FILED
10/27/2020 4:09 PM
Michelle S. Martinez, Clerk of Court
Superior Court of the State of California
County of Kings

Deputy

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Plaintiffs Carmela Zamora Avila and Reymundo Arciniega Herrara bring the instant civil action for themselves and on behalf of their minor daughter, Britney Michelle Arciniega ("Britney"), to recover damages and other cognizable relief arising out of the severe neurological injuries suffered by Britney, as a result of her *in utero*, infant, and ongoing exposure to the pesticide chlorpyrifos and its more toxic oxygen analog, chlorpyrifos oxon.

PARTIES AND JURISDICTION

- 1. Plaintiff Carmela Zamora Avila ("Carmela") currently resides at 505 South Corcoran Street, Number 20, Avenal, Kings County, California. Carmela is Britney Michelle Arciniega's mother. She brings this action as the parent and next friend of Britney Michelle Arciniega ("Britney"), and also on her own behalf, to recover payments made for Britney's care and for loss of parental consortium.
- 2. Plaintiff Reymundo Arciniega Herrara ("Reymundo") resides at 505 South Corcoran Street, Number 20, Avenal, Kings County, California. Reymundo is Britney's father. Reymundo and Carmela are husband and wife. Reymundo brings this action as the parent and next friend of Britney, and also on his own behalf, to recover payments made for Britney's care and for loss of parental consortium.
- 3. Defendant Corteva Inc. is a Delaware corporation with its principal place of business in Wilmington, Delaware. Corteva Inc. is the recently re-branded and spun-off successor-in-interest to Dow Agrosciences, LLC. During all relevant times, Dow (operating as Dow AgroSciences and then Corteva) was registered to do business in the State of California and was in fact doing business in the State of California, and more specifically in Kings County, California. During all relevant times, Dow AgroSciences, operating in combination with its former parent company and Co-Defendant, Dow Chemical Company, was the primary registrant

(and essentially the only) manufacturer and seller of chlorpyrifos and chlorpyrifos oxon in the United States. Dow marketed and sold chlorpyrifos and chlorpyrifos oxon for agricultural use under the trade name Lorsban.

- 4. Defendant Dow Chemical Company is a Delaware corporation with its principal place of business in Midland, Michigan. Dow Chemical has been through a recent string of mergers (e.g., with DuPont) and then spin-offs (e.g., from DuPont), the legal effect of which is that today's Dow Chemical Company is the successor-in-interest to the Dow Chemical Company of the 2000s.
- 5. At relevant times, basically for our purposes the 2000s, Defendant Dow Chemical Company was the parent company of Dow AgroSciences (n/k/a Defendant Corteva Inc.), and effectively controlled Dow AgroSciences. More importantly, while Dow AgroSciences was, during the 2000s, the legal registrant and seller of Lorsban and all other Dow-family chlorpyrifos and chlorpyrifos oxon products in the United States, Dow Chemical was the actual manufacturer of chlorpyrifos and chlorpyrifos oxon. In other words, while Dow AgroSciences was headquartered in Indianapolis, Indiana, during the 2000s, the actual chlorpyrifos production facilities were located in Midland, Michigan, and operated, upon information and belief, by Dow Chemical Company and employees of Dow Chemical Company. In fact, these chlorpyrifos production facilities were, upon information and belief, inextricably integrated into Dow Chemical's Midland production facilities and the production of other chemicals sold for other purposes, at least some of which were marketed and sold directly by Dow Chemical, not Dow AgroSciences. Dow Chemical therefore had its own stake in the continued production and sale of chlorpyrifos and chlorpyrifos oxon, separate and apart from the contribution of its subsidiary, Dow AgroSciences, to parent Dow Chemical's bottom line. These entities—Corteva, Dow

AgroSciences, the Dow Chemical of the 2000s and earlier and the Dow Chemical of today—are collectively referred to as "Dow" unless otherwise noted.

- 6. Defendant City of Avenal, California, is a municipal corporation and a political subdivision of the State of California, located in Kings County, California. At all relevant times, the City of Avenal is and has been the owner and operator of a proprietary water treatment plant and water distribution system, including pipes and at least one water tank, and sells that water for human consumption and other purposes to businesses and residents of Avenal, California.
- 7. Upon information and belief, Defendant John A. Kochergen Properties, Inc. is a California company with its principal place of business in Fresno County, California. John A. Kochergen Properties, Inc. is the successor-in-interest to Alex A. Kochergen Farms, Inc., as a result of a merger between John A. Kochergen Properties and Alex A. Kochergen Farms. At all times relevant, Alex A. Kochergen Farms was registered to do business as a pesticide applicator in the State of California. According to records maintained by the California Department of Pesticide Regulation ("CPDR"), from May to July of 2006, Alex A. Kochergen Farms applied 707 pounds of chlorpyrifos by aerial and ground application to a section of land located north of the City of Avenal's water intake abutting the California Aqueduct. In 2007, Alex A. Kochergen Farms applied 990 pounds of chlorpyrifos by ground application. In 2008, Alex A. Kochergen Farms, applied 223 pounds of chlorpyrifos by ground application.
- 8. Upon information and belief, Defendant Westside Harvesting, L.P. is a California company with its principal place of business in Fresno County, California. At all times relevant, Westside Harvesting was registered to do business as a pesticide applicator in the State of California. According to records maintained by the CPDR, in June of 2006, Westside Harvesting applied 288 pounds of chlorpyrifos by ground application to a section of land located

north of the City of Avenal's water intake abutting the California Aqueduct. Additionally in 2006, Westside Harvesting applied 494 pounds of chlorpyrifos by ground application to a section land located beside and south of the City of Avenal's water intake abutting the California Aqueduct. In 2007, West Side Harvesting applied 921 pounds of chlorpyrifos by ground application in both sections. In 2008, Westside Harvesting also applied 921 pounds of chlorpyrifos by ground application in both sections.

9. Jurisdiction and venue are proper in Kings County, California, because Defendant City of Avenal is located in Kings County, Dow is an out of state corporation that does business in Kings County, Plaintiffs currently reside in Kings County, Westside Harvesting is an in state limited partnership that does business in Kings County, and Britney's exposures to chlorpyrifos and chlorpyrifos oxon described below occurred in Kings County.

FACTUAL ALLEGATIONS

- 10. Britney was born on December 16, 2006.
- 11. At an early age, it was clear to Britney's doctors that Britney had developmental problems with deficits in attention, cognition, language skills, fine motor skills, and social skills. When being reviewed for kindergarten readiness, Britney's symptoms were obvious enough to create concern. Five months later, Britney was referred by her kindergarten teacher for special education evaluation because she couldn't learn basic skills or play with children her own age. Therefore, the allegations in the instant Complaint focus on the time period from early 2006, when Britney was conceived, through the first six years of her life. However, Britney's exposure to, and harm from, chlorpyrifos and chlorpyrifos oxon has been ongoing and continuous throughout her life.

12. Britney has subsequently been diagnosed with autism, obesity, and vision problems. She has ongoing difficulties with verbal and nonverbal communication, compulsive behaviors, and attending to her own needs and activities of daily living. It is unlikely that Britney will ever be able to be gainfully employed or able to live independently, and she is reasonably certain to need some assistance and care for the rest of her natural life.

- 13. Britney's injuries were proximately caused by her *in utero* exposure to chlorpyrifos and chlorpyrifos oxon beginning around March 2006, when her mother, Carmela, became pregnant with her, and her subsequent exposure to chlorpyrifos and chlorpyrifos oxon as an infant.
- 14. These chlorpyrifos and chlorpyrifos oxon exposures came from multiple sources and routes: Britney's mother's picking of grapes and cleaning the grapefruit fields as an agricultural field worker and as a packing house worker during her pregnancy; her consumption as an infant of chlorpyrifos oxon-laden tap water drawn from the California Aqueduct; secondary transfer from her father's work a grape and pistachio picker in agricultural fields to Britney's mother during her pregnancy; and, on occasion, to Britney as an infant; and, at all times, from chlorpyrifos overspray and spray drift, much of which first converted to chlorpyrifos oxon, that entered the structures where Britney's mother worked and lived during her pregnancy and where Britney lived as an infant. These exposures are described in more detail in the "Exposures" subsection, below.

History of Chlorpyrifos

15. On April 5, 1966, the Dow Chemical Company—the predecessor in interest and former parent of Dow AgroSciences LLC and therefore the predecessor in interest of Corteva—was awarded United States Patent Number 3,244,586 which provided patent protection for

various formulations of o-pyridyl phosphates and phosphorothioate-based pesticides. One of the patented phosphorothioate formulations $(O, O - diethyl\ O - 3,5,6 - pyridyl\ phosphorothioate)$ became known as the pesticide "chlorpyrifos." One of the patented phosphate pesticide formulations $(diethyl\ 3,5,6-trichloro-2-pyridyl\ phosphate)$ is the oxygen analog of Chlorpyrifos and is known as "chlorpyrifos oxon."

- 16. Chlorpyrifos is approved and registered by the United States Environmental Protection Agency (EPA) for use as a pesticide in a variety of agricultural applications, and was approved for a variety of residential applications until 2000. Chlorpyrifos is identified as the active ingredient on the labels of numerous commercial, brand name, pesticide formulations.
- 17. Chlorpyrifos oxon is a much more acutely potent and deadly neurotoxin, belonging to the same family of organophosphate-based pesticides as the chemical warfare agent, Sarin. In the mid 1930s, a German chemist, Gerhard Schrader, developed Sarin as a pesticide to combat insects adversely impacting German agriculture. Because of its extraordinary human neurotoxicity, Sarin was never implemented as an agricultural pesticide in Germany. Like Sarin, chlorpyrifos oxon is an extremely potent human neurotoxin.
- 18. Even though chlorpyrifos oxon (hereinafter "oxon") was included as a pesticide in the chlorpyrifos patent, it has never been registered or presented for registration with and to the EPA as a pesticide due to its extreme neurotoxicity. Chlorpyrifos, although registered as a pesticide, has little or no insecticidal action prior to being converted to the oxon. When Chlorpyrifos is mixed with water (almost always chlorinated treated water or water recycled from agricultural fields that is contaminated with brominated pesticides) and applied to the fields and orchards, it begins to convert to the unregistered, but Dow-patented pesticide, chlorpyrifos oxon.

- 19. Dow claims that the effectiveness of chlorpyrifos as an insecticide depends on the target insect's biologic ability to convert chlorpyrifos, once ingested, to the oxon. Dow does not disclose that chlorpyrifos is unstable in the environment—particularly in the presence of chlorine or bromine, which catalyze the conversion—and that it quickly begins to convert to oxon when mixed with water according to label directions, nor does Dow disclose that it will also convert in sunlight during and after application, which Dow knew or should have known as far back as the late 1960s or early 1970s. Unlike chlorpyrifos, the oxon is relatively stable in the environment, especially once it gets indoors, so that its toxic effects persist for months. The practical effect of this reality is that an application of chlorpyrifos to the fields and orchards of California's Central Valley is an application of the unregistered neurotoxin, chlorpyrifos oxon.
- 20. At all relevant times, and as more particularly stated hereinafter, Dow had actual notice and knowledge of the propensity of its product, chlorpyrifos, to convert to its oxygen analog, oxon, in the agricultural environment. At no time did Dow provide any label warning regarding the dangers of oxon contamination related to the application of chlorpyrifos.

Revelations in the 1990s and Early 2000s

- 21. In 1995, roughly eleven years before Britney's birth, the United States Environmental Protection Agency ("EPA") fined Dow for failing to report what are known in the industry as "adverse incidents"—incidents where Dow received notice that a person claims or is believed to have been poisoned by chlorpyrifos. Many of the incidents that Dow failed to report involved children who had been poisoned.
- 22. Despite Dow's efforts to conceal the harmful effects of chlorpyrifos and chlorpyrifos oxon from consumers, parents, agricultural communities, and the public, by July 2002, regulators

and other researchers doing their own investigations into the hazards of chlorpyrifos had started to uncover some of the truths that Dow sought to conceal.

- 23. Six years before Britney's conception, on June 8, 2000, the EPA conducted a thorough review of data submitted by Dow and determined that chlorpyrifos is toxic to the developing nervous system and brain of mammals and children and that, therefore, an additional safety factor was required for uses that might expose children to chlorpyrifos. This EPA finding led to chlorpyrifos being withdrawn from the residential pesticide market, where it had been marketed as "Dursban."
- 24. At around the same time in 2000, concerns about minuscule quantities of chlorpyrifos remaining as residues on foods commonly consumed by children nationwide—even *after* washing and processing by packing-house workers like Britney's mom and other workers in California's Central Valley—led Dow to stop marketing Lorsban for applications involving tomatoes and apples, crops thought to be associated with consumption by children in the United States.
- 25. Incredibly, however, despite Dow's knowledge that fetuses, infants, and young children were at heightened risk of developmental and neurological injuries, Dow continued to market and sell Lorsban for all other crops—even though comparatively much larger exposures necessarily result to the children of agricultural workers and children living in agricultural communities like the City of Avenal and other locations in California's Central Valley. Chlorpyrifos and chlorpyrifos oxon continues to be sold and sprayed liberally on all other crops almost 20 years later—especially on orchards and other crops growing on trees and bushes, like citrus fruits and pistachios. The calculus used by Dow's toxicologists, risk assessors, market analysts, and executives to justify this distinction between tomatoes and apples versus all other

crops remains obscure. Interestingly, apples are one of the only crops for which total production in the State of Michigan, where Dow's ultimate decision-makers lived and worked at the time, rivals or exceeds production in the State of California.

- 26. Lorsban not only continued to be marketed and sold for extensive use in California's Central Valley during Britney's gestation and infancy. Dow continued to sell Lorsban for use in California's Central Valley until February 2020, and growers can continue to apply it to their fields until the end of the current year, roughly 20 years after Dow's executives and managers plainly knew that chlorpyrifos and chlorpyrifos oxon were too dangerous to risk their own children's exposure to minuscule, trace quantities of chlorpyrifos in apple sauce, ketchup, and pasta sauce.
- 27. Two years later after the EPA determined that the developing brains and nervous systems of children were especially vulnerable to chlorpyrifos, researchers working with another important United States agency—the United States Department of Agriculture—published their discovery that water containing chlorine (which is typically added to tap water for disinfecting and sometimes oxidizing purposes) causes chlorpyrifos to transform to chlorpyrifos oxon. The publication of these findings in July 2002, which almost surely were known to Dow beforehand, was well before Britney's conception in early 2006.
- 28. These same researchers noted in their July 2002 publication that chlorpyrifos oxon is approximately 1,000 times more toxic than chlorpyrifos itself, and that their findings therefore raised important concerns about the safety of chlorpyrifos products. Despite this publication, which obviously should have raised alarm bells in Dow's product stewardship and toxicology departments—assuming, that is, the doubtful proposition that Dow was not already aware of the

¹ Wu, J. and Laird, D., "Abiotic transformation of chlorpyrifos to chlorpyrifos oxon in chlorinated water," *Environmental Toxicology and Chemistry*, vol. 22(2): 261–62 (2002).

propensity of chlorpyrifos to transform to chlorpyrifos oxon in mixtures containing chlorinated water and elsewhere—Dow did not sound any alarms, at least not publicly. Chlorpyrifos continued to be marketed—without any additional warnings—for agricultural use in California's Central Valley, and to fields that abut the California Aqueduct, from which communities like Avenal draw their drinking water.

Britney's Exposures to Lorsban During Gestation and Infancy

- 29. In and around early summer 2006, during her pregnancy, Britney's mother, Carmela worked in agricultural fields picking grapes and cleaning the grapefruit orchards, crops known to have high levels of chlorpyrifos residue in this time frame, with CDPR records showing more than 3,000 pounds applied to those crops that year. Carmela did this work in San Luis Obispo County, California, where, according to CDPR records, in that year alone, there was over 11,000 pounds of chlorpyrifos applied.
- 30. In and around mid/late summer 2006, during her pregnancy, Britney's mother, Carmela, worked for several months in a packing house situated Coalinga, California. Given the prevailing practice of washing the produce in highly chlorinated water, the washing of this produce by packing house workers such as Carmela converted large portions of the chlorpyrifos residues on the produce to chlorpyrifos oxon, the analyte that is 1,000 times as toxic as chlorpyrifos itself. The environment of the packing house creates a warehouse storage area of chlorpyrifos and chlorpyrifos oxon due to the normal working processes within.
- 31. It has been known and knowable from animal studies for many years prior to Britney's gestational exposure in 2006 that chlorpyrifos and chlorpyrifos oxon enter the blood stream and cross through the umbilical cord blood to the fetuses of mammals, which, depending on the dose and other factors, causes developmental toxicity.

32. Extensive testing of cord blood samples by researchers in New York City and elsewhere in the 2000s subsequently confirmed that when pregnant women are exposed to chlorpyrifos through skin contact, the breathing of volatilized and aerosolized chlorpyrifos, and ingestion of dust particles, that chlorpyrifos enters the pregnant mother's blood stream and is passed through cord blood to the developing baby. The same scientists confirmed—in published, peer-reviewed studies from the 2000s—that residential exposures in New York City housing from indoor crack-and-crevice applications of chlorpyrifos result in cord blood levels that cause permanent neurological damage to the developing human fetus, resulting in a significantly and dramatically increased chance of developmental delays and permanent deficits in learning, memory, and cognition to the exposed children.

33. Unlike the participants in the New York City housing studies from the 2000s, Britney's mother's cord blood was not tested for chlorpyrifos levels at her birth, so a precise, quantitative comparison cannot be made between Britney's fetal exposure and those in the New York City studies. However, drawing on other literature from agricultural workers and by using scientific techniques for estimating and comparing exposures under different scenarios, it is clear that the expected exposure of Britney to chlorpyrifos and chlorpyrifos oxon resulting from her mother's employment and handling of contaminated produce in the field and the packing house during her pregnancy equaled or exceeded the high end exposures of New York City residents. Yet this single exposure scenario was far from Britney's only gestational and infant exposure to chlorpyrifos and chlorpyrifos oxon.

34. A significant source of chlorpyrifos and especially chlorpyrifos oxon was the tap water supplied by Defendant City of Avenal to Britney's family. The City of Avenal draws their tap water straight from the California Aqueduct. Approximately 2,549 pounds of chlorpyrifos

were applied along the 2 mile stretch of the California Aqueduct surrounding the City of Avenal's water intake in 2006. This chlorpyrifos and chlorpyrifos oxon would migrate into the Aqueduct, especially during periods of peak run-off from storms and other weather events, and then much of it would make its way into the Avenal intake.

- 35. Chlorpyrifos that enters any water treatment facility, such as Avenal's in 2006, is unlikely to be effectively removed by the treatment and filtration process. The filters may remove some chlorpyrifos, but certainly not all, even under the best conditions, and Avenal's water system does not and did not operate under anything approximating the best conditions.
- 36. Chlorine will eventually break chlorpyrifos down into non-toxic byproducts, but not before first breaking chlorpyrifos down into the much more toxic chlorpyrifos oxon. Chlorine has to be in contact with this chlorpyrifos oxon for many hours to complete this process, which takes a minimum of seven hours, sometimes much longer depending on a variety of factors. The City of Avenal's distribution system is small, and the apartments where Britney and her mother lived are located just over 6 miles from the treatment facility, in the eastern section of Avenal nearest the facility.
- 37. Given all of this, it is nearly certain that tap water supplied by Defendant City of Avenal and used by Britney's mother during her pregnancy for bathing, cooking, and drinking contained significant quantities of chlorpyrifos oxon following periods of spraying at fields adjacent to the treatment plant and also following storms and other run-off events impacting the quality of water in the California Aqueduct. This chlorpyrifos oxon entered her bloodstream and umbilical cord blood and thereby entered Britney's blood. Moreover, during the first year Britney's life, Britney was bottle fed with formula mixed with this same chlorpyrifos oxon-contaminated tap water, which she ingested straight into her infant body. During this time and to

the present, Britney has bathed, washed her hands, drunk water, and eaten food cooked in tap water.

- 38. One last significant source of chlorpyrifos and chlorpyrifos oxon exposure came from secondary contact with Britney's father, Reymundo, who worked as an agricultural field worker during Britney's mother's pregnancy and all of Britney's life, working in fields sprayed with chemicals as is common practice in the Central Valley agricultural community. Among other chemicals, Reymundo's work exposed him to residues of Lorsban mixed with chlorinated or brominated water, a combination guaranteed to produce a mixture of chlorpyrifos and chlorpyrifos oxon in water, although the label did not mention the chlorpyrifos oxon. Reymundo ordinarily tried to take off his chemical-laden clothes when he returned from work, but sometimes his young family and young children, including Britney, excited to see him, met him at the door and hugged him or asked to be held by him. Sometimes dinner was ready and he was called to eat before changing. This resulted in another significant exposure to chlorpyrifos and chlorpyrifos oxon for Britney during her gestation and infancy.
- 39. As a direct and proximate consequence of the above exposures to chlorpyrifos and chlorpyrifos oxon during gestation and infancy, Britney suffered from the severe neurotoxic effects of chlorpyrifos and its oxon analyte. The neurotoxic effects of these exposures caused autism, development delay, and compulsive behaviors. Each of the above exposures independently contributed to Britney's neurological injuries, and the cumulative effect of all of them combined was devastating to her and her family.

COUNT I – NEGLIGENCE AS TO THE DOW DEFENDANTS

40. Plaintiffs re-allege and incorporate each paragraph above as if separately set forth herein.

- 41. Beginning in the 1980s and continuing into the 1990s and 2000s, Dow engaged in a pattern of conduct designed to hide the dangers of chlorpyrifos from its customers and the general public. At best, this conduct could be characterized as the negligent failure to test for certain specific harms or to appreciate and take appropriate measures to protect from those harms associated with chlorpyrifos. At worst, it amounted to selfish, greedy, malicious, and willful manipulation of the scientific data and the public's perception of the harms of Lorsban—that is, chlorpyrifos and chlorpyrifos oxon.
- 42. All of these actions and omissions—whether willful, reckless, or merely negligent—were in the service of the false (and certainly untested) safety narrative that Dow promoted for years, which is that the toxicity of chlorpyrifos is mediated solely by cholinesterase inhibition, the first and main toxic effect, and therefore any dose that does not result in acute cholinergic signs and symptoms (such as salivation, lacrimation, sweating, rapid heartrate, etc.) has no adverse impact.
- 43. Dow used this untested safety narrative for years as an internal excuse to conceal numerous reports of chlorpyrifos poisoning and adverse effects following applications, on the grounds that it simply could not be verified as a chlorpyrifos-related adverse event if the person allegedly poisoned did not show signs and symptoms of a cholinergic reaction. Notice the perfectly circular and subversive nature of this logic: If a manufacturer does not report incidents involving suspected poisoning in the absence of acute cholinergic signs on the grounds that there were no acute cholinergic signs, no evidence can come to light that poisoning does, in fact, occur in the absence of acute cholinergic signs.
- 44. However, Dow's willful concealment of these reports was discovered in the early 1990s, and Dow was fined for it by EPA in 1995.

45. In response, Dow doubled down on its safety narrative, paying a panel of "independent" researchers to review spoon-fed studies and literature at its behest, and conclude, in 1997: "The available scientific evidence provides no basis for concern that [chlorpyrifos] causes human adverse health effects other than its known cholinergic effects associated with acute poisoning." Statements like this succinctly captured Dow's central chlorpyrifos narrative. It was at best untested and supported only by the circular reasoning embodied in Dow's willful concealment of adverse incident reports that challenged the cholinergic poisoning narrative even in 1997.

- 46. In fact, Dow's safety narrative had already been contradicted by 1997 by recent publications that focused on low-dose, non-cholinergic harm in mammalian development, but it would have fallen apart completely in the years between 1997 but for Dow's negligent, reckless, and willful manipulation of data and public opinion.
- 47. There are a fair number of subparts to Dow's false chlorpyrifos safety narrative. One is Dow's denial of any special risk of toxicity to children or developing brains that might happen through any mechanism other than cholinesterase inhibition or in the absence of acute cholinergic signs and symptoms. Another is Dow's denial of any neurotoxic effects from chronic, low-dose poisoning below the threshold for clinical signs and symptoms of cholinergic poisoning. Yet another critical component of this narrative is Dow's insistence that chlorpyrifos is only converted to chlorpyrifos oxon inside the host organism, such as the target pest or non-target human bystander, and that this need for biological conversion adds a layer of protection because detoxification of chlorpyrifos oxon occurs at the same sites (e.g., the liver) as the conversion. All of these were fundamentally untested and ultimately false propositions. Dow knew or should have known that all of these propositions needed to be tested well before

Britney's conception in 2006. Instead, Dow delayed testing them until forced to do so by regulatory requirement or regulatory agency pressure, and then concealed and misrepresented findings that were unfavorable to its narrative.

- 48. Another reason Dow promoted its favored narrative was to deny any reason or responsibility for conducting careful exposure studies of children or others in various exposure scenarios, whether agricultural or residential, behind the false claim that cholinesterase monitoring and vigilance for signs of cholinergic poisoning were sufficient to determine whether any humans were getting a potentially harmful dose. "Biological monitoring"—the process of testing urine or blood for the presence of a toxicant or a unique metabolite of a toxicant—was available for chlorpyrifos by the late 1980s. The need to carefully assess the exposures and potential exposures of children to chlorpyrifos was known within the risk assessment community in the 1980s and actually flagged by researchers with the California Department of Food and Agriculture's Worker Health and Safety Branch in the 1980s and stated in a 1990 publication of that agency. Yet Dow conducted no biological monitoring of any sort to quantify the exposures of children from various use patterns and exposure scenarios, such as the exposures identified above that resulted in Britney's poisoning.
- 49. Dow also failed to consider or test the possibility that chlorpyrifos was a developmental neurotoxicant—that is, toxic to the nervous systems of fetuses and infants—for years. The EPA first issued a standardized protocol for developmental neurotoxicity testing in 1991, but Dow did not design or begin such a test until an independent researcher first published a study in 1995 suggesting that chlorpyrifos was, indeed, a developmental neurotoxicant and that the mechanism was something other than cholinesterase inhibition. So, in response, Dow undertook its own developmental neurotoxicity study specifically to refute those findings. When

Dow finally disclosed the findings of that study in 1998, Dow misleadingly and falsely dismissed a critical and clearly significant adverse effect on a specific part of the brain of the developing rat pups in its own chlorpyrifos study group, and assured the public and regulators that the study provided no evidence of developmental neurotoxicity.

- 50. That 1995 study by independent researchers was also important because it involved chronic low dose exposures to rat pups, rather than a single acute and symptomatic poisoning. In response to this threat to Dow's chlorpyrifos safety narrative, Dow designed and commissioned an expensive study by researchers at the University of Michigan of its own adult worker populations in its chlorpyrifos manufacturing plant in Midland, Michigan. The thought was that workers at Dow's chlorpyrifos plant represented a population of persons exposed to repeated or chronic low level doses of chlorpyrifos. These researchers began their research in the late 1990s and finalized their report for EPA submission in 2002, before Britney's birth, and subsequently published several journal articles from the study.
- 51. The researchers at the University of Michigan were nominally independent, but, upon information and belief, they were intimately aware of who was funding the research and regularly communicated with their contacts at Dow. Upon information and belief, these researchers found adverse neurological effects during the course of analyzing the data from the study, but did not report or disclose those adverse findings in the final report that Dow submitted to the EPA in 2002. The adverse neurological findings from the study only emerged in the public literature in 2007, in a published journal article from the study, when, many years after the study was completed and a report omitting this analysis and finding was delivered to the EPA, the University of Michigan team published an article attempting to explain the adverse findings away on the basis of a convoluted and twisted post hoc analysis of the data.

52. In 2002, researchers working with the United States Department of Agriculture ("USDA") published findings that challenged another foundational element of Dow's chlorpyrifos safety narrative when they reported that chlorinated water catalyzes the transformation of chlorpyrifos to chlorpyrifos oxon, which is 1,000 times as toxic to mammals as chlorpyrifos itself. Dow's safety narrative depends heavily on the notion that mammals, including humans, are protected from chlorpyrifos toxicity by the need for it to be converted to chlorpyrifos oxon in the body, at sites where that oxon can be rapidly detoxified. Moreover, all or almost all of the laboratory science supporting the registrations of chlorpyrifos and various Lorsban products were conducted using analytical grade chlorpyrifos or formulations of chlorpyrifos that would not be influenced by this chlorine conversion, and therefore were rendered largely irrelevant by the discovery. In other words, as of July 2002, at the latest, Dow knew or should have known that Dow's toxicity testing conducted on pure chlorpyrifos and pure chlorpyrifos formulations did not meaningfully say anything about the safety of its Lorsban products given that—after diluting with chlorinated (or brominated) water per the instructions on the label—they began converting to a compound that is 1,000 times as toxic.

53. In fact, Dow—as the manufacturer, seller, and registrant of chlorpyrifos and many Lorsban products that it instructed users to dilute with water before use—should have investigated and discovered this abiotic conversion in the presence of chlorinated water, which describes almost all tap water, and brominated water, given the similarity between bromine and the ubiquitous nature of brominated pesticides in agricultural settings. In fairness, given what we know about Dow's sophisticated culture, Dow probably did know about the abiotic conversion of chlorpyrifos to chlorpyrifos oxon well before USDA discovered it for itself.

- 54. Dow's response to the publication of the USDA research was, first, to ignore it, and then to set about challenging the claim that chlorpyrifos oxon was, in fact, 1,000 times as toxic, a proposition that Dow had never invested much in challenging before.
- 55. In 2009, Plaintiffs' counsel commissioned an independent expert review of chlorpyrifos studies published by Dow scientists in refereed journals. The experts identified nine "core" experimental studies published by Dow researchers of the effects of chlorpyrifos on mammalian toxicology ranging from 1980 to 2000, with four of the nine published in 2000, a period of intense regulatory scrutiny. The experts also identified approximately ten relevant "secondary" studies by Dow researchers.
- 56. That independent expert review concluded that the Dow publications were littered with numerous errors and problems, such as: the use of atypical and inappropriately small sample sizes; analyzing only a subset of the data in a way that increases the likelihood of a false negative finding; inappropriately crude measurement techniques; the use of inappropriate and subjective qualitative measures; a failure to further investigate findings of near-statistical significance; discounting valid findings; and making unsupported claims. All of the problems and errors in Dow's published studies identified by the expert review were biased in the direction of making it less likely that a study would find an adverse effect associated with chlorpyrifos.
- 57. In other words, Dow contaminated the published information and literature available on chlorpyrifos with bad science, through its negligent, reckless, and willful underreporting and concealment of adverse incidents and its overproduction of studies finding no adverse effects by heavily biased design. This was all done to promote Dow's favored safety narrative on chlorpyrifos and conceal the dangers of chlorpyrifos from the public, so Dow could continue to

sell it and sell it without the kind of warnings that would be required to prevent catastrophic injuries like those suffered by Britney and her family.

- 58. Dow's negligence, recklessness, and willfulness worked to disastrous effect on at least two levels. First, Dow itself failed to take appropriate measures in light of what it knew or should have known were the risks and harms of chlorpyrifos, especially to infants and children, from doses below the level of cholinergic signs and symptoms. Second, by concealing critical information from the public and contaminating the public discussion and literature with false assertions and biased studies, Dow prevented others—such as homeowners, parents, employers, and regulators—from taking steps necessary to protect themselves and their children, employees, employees' children, and citizens from these harms.
- 59. Had Dow taken the steps that a reasonably careful manufacturer would have taken, and conducted additional tests, reported the results, and adopted protective measures in response, then a reasonable manufacturer in possession of that knowledge would have removed Lorsban from the market entirely before 2006.
- 60. At the very least, a reasonable manufacturer would have issued stricter directions for use and warnings in at least the following ways: It would have recommended that applicators mix chlorpyrifos only with purified or distilled water, not with chlorinated tap water or water from agricultural runoff where brominated pesticides are applied; it would have warned specifically of the likelihood that applicators and bystanders would encounter chlorpyrifos oxon directly, a much more toxic substance than the chlorpyrifos listed as an active ingredient on the label; it would have warned of the special risks to children and the risks from chronic low-dose exposures even in the absence of cholinergic signs and symptoms; it would have increased the required distance or "setback" from occupied structures, both residential and business structures

(including packing houses), to prevent the occurrence of overspray and spray drift entering occupied buildings where pregnant women or children are likely to be present; and it would have warned against the use near "aqueducts" and "canals" or any body of water from which potable water is drawn specifically in its label. Instead, Dow's Lorsban labels warned only of use "adjacent to permanent bodies of water such as rivers, natural ponds, lakes, streams, reservoirs, marshes, estuaries, and commercial fish ponds." By excluding "canals" and "aqueducts" from the list, Dow created the impression that the only harm at issue was a harm to aquatic life, not to residents of Central Valley towns that consume drinking water sourced from the California Aqueduct.

- 61. As a result of Dow's negligence, recklessness, and willfulness, as described in the preceding paragraph, Lorsban was misbranded under federal law and EPA regulations, including 7 U.S.C § 136j(a)(1) and 40 C.F.R. §§ 156.10(i)(2)(vi) and 156.10(i)(2)(x), due to its failure to include the necessary instructions for dilution and limitations and restrictions on use noted in the preceding paragraph, which were required to prevent unreasonable adverse effects. Therefore, this right of action is not preempted by federal law. Plaintiffs are not making a claim under federal law but note it only for purposes of avoiding unnecessary arguments and motion practice relating to federal preemption.
- 62. Dow's negligence, recklessness, and willfulness were the proximate cause of Britney's developmental and neurological injuries, including autism, developmental delay, and compulsive behaviors.

COUNT II – STRICT PRODUCTS LIABILITY – FAILURE TO WARN <u>AS TO THE DOW DEFENDANTS</u>

63. Plaintiffs re-allege and incorporate each paragraph above as if separately set forth herein.

- 64. Dow, as the manufacturer and seller of Lorsban products in the United States, had a duty under California law to know the expected uses and ensure that its Lorsban products, as manufactured, designed, and labeled, were safe for those reasonably expected uses.
- 65. The reasonably expected uses of Lorsban products in the early and mid-2000s included all of the uses described in preceding sections of this Complaint that resulted in Britney's exposure to chlorpyrifos and chlorpyrifos oxon during gestation and infancy and as a toddler. It was reasonably foreseeable and expected that pregnant women and infants such as Britney would be exposed to Lorsban in these ways.
- 66. Dow had comparable, if not identical, duties under federal law that prohibits that misbranding of pesticides. See 7 U.S.C § 136j(a)(1) and 40 C.F.R. §§ 156.10(i)(2)(vi) and 156.10(i)(2)(x). Plaintiffs are not suing under those federal laws or duties, but note them only for purposes of avoiding unnecessary arguments and motion practice related to federal preemption.
- 67. By the time Britney was conceived in 2006, Dow knew or should have known that Lorsban, as labeled and sold, was not safe for its reasonably expected uses in California's Central Valley because it lacked warnings and instructions necessary to render it reasonably safe for its reasonably expected uses. Warnings and instructions that were required to make Lorsban safe but were omitted relate to, at least, the following hazards and necessary remedial measures, all of which were known when Britney was conceived:
 - (i) Chlorpyrifos transforms to chlorpyrifos oxon in the presence of chlorinated or brominated water. Chlorpyrifos oxon is 1,000 times more toxic to mammals than chlorpyrifos. Therefore, Dow should have recommended, at minimum, that applicators mix chlorpyrifos only with purified or distilled water, not with

chlorinated tap water or water from agricultural runoff where brominated pesticides are applied. Dow should have recommended against the use of Lorsban products adjacent to or near "canals" and "aqueducts"—such as the California Aqueduct, from which small towns in California draw tap water—in addition to other specifically identified bodies of water on the label. Dow also should have warned specifically of the likelihood that applicators and bystanders would encounter chlorpyrifos oxon directly, a much more toxic substance than the chlorpyrifos listed as an active ingredient on the label.

- (ii) Chlorpyrifos and chlorpyrifos oxon were already known to be particularly hazardous to the developing nervous systems of mammals. Therefore, Dow should have warned of the special risks to children and the extra care required around structures where children or pregnant women might be present. For example, Dow should have increased the required distance or "setback" from occupied structures, both residential and business structures (including packing houses), to prevent the occurrence of overspray and spray drift entering occupied buildings where pregnant women or children are likely to be present.
- (iii) Chlorpyrifos and chlorpyrifos oxon were already known to cause neurotoxic effects in the absence of acute cholinergic signs and symptoms, such as from chronic low-dose exposures to children and adults. Therefore, Dow should have warned of the special risks to children and the extra care required around structures where children or pregnant women might be present. For example, Dow should have increased the required distance or "setback" from occupied structures, both residential and business structures (including packing houses), to

prevent the occurrence of overspray and spray drift entering occupied buildings where pregnant women or children are likely to be present. In order to prevent chronic, low dose exposure from drinking, cooking with, and bathing in Lorsban-contaminated tap water, Dow should have recommended against the use of Lorsban products adjacent to or near "canals" and "aqueducts"—such as the California Aqueduct, from which small towns in California draw tap water—in addition to other specifically identified bodies of water on the label.

68. Had Dow included warnings and instructions such as the ones identified in the preceding paragraph, Britney would have been exposed to significantly less, if any, chlorpyrifos and chlorpyrifos oxon, and would not have suffered her severe neurological injuries. Dow's inadequate warnings and instructions for use were therefore the proximate cause of Britney's developmental and neurological injuries, including autism, developmental delay, and compulsive behaviors.

COUNT III – STRICT PRODUCTS LIABILITY – DESIGN DEFECT <u>AS TO THE DOW DEFENDANTS</u>

- 69. Plaintiffs re-allege and incorporate each paragraph above as if separately set forth herein.
- 70. Dow, as the manufacturer and seller of Lorsban products in the United States, had a duty under California law to know the expected uses and ensure that its Lorsban products, as manufactured, designed, and labeled, were safe for those reasonably expected uses.
- 71. The reasonably expected uses of Lorsban products in the early 2000s included all of the uses described in preceding sections of this Complaint that resulted in Britney's exposure to chlorpyrifos and chlorpyrifos oxon during gestation, infancy, and as a toddler and small child. It

was reasonably foreseeable and expected that pregnant women and infants such as Britney would be exposed to Lorsban in these ways.

- 72. Federal law permits states to prohibit the sale of any pesticide that is unreasonably dangerous as designed. Therefore, claims for design defect are not preempted by federal law.
- 73. Dow's Lorsban products were defectively designed for two reasons: First, they were more dangerous than the ordinary consumer or end user would reasonably expect. Second, Dow's Lorsban products contained active insecticidal ingredients, chlorpyrifos and chlorpyrifos oxon, that rendered the products unreasonably dangerous, and there were safer, alternative insecticidal ingredients available.
- 74. Dow's Lorsban products were more dangerous than any reasonable consumer or end user would expect for the following reasons: (1) the active ingredients—chlorpyrifos and chlorpyrifos oxon—were highly toxic to the nervous systems of fetuses and infants; (2) chlorpyrifos and chlorpyrifos oxon caused developmental and chronic neurological deficits from repeated low-dose exposures even in the absence of acute poisoning events; and (3) the active ingredient that Dow disclosed to the public, chlorpyrifos, transformed into its much more toxic metabolite when mixed with chlorinated or brominated water, which the ordinary consumer or end user had no way of knowing. All of these hazards were known or knowable to Dow by 2002, but not known to ordinary consumers and end users.
- 75. In fact, Dow actively and maliciously took steps to conceal these hazards from the public, in all of the ways described in Count I, above. Throughout the 1990s and the 2000s, Dow worked hard to convince the public and ordinary consumers of its favored safety narrative, as summarized by a panel of "independent" researchers paid by Dow in 1997: "The available

scientific evidence provides no basis for concern that [chlorpyrifos] causes human adverse health effects other than its known cholinergic effects associated with acute poisoning."

76. These design defects were the proximate cause of Britney's developmental and neurological injuries, including autism, developmental delay, and compulsive behaviors.

COUNT IV - NEGLIGENCE AS TO CITY OF AVENAL

- 77. Plaintiffs re-allege and incorporate each paragraph above as if separately set forth herein.
- 78. California imposes a duty on utilities that furnish drinking water for human consumption to "provide water that is wholesome, potable, [and] in no way harmful or dangerous to health."
- 79. The City of Avenal is a municipal corporation and political subdivision that owns and operates the Huron water treatment plant. The operation of the water treatment utility by the City of Avenal is a classic example of a proprietary function, and it is therefore not entitled to any kind of sovereign immunity for harms caused by its operation of the water treatment plant.
- 80. The City of Avenal has the same duty as all other water utilities to supply water that is "wholesome, potable, [and] in no way harmful or dangerous to health."
- 81. The City of Avenal breached its duty to supply water that is "wholesome, potable, [and] in no way harmful or dangerous to health" by supplying water to Britney's mother and family and to Britney that was contaminated with chlorpyrifos oxon and therefore toxic.
 - 82. The City of Avenal failed to exercise reasonable care in the following ways:
 - (i) By leaving portions of its treatment facility exposed to the air and thereby exposed to drift and over-spray from aerial pesticide applications, including chlorpyrifos applications; and

(ii) By failing to heed the July 2002 publication of the USDA and take extra precautions against invasion of its water system by chlorpyrifos oxon, such as extending chlorine treatment or pretreatment time to ensure the decomposition of chlorpyrifos oxon to less toxic chemicals, or increasing filtration capacity;

- 83. As a result of these acts and omissions, water supplied by the City of Avenal for the purpose of human consumption was contaminated with chlorpyrifos oxon.
- 84. The City of Avenal's negligence in the operation of its water treatment plant was a proximate cause of Britney's injuries.

COUNT V – STRICT PRODUCTS LIABILITY – MANUFACTURING DEFECT AS TO THE CITY OF HURON AND THE CITY OF AVENAL

- 85. Plaintiffs re-allege and incorporate each paragraph above as if separately set forth herein.
- 86. The drinking water supplied by the City of Avenal (the "Water Seller") was sold to customers for human consumption. The manufacturing specifications for the drinking water did not include, allow for, or permit any chlorpyrifos or chlorpyrifos oxon.
- 87. Nonetheless, chlorpyrifos at times—especially after heavy rains following in the fall, following the typical chlorpyrifos application season of late summer and fall—infiltrated the Water Seller's manufacturing facilities and got caught in the treatment plant, where the chlorine added by the Water Seller converted the chlorpyrifos to chlorpyrifos oxon.
- 88. This chlorpyrifos oxon was present, at times, in the water sold by the Water Seller to Britney's family at the point of sale (the meter) and consumption (the taps). The chlorpyrifos oxon present in the water was not intended and rendered the water unreasonably dangerous. The

water sold by the Water Seller was therefore defective under the law in containing a manufacturing defect.

- 89. The Water Seller is liable for the harm caused by manufacturing defects even if they took reasonable care to prevent such defects (which they did not).
- 90. The manufacturing defect in the water sold by the Water Seller was a proximate cause of Britney's injuries.

COUNT VI – NEGLIGENCE AS TO THE APPLICATOR DEFENDANTS

- 91. Plaintiffs re-allege and incorporate each paragraph above as if separately set forth herein.
- 92. Defendant Kochergen Properties "Applicator ID 1080390" and Defendant Westside Harvesting "Applicator ID 1600124," are collectively referred to herein as the "Applicator Defendants."
- 93. Upon information and belief, each of the Applicator Defendants negligently applied chlorpyrifos by aerial application in one or more of the following ways:
 - (1) By flying at too high of a height (greater than 10 feet) above the target plants, thus resulting in more significant spray drift and overspray;
 - (2) By using a combination of nozzles, pressure, airspeed, and nozzle angle that resulted in fine droplets, thus resulting in more significant spray drift and overspray;
 - (3) By applying with the aircraft traveling downwind and in conditions with excessive windspeeds, and failing to adjust properly for the wind and windspeeds;

- (4) By failing to control droplet size and spraying smaller droplets, thus resulting in more significant spray drift and overspray; and
- (5) By failing to observe proper setbacks for bodies of water or sensitive sites.
- 94. The negligent acts of Defendant Applicators in, among other things referred to above, failing to control droplet size, observe proper spray heights and setbacks for bodies of water, and adjust properly for windspeeds, resulted in excessive spray drift in and around the California Aqueduct source water for the Avenal water systems. Chlorpyrifos entered Avenal source water, was transformed to chlorpyrifos oxon, and was thereby consumed by Britney and/or her mother.
- 95. The negligence of each of the Applicator Defendants was therefore a proximate cause of Britney's exposure to chlorpyrifos and chlorpyrifos oxon and therefore her serious neurological injuries.

PRAYER FOR RELIEF AND DEMAND FOR JURY TRIAL

- 96. Wherefore, Plaintiffs respectfully pray for the following:
 - (1) General compensatory damages for the pain and suffering of Britney Michelle

 Arciniega resulting from her injuries due to chlorpyrifos and chlorpyrifos oxon
 exposure;
 - (2) Special compensatory damages for the loss in earning capacity suffered by Britney Michelle Arciniega resulting from her injuries due to chlorpyrifos and chlorpyrifos oxon exposure;
 - (3) Special compensatory damages for the past and future medical expenses and special needs and care for Britney Michelle Arciniega resulting from her injuries due to chlorpyrifos and chlorpyrifos oxon exposure;

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