. Defendants were aware that consumers, including Plaintiff, would use the Products 6 as hip implants; which is to say that Plaintiff was a foreseeable user. 7 139. The Products were expected to reach and did in fact reach consumers, including 8 Plaintiff herein, without substantial changes in the condition in which the Products were 9 manufactured and sold by Defendants. 10 Defendants breached various implied warranties with respect to the Products in the 140. 11 following manner: 12 Defendants represented through their labeling, advertising, marketing a. 13 materials, detail persons, seminar presentations, publications, notice letters, and regulatory 14 submissions that the Products were safe and fraudulently withheld and concealed information 15 about the substantial risks of serious injury and/or death associated with using the Products; 16 b. Defendants represented that the Products were safe, and/or safer than other 17 alternative hip implants and fraudulently concealed information which demonstrated that the 18 Products were not safer than alternatives available on the market; and 19 Defendants represented that the Products were more efficacious than other c. 20 alternative devices and fraudulently concealed information, regarding the true efficacy of the 21 Products. 22 141. In reliance upon Defendants' implied warranties, Plaintiff herein used the Products 23 as prescribed and in the foreseeable manner normally intended, recommended, promoted, and 24 marketed by Defendants. 25 142. Defendants breached their implied warranty to Plaintiff in that the Products were 26 not of merchantable quality, safe and fit for their intended use, or adequately tested. 27 143. As a direct and proximate result of Zimmer's conduct, Plaintiff Daniel Brosnan 28 has been severely and permanently damaged; has sustained economic losses; and will be required COMPLAINT FOR DAMAGES

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to incur additional medical expenses in the future to care for himself as a result of the injury and
damages he has suffered; and Plaintiff Roberta Brosnan has lost the society, comfort and
consortium of her beloved husband. Plaintiffs are therefore entitled to damages in an amount to
be proven at trial, together with interest thereon and costs.

5 144. Defendants' conduct as alleged above was malicious, intentional and outrageous
6 and constitutes a willful and conscious disregard for the rights and safety of others. Such conduct
7 was directed specifically at Plaintiffs and as such, warrants an imposition of punitive damages.

CLAIM SEVEN

VIOLATION OF CALIFORNIA UNFAIR COMPETITION LAW

(Cal. Bus. & Prof. Code § 17200, et seq.)

11 145. Plaintiffs adopt and re-alleges the allegations contained in the above paragraphs as
12 if set forth fully herein.

13 146. Plaintiffs are informed and believes, and thereon alleges, that Defendants, by the
14 acts and misconduct alleged, violated the California Unfair Competition Law, Cal. Bus. & Prof.
15 Code § 17200, *et seq.* ("UCL").

16 147. The UCL applies to Defendants' actions and conduct described herein because it
17 extends to transactions which are intended to result, of which have resulted, in the sale of goods
18 to consumers.

19 148. Plaintiff purchased (directly, or through his surgeon, and/or the heath care facility
20 at which his surgery was performed) primarily for personal use the Products implanted into his
21 body during surgery and, thereby, suffered ascertainable losses as a result of Defendants' actions
22 in violation of the consumer protection laws.

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149. Upon information and belief, said purchase occurred in the State of California.

24 150. Defendants have violated the UCL in representing that goods have characteristics25 and benefits which they do not have.

151. Had Defendants not engaged in the deceptive conduct described herein, Plaintiff
would not have purchased and/or paid for the Products (directly, or through his surgeon, and/or

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the heath care facility at which his surgery was performed), and would not have incurred related
 medical costs and injury.

3 152. Defendants engaged in knowingly wrongful conduct while at the same time
4 obtaining, under false pretenses, moneys from Plaintiffs for the Products, that would not have
5 been paid for had Defendants not engaged in such unfair and deceptive conduct.

6 153. Defendants engaged in unfair methods of competition or deceptive acts or
7 practices that were proscribed by law, including the following:

a. making untrue, misleading, and/or deceptive assertions, representations, or
statements of fact that goods or services have characteristics, components, uses benefits, or
quantities that they do not have;

b. advertising goods or services with the intent not to sell them as advertised;
and

c. engaging in fraudulent or deceptive conduct that creates a likelihood of
confusion or misunderstanding.

15 154. The untrue, misleading, and/or deceptive assertions, representations, or statements
of fact regarding the Products were made by Zimmer, Inc. and Zimmer US, Inc. to the public in
promotional materials, Defendants-sponsored medical literature, videos, Defendants-sponsored
presentations, and/or face-to-face sales calls with Defendants sales representatives and/or agents,
with the intent to induce an obligation.

155. Plaintiff and his surgeon justifiably relied on the untrue, misleading, and/or
deceptive assertions, representations or statement of fact made by Defendants to the public in
promotional materials, Defendants-sponsored medical literature, videos, Defendants-sponsored
presentations, and/or face-to-face sales calls regarding the Products, in selecting the Products for
Mr. Brosnan.

25 156. Under the UCL, Defendants are the suppliers, manufacturers, advertisers, and
26 sellers, who are subject to liability under this statute for unfair, deceptive, fraudulent, and
27 unconscionable consumer sales practices.

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1 157. Defendants violated the statutes that were enacted to protect consumers against 2 unfair, deceptive, and misleading business practices and false advertising by knowingly and 3 falsely representing that their Products were fit to be used for the purpose for which they were 4 intended, when in fact the devices were defective and dangerous, and by other acts alleged herein.

5 158. Plaintiff was injured by the nature of Defendants' conduct. The effect of 6 Defendants' conduct directed at patients, physicians, and consumers was to create demand for and 7 sell their Products. Each aspect of Defendants' conduct combined to artificially create sales of 8 said Products.

9 159. The actions and omissions of Defendants alleged herein are uncured or incurable
10 deceptive acts under the statutes enacted in the states to protect consumers against unfair,
11 deceptive, fraudulent and unconscionable trade and business practices and false advertising.

12 160. The acts of untrue and misleading statements by Defendants described above
13 presented a threat to members of the public and individual consumers, and the public and
14 individual consumers suffered harm.

15 161. Defendants had actual knowledge of the defective and dangerous conditions of the
Products and failed to take immediate action to cure the defective and dangerous conditions.

17 162. Plaintiffs and the medical community relied upon Defendants' misrepresentations18 and omissions in determining which treatment to prescribe.

19 163. Reasonable consumers, including Plaintiffs, were injured by Defendants' unfair20 and deceptive acts.

21 164. As a direct and proximate result of the false representations described herein,
22 Plaintiff was injured, as described above.

165. As a direct and proximate result of Zimmer's conduct, Plaintiff Daniel Brosnan
has been severely and permanently damaged; has sustained economic losses; and will be required
to incur additional medical expenses in the future to care for himself as a result of the injury and
damages he has suffered; and Plaintiff Roberta Brosnan has lost the society, comfort and
consortium of her beloved husband. Plaintiffs are therefore entitled to damages in an amount to
be proven at trial, together with interest thereon and costs.

1	166. Defendants' conduct as alleged above was malicious, intentional and outrageous
2	and constitutes a willful and conscious disregard for the rights and safety of others. Such conduct
3	was directed specifically at Plaintiffs and as such, warrants an imposition of punitive damages.
4	CLAIM EIGHT
5	PUNITIVE DAMAGES
6	167. Plaintiffs adopt and re-alleges the allegations contained in the above paragraphs as
7	if set forth fully herein.
8	168. At all times herein referenced, officers, directors, and managing agents of Zimmer
9	knew, and were aware, and concealed, hid, and/or otherwise downplayed the true risks of Zimmer
10	hip joint implant products.
11	169. At all times herein referenced, officers, directors, and managing agents of Zimmer
12	knew, and were aware, that the Zimmer hip joint implant products was associated with metallosis,
13	trunnionosis, high cobalt and/or chromium levels, corrosion, pseudotumors, adverse tissue
14	reaction and/or necrotic tissue, need for revision and/or explanation, and other adverse medical
15	conditions as described herein
16	170. Zimmer designed, engineered, developed, manufactured, fabricated, assembled,
17	equipped, tested or failed to test, inspected or failed to inspect, labeled, advertised, promoted,
18	marketed, supplied, distributed, wholesaled, and sold the Zimmer hip joint implant products,
19	products which Defendants knew to be dangerous and unsafe for the purpose for which it was
20	intended to be used.
21	171. At all times herein mentioned, prior to and at the time that Defendants designed,
22	engineered, developed, manufactured, fabricated, assembled, tested or failed to test, promoted,
23	marketed, supplied, distributed, and/or sold the Zimmer hip joint implant products to Plaintiff and
24	Plaintiff's physicians, and prior to the time that the product was used, Zimmer knew, or should
25	have known, that the Zimmer hip joint implant products were defectively designed and
26	manufactured, that it had extremely dangerous properties and defects, and that it had defects
27	which would cause serious injuries and damage to users of said product, thereby threatening the

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life and health of the users. Further, at all times, all Defendants knew that the Zimmer hip joint
 implant products had caused serious injuries and damage to other members of the public.

3

172. At all times herein mentioned, Zimmer, despite actual knowledge described herein, 4 intentionally suppressed the complaints and adverse events, actively concealed and downplayed 5 the risks associated with the Zimmer hip joint implant products, actively promoted the Zimmer 6 hip joint implant products, failed to warn Plaintiffs and the medical community of the true risks 7 associated with the Zimmer hip joint implant products, saturated the scientific and medical 8 literature with biased, industry-funded studies to conceal the true risks of the Zimmer hip joint 9 implant products, and otherwise failed to warn Plaintiffs, the medical community, of the true risks 10 of the Zimmer hip joint implant products.

11 At all times herein mentioned, Zimmer had actual knowledge of the facts 173. 12 hereinabove alleged demonstrating that serious injuries occur to patients in whom the Zimmer hip 13 joint implant products were implanted. Nevertheless, Zimmer deliberately suppressed, concealed, 14 downplayed, and/or otherwise hid any information demonstrating the true risks associated with 15 the Zimmer hip joint implant products from Plaintiffs, the medical community, and/or the general 16 public Zimmer continued, and continues, to actively promote the Zimmer hip joint implant 17 products to orthopedic surgeons in an effort to maintain and increase the Zimmer hip joint 18 implant products enormous profitability.

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174. As a legal and proximate result of Zimmer's misconduct, callous, disregard, and omissions as alleged, Plaintiffs sustained the injuries, damages and losses described.

21 175. Zimmer's conduct and omissions in allowing such an extremely dangerous product
22 to be used by members of the general public, including Plaintiffs, constitutes fraud, malice and
23 oppression toward Plaintiffs and others, and demonstrates a callous and intentional disregard of
24 the rights of Plaintiffs and others.

25 176. Plaintiffs are therefore entitled to exemplary or punitive damages, which would
26 serve to punish Zimmer and to deter wrongful conduct in the future.

CLAIM NINE

LOSS OF CONSORTIUM

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1	177. Plaintiffs adopt and re-allege the allegations contained in the above paragraphs as							
2	if set forth fully herein.							
3	178. Daniel Brosnan was and still is the lawful husband of Plaintiff Roberta Brosnan.							
4	179. As a result of Zimmer's actions, Plaintiff Roberta Brosnan has been deprived of							
5	the consortium of her husband, including, but not limited to, his services, love, companionship,							
6	affection, society, loss of physical relations and solace.							
7	180. The damages sustained by Plaintiff Roberta Brosnan are a direct and consequential							
8	result of the action or inaction of negligence and palpable negligence of Zimmer.							
9	181. As a result of Zimmer's negligent and outrageous conduct, by its agents, servants							
10	and/or employees, described herein, Zimmer acted with gross reckless disregard for the							
11	probability of causing Plaintiff Roberta Brosnan, to suffer severe emotional distress and loss of							
12	the consortium of her husband.							
13	PRAYER FOR RELIEF							
14	WHEREFORE, Plaintiffs demand judgment against the Defendants, and each of them, in							
15	an amount which exceeds the jurisdictional limits of all lower courts, together with interests,							
16	costs, and disbursements of this action, including damages including, but not limited to:							
17	a. Compensatory damages in excess of the jurisdictional amount of this							
18	Court, in an amount to be proven at trial;							
19	b. Exemplary damages to be proven at trial;							
20	c. Incidental, hospital, and medical expenses according to proof;							
21	d. Punitive damages for the conscious, willful, fraudulent, reckless acts of							
22	Defendants who demonstrated a complete disregard and reckless indifference for the safety and							
23	welfare of the general public and to the Plaintiffs in an amount sufficient to punish Defendants							
24	and to deter future similar conduct;							
25	e. Loss of consortium damages on behalf of Plaintiff's spouse;							
26	f. For reasonable attorneys' fees and costs;							
27	g. For pre-judgment interest; and							
28								
	- 33 - COMPLAINT FOR DAMAGES							
	1620602.1							

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1	h. For such fur	ther and other relief the Court deems just, equitable, and
2	proper.	
3	Dated: September 27, 2018	Respectfully submitted,
4		
5		By: <u>/s/Frabice N. Vincent</u>
6		Fabrice N. Vincent (State Bar No. 160780) LIEFF CABRASER HEIMANN & BERNSTEIN, LLP 275 Battery Street, 29th Floor
7 8		San Francisco, CA 94111-3339 Telephone: 415.956.1000
8 9		Facsimile: 415.956.1008
9 10		Wendy R. Fleishman (<i>pro hac vice forthcoming</i>) Kelly McNabb (<i>pro hac vice forthcoming</i>) LIEFF CABRASER HEIMANN & BERNSTEIN, LLP
11		250 Hudson Street, 8th Floor New York, New York 10013-1413
12		Telephone: 212.355.9500 Facsimile: 212.355.9592
13		Attorneys for Plaintiff
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		- 34 - COMPLAINT FOR DAMAGES

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	Case 1:18-cv-05948 Docum	ent 1 Filed 09/27/18 Page 35 of 36							
1		JURY DEMAND							
2	Plaintiff hereby demands a trial by jury on all issues so triable.								
3									
4 5	Dated: September 27, 2018	Respectfully submitted,							
5 6		By: /s/Fabrice N. Vincent							
0 7		Fabrice N. Vincent (State Bar No. 160780) LIEFF CABRASER HEIMANN & BERNSTEIN, LLF)						
8		275 Battery Street, 29th Floor San Francisco, CA 94111-3339							
9		Telephone: 415.956.1000 Facsimile: 415.956.1008							
10		Wendy R. Fleishman (pro hac vice forthcoming)							
11		Kelly McNabb (pro hac vice forthcoming) LIEFF CABRASER HEIMANN & BERNSTEIN, LLF)						
12		250 Hudson Street, 8th Floor New York, New York 10013-1413 Telephone: 212 355 9500							
13		Telephone: 212.355.9500 Facsimile: 212.355.9592							
14		Attorneys for Plaintiff							
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	1620602.1	- 35 - COMPLAINT FOR DAMAGE	с.э						

	Case 1:18-cv-05948 Docu	ment 1 Filed 09/27/18 Page 36 of 36							
1	<u>CERTIF</u>	TICATION OF OTHER ACTIONS							
2	The undersigned hereby cer	tifies that the matter in controversy is not the subject of any							
3	other action pending in any court, arbitration, or administrative proceeding other than the								
4	following matters:								
5									
6	 GLEN DAVIS and DARCY DAVIS v. ZIMMER, INC., et al., Case No. 4:18- CV-04412-JSW; and 								
7	2. JENNIFER ROBER	RTS v. ZIMMER, INC., et al., Case No. 4:18-CV-03564-JSW.							
8	Dated: September 27, 2018	Respectfully submitted,							
9									
10		By: /s/Fabrice N. Vincent							
11		Fabrice N. Vincent (State Bar No. 160780) LIEFF CABRASER HEIMANN & BERNSTEIN, LLP							
12		275 Battery Street, 29th Floor San Francisco, CA 94111-3339							
13 14		Telephone: 415.956.1000 Facsimile: 415.956.1008							
14		Wendy R. Fleishman (pro hac vice forthcoming)							
16		Kelly McNabb (<i>pro hac vice forthcoming</i>) LIEFF CABRASER HEIMANN & BERNSTEIN, LLP 250 Hudson Street, 8th Floor							
17		New York, New York 10013-1413 Telephone: 212.355.9500							
18		Facsimile: 212.355.9592							
19		Attorneys for Plaintiff							
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		- 36 - COMPLAINT FOR DAMAGES							
	1620602.1								

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The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. *(SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)*

I. (a) PLAINTIFFS				ZIMMER BIOMET, INC., f/k/a ZIMMER, INC.; ZIMMER BIOMET US,					
DANIEL BROSNAN and ROBERTA BROSNAN				INC., f/k/a ZIMMER US, INC.; and ZIMMER BIOMET HOLDINGS, INC., f/k/a ZIMMER HOLDINGS					
(b) County of Residence of First Listed Plaintiff <u>Lake County</u> (EXCEPT IN U.S. PLAINTIFF CASES)				County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY)					
				NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.					
(c) Attorneys (Firm Name, A Fabrice N. Vincent, LIEF 275 Battery Street, 29th I Telephone: 415.956.100	F CABRASER HEIMA Floor, San Francisco,	NN & BERNSTEIN CA 94111-3339	I, LLP	Attorneys (If Known)					
II. BASIS OF JURISDI	CTION (Place an "X" in O	ne Box Only)	III. CI	TIZENSHIP OF P	RINCIPA	L PARTIES (Place an "X" in	ı One Box f	or Plaintiff
□ 1 U.S. Government Plaintiff	□ 3 Federal Question (U.S. Government)	Not a Party)		(For Diversity Cases Only) P1 en of This State		Incorporated or Pri of Business In T		for Defenda PTF I 4	ant) DEF I 4
□ 2 U.S. Government Defendant	★ 4 Diversity (Indicate Citizenshi	ip of Parties in Item III)		Citizen of Another State					
				en or Subject of a reign Country	3 🗖 3	Foreign Nation		□ 6	1 6
IV. NATURE OF SUIT		ly) RTS	E	NDEFITHDE/DENIA I TV		here for: <u>Nature o</u> KRUPTCY		escription	_
CONTRACT CONTRACT Ido Negotiable Instrument 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment & Enforcement of Judgment 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excludes Veterans) 153 Recovery of Overpayment of Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise REAL PROPERTY 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	IO PERSONAL INJURY 310 Airplane 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 340 Marine 345 Marine Product Liability 340 Marine 350 Motor Vehicle 355 Motor Vehicle 355 Motor Vehicle 360 Other Personal Injury 360 Other Personal Injury 362 Personal Injury - Medical Malpractice CIVIL RIGHTS 440 Other Civil Rights 441 Voting 442 Employment 443 Housing/ Accommodations 445 Amer. w/Disabilities - Employment 446 Amer. w/Disabilities - Other Other 448 Education 448 Education	 PERSONAL INJUR 365 Personal Injury - Product Liability 367 Health Care/ Pharmaceutical Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability 368 Asbestos Personal Other Fraud 371 Truth in Lending 380 Other Personal Property Damage Property Damage Product Liability 385 Property Damage Product Liability PRISONER PETITION Habeas Corpus: 510 Motions to Vacate Sentence 530 General 	Y 0 62 0 69 1 XTY 0 71 0 72 0 75 NS 0 75 0 75	DRFEITURE/PENALTY DRFEITURE/PENALTY Drug Related Seizure of Property 21 USC 881 Ofter	□ 422 Appe □ 423 With □ 820 Copy □ 835 Pater □ 835 Pater ○ 835 Pater ○ 861 HIA □ 862 Blacl □ 864 SSID □ 865 RSI (● FEDER / □ 870 Taxe or D 871 IRS- 26 U 26 U	eal 28 USC 158 drawal USC 157 RTY RIGHTS rrights at at - Abbreviated Drug Application emark SECURITY (1395ff) k Lung (923) C/DIWW (405(g)) D Title XVI	 375 False C 376 Qui Tai 3729(a 400 State R 410 Antitru: 430 Banks a 450 Comme 460 Deporta 470 Rackete Corrupt 480 Consun 490 Cable/S 850 Securiti Exchar 890 Other S 891 Agricul 895 Freedon Act 899 Admini Act/Rev 	laims Act m (31 USC)) eapportions st and Bankin, rece ation eer Influenc Organizatin eer Credit iat TV ies/Commo ge tatutory Act tural Acts mental Mat m of Inform tion strative Pro- view or Apj Decision utionality o	ment g ced and ions odities/ ctions tters nation ocedure peal of
V. ORIGIN (Place an "X" in	ı One Box Only)	Commentent					1		
		Remanded from Appellate Court	⊐ 4 Rein Reoj	1 1101010	r District	☐ 6 Multidistri Litigation Transfer		Multidis Litigatio Direct Fi	on -
VI. CAUSE OF ACTIO	DN 28 U.S.C. § 1332 Brief description of ca	use:		Do not cite jurisdictional stat		-			
VII. REQUESTED IN COMPLAINT:	UNDER RULE 2	IS A CLASS ACTION 3, F.R.Cv.P.	•	EMAND \$ in excess of \$75,000		CHECK YES only URY DEMAND:		n complair ∎No	
VIII. RELATED CASH IF ANY	E(S) (See instructions):	JUDGE Jeffrey S.	White		DOCKE		8-CV-0441 8-CV-0356		
DATE		SIGNATURE OF AT		OF RECORD					
09/27/2018 Fabrice N. Vincent FOR OFFICE USE ONLY									
RECEIPT # AM	10UNT	APPLYING IFP		JUDGE		MAG. JUD	GE		

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".

II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below. United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here. United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)

- **III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- **IV.** Nature of Suit. Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: <u>Nature of Suit Code Descriptions</u>.
- V. Origin. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date. Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407.

Multidistrict Litigation – Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket. **PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7.** Origin Code 7 was used for historical records and is no longer relevant due to changes in statue.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P. Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction. Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.